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<b>(54) Title:</b> METHODS AND COMPOSITIONS FOR GENE, TUMOR, AND VIRAL INFECTION THERAPY, AND PREVENTION OF PROGRAMMED CELL DEATH (APOPTOSIS)  <b>(57) Abstract</b>  The present invention relates to methods of treatment of programmed cell death (apoptosis) through the use of the HSV-1 gene $\gamma_1 34.5$ or the product of its expression, ICP34.5. The gene and its expression have been demonstrated to be required for HSV-1 neurovirulence, and in particular, to act as an inhibitor of neuronal programmed cell death which allows for viral replication. Use of the gene therapy, or the protein itself, can be expected to result in inhibition of programmed cell death in various neurodegenerative diseases. This invention also relates to novel vectors for gene therapy, including modified herpes virus. Methods are presented for conducting assays for substances capable of mimicking, potentiating or inhibiting the expression of $\gamma_1 34.5$ or the activity of ICP34.5. Also, methods are disclosed for the treatment of tumorigenic diseases, including cancer, and for treatment of herpes and other viral infections using inhibitors of $\gamma_1 34.5$ expression or ICP34.5 activity.		

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# METHODS AND COMPOSITIONS FOR GENE, TUMOR, AND VIRAL INFECTION THERAPY, AND PREVENTION OF PROGRAMMED CELL DEATH (APOPTOSIS)

## BACKGROUND OF THE INVENTION

The government may own certain rights in the present invention pursuant to grants from the National Cancer Institute (CA47451) and from the National Institute for Allergy and Infectious Diseases (AI24009 and AI1588), and the United States Public Health Service.

### 1. Field of the Invention

The present invention is directed to methods for blocking or delaying programmed cell death, for delivery of gene therapy to specific cells, and for treatment of cancer and other tumorigenic diseases, as well as treatment of viral infections, through the potentiation of programmed cell death in tumor or viral host cells. The present invention is also directed to assays for candidate substances which can either inhibit, or potentiate programmed cell death.

### 2. Description of the Related Art

#### a. Programmed Cell Death (Apoptosis)

In the last decade there has been increasing acceptance in the scientific community of the idea that cells may actually be internally programmed to die at a certain point in their life cycle. As an active cellular mechanism programmed cell death, or apoptosis, has several important implications. First, it is clear that such an active process can provide additional means of regulating cell numbers as well as the biological activities of cells. Secondly, mutations or cellular events which potentiate apoptosis may result in premature cell death. Third, a form of cell death which is dependent on a specific active cellular mechanism can at least potentially be suppressed.

Finally, an inhibition of preprogrammed cell death would be expected to lead to aberrant cell survival and could be expected to contribute to oncogenesis.

In general, apoptosis involves distinctive  
5 morphological changes including nuclear condensation and degradation of DNA to oligonucleosomal fragments. In certain circumstances it is evident that apoptosis is triggered by or is preceded by changes in protein  
10 synthesis. Apoptosis appears to provide a very clean process for cellular destruction, in that the cells are disposed of by specific recognition and phagocytosis prior to bursting. In this manner cells can be removed from a tissue without causing damage to the surrounding cells. Thus, it can be seen that programmed cell death is crucial  
15 in a number of physiological processes, including morphological development, clonal selection in the immune system, and normal cell maturation and death in other tissue and organ systems.

It has also been demonstrated that cells can undergo  
20 apoptosis in response to environmental information. Examples include the appearance of a stimulus, such as glucocorticoid hormones for immature thymocytes, or the disappearance of a stimulus, such as interleukin-2 withdrawal from mature lymphocytes, or the removal of  
25 colony stimulating factors from hemopoietic precursors (for a review of literature see Williams, Cell, 85; 1097-1098, June 28, 1991). Furthermore, it has recently been demonstrated that the response of removal to nerve growth factor from established neuronal cell cultures mimics  
30 target removal, or axiotomy, or other methods of trophic factor removal, and it has been postulated that the cellular mechanism involved in this response is a triggering of a suicide program or programmed cell death following the nerve growth factor removal. (See Johnson et  
35 al., Neurobiol. of Aging, 10: 549-552, 1989). The authors



propose a "death cascade" or "death program", which envisions that trophic factor deprivation initiates the transcription of new mRNA and the subsequent translation of that mRNA into death associated proteins which act in sequence to ultimately produce "killer proteins". Such an intracellular mechanism seems to fit well with the characteristics of apoptosis discussed above, eg., death of specific cells without the release of harmful materials and without the disruption of tissue integrity. Furthermore, the authors indicate that inhibitors of macromolecular synthesis prevented the death of neurons in the absence of nerve growth factor.

Studies have been conducted to explore the possibility that tumor cells could be eliminated by artificially triggering apoptosis. The APO-1 monoclonal antibody can induce apoptosis in several transformed human B and T cell lines. The antibody binds to a surface protein and could act either by mimicking a positive death-inducing signal or by blocking the activity of a factor required for survival. Also, anti-FAS antibodies have similar effects, and the recent cloning and sequencing of the gene for the FAS antigen has shown that it is a 63 kilodalton transmembrane receptor. Itoh et al., Cell 66: 233-243 (1991).

However, it is important to note that neither APO-1 nor FAS can function exclusively as triggers for cell death. Both are cell surface receptors that may activate quite different responses under other circumstances. Moreover, these antigens are not confined to tumor cells and their effect on normal cells is certainly an important consideration, as is the possible appearance of variants that no longer display the antigens.

It has also been demonstrated that the cell death induced by a range of cytotoxic drugs, including several used in cancer therapy, has also been found to be a form of

apoptosis. In fact, the failure of apoptosis in tumor cells could be of fundamental importance in contributing not only to the evasion of physiological controls on cell numbers, but also to resistance both to natural defenses and to clinical therapy.

It has also been demonstrated that expression of the bcl-2 gene can inhibit death by apoptosis. The bcl-2 gene was isolated from the breakpoint of the translocation between chromosomes 14 and 18 found in a high proportion of the most common human lymphomas, that being follicular B cell lymphomas. The translocation brings together the bcl-2 gene and immunoglobulin heavy chain locus, resulting in an aberrantly increased bcl-2 expression in B cells. Subsequently, Henderson et al. (Cell, 65: 1107-1115, 1991) demonstrated that expression of latent membrane protein 1 in cells infected by Epstein-Barr virus protected the infected B cells from programmed cell death by inducing expression of the bcl-2 gene. Sentman et al. (Cell, 67: 879-88, November 29, 1991) demonstrated that expression of the bcl-2 gene can inhibit multiple forms of apoptosis but not negative selection in thymocytes, and Strasser et al. (Cell, 67: 889-899, November 29, 1991) demonstrated that expression of a bcl-2 transgene inhibits T cell death and can perturb thymic self-censorship. Clem et al. (Science, 245: 1388-1390, November 29, 1991) identified a specific baculovirus gene product as being responsible for blocking apoptosis in insect cells.

b. Herpes Virus Infections and Neurovirulence

The family of herpes virus includes animal viruses of great clinical interest because they are the causative agents of many diseases. Epstein-Barr virus has been implicated in B cell lymphoma; cytomegalovirus presents the greatest infectious threat to AIDS patients; and Varicella Zoster Virus, is of great concern in certain parts of the

world where chicken pox and shingles are serious health problems. A worldwide increase in the incidence of sexually transmitted herpes simplex (HSV) infection has occurred in the past decade, accompanied by an increase in neonatal herpes. Contact with active ulcerative lesions or asymptotically excreting patients can result in transmission of the infectious agent. Transmission is by exposure to virus at mucosal surfaces and abraded skin, which permit the entry of virus and the initiation of viral replication in cells of the epidermis and dermis. In addition to clinically apparent lesions, latent infections may persist, in particular in sensory nerve cells. Various stimuli may cause reactivation of the HSV infection. Consequently, this is a difficult infection to eradicate. This scourge has largely gone unchecked due to the inadequacies of treatment modalities.

The known herpes viruses appear to share four significant biological properties:

1. All herpes viruses specify a large array of enzymes involved in nucleic acid metabolism (e.g., thymidine kinase, thymidylate synthetase, dUTPase, ribonucleotide reductase, etc.), DNA synthesis (e.g., DNA polymerase helicase, primase), and, possibly, processing of proteins (e.g., protein kinase), although the exact array of enzymes may vary somewhat from one herpesvirus to another.

2. Both the synthesis of viral DNAs and the assembly of capsids occur in the nucleus. In the case of some herpes viruses, it has been claimed that the virus may be de-enveloped and re-enveloped as it transits through the cytoplasm. Irrespective of the merits of these conclusions, envelopment of the capsids as it transits through the nuclear membrane is obligatory.

3. Production of infectious progeny virus is invariably accompanied by the irreversible destruction of the infected cell.

4. All herpes viruses examined to date are able to remain latent in their natural hosts. In cells harboring latent virus, viral genomes take the form of closed circular molecules, and only a small subset of viral genes is expressed.

Herpes viruses also vary greatly in their biologic properties. Some have a wide host-cell range, multiply efficiently, and rapidly destroy the cells that they infect (e.g., HSV-1, HSV-2, etc.). Others (e.g., EBV, HHV6) have a narrow host-cell range. The multiplication of some herpes viruses (e.g., HCMV) appears to be slow. While all herpes viruses remain latent in a specific set of cells, the exact cell in which they remain latent varies from one virus to another. For example, whereas latent HSV is recovered from sensory neurons, latent EBV is recovered from B lymphocytes. Herpes viruses differ with respect to the clinical manifestations of diseases they cause.

Herpes simplex viruses 1 and 2 (HSV-1, HSV-2), are among the most common infectious agents encountered by humans (Corey and Spear, N. Eng. J. Med., 314: 686-691, 1986). These viruses cause a broad spectrum of diseases which range from mild and nuisance infections such as recurrent herpes simplex labialis, to severe and life-threatening diseases such as herpes simplex encephalitis (HSE) of older children and adults, or the disseminated infections of neonates. Clinical outcome of herpes infections is dependent upon early diagnosis and prompt initiation of antiviral therapy. However, despite some successful therapy, dermal and epidermal lesions recur, and HSV infections of neonates and infections of the brain are associated with high morbidity and mortality. Earlier

diagnosis than is currently possible would improve therapeutic success. In addition, improved treatments are desperately needed.

5       Extrinsic assistance has been provided to infected individuals, in particular, in the form of chemicals. For example, chemical inhibition of herpes viral replication has been effected by a variety of nucleoside analogues such as acyclovir, 5-fluorodeoxyuridine (FUDR), 5-iododeoxyuridine, thymine arabinoside, and the like.

10       Some protection has been provided in experimental animal models by polyspecific or monospecific anti-HSV antibodies, HSV-primed lymphocytes, and cloned T cells to specific viral antigens (Corey and Spear, N. Eng. J. Med., 314: 686-691, 1986). However, no satisfactory treatment  
15 has been found.

      The  $\gamma_{134.5}$  gene of herpes simplex virus maps in the inverted repeat region of the genome flanking the L component of the virus. The discovery and characterization of the gene was reported in several articles (Chou and  
20 Roizman, J. Virol., 57: 629-635, 1986, and J. Virol., 64: 1014-1020, 1990; Ackermann et al., J. Virol., 58: 843-850, 1986). The key features are: (i) the gene encodes a protein of 263 amino acid in length; (ii) the protein contains Ala-Thr-Pro trimer repeat ten times in the middle  
25 of the coding sequence; (iii) the protein is basic in nature and consists of large number of Arg and Pro amino acids; (iv) the promoter of the gene maps in the a sequence of the genome which also serves several essential viral functions for the virus; (v) the *cis*-acting element  
30 essential for the expression of the gene  $\gamma_{134.5}$  is contained within the a sequence, in particular, the DR2 (12 base pair sequence repeated 22 times) and  $U_L$  element. This type of promoter structure is unique to this gene and not shared by other viral gene promoters.

The function of the gene  $\gamma_134.5$  in its ability to enable the virus to replicate, multiply and spread in the central nervous system (CNS) was demonstrated by a set of recombinant viruses and by testing their abilities to cause fatal encephalitis in the mouse brain. The mutant viruses lacking the gene therefore lost their ability to multiply and spread in the CNS and eyes and therefore is non-pathogenic. See Chou et al., Science, 250: 1212-1266, 1990.

The  $\gamma_134.5$  gene functions by protecting the nerve cells from total protein synthesis shutoff in a manner characteristic of programmed cell death (apoptosis) in neuronal cells. The promoter appears to contain stress response elements and is transactivated by exposure to UV irradiation, viral infection, and growth factor deprivation. These data suggest that the gene  $\gamma_134.5$  is transactivated in the nerve cells at times of stress to prevent apoptosis.

The significance of these findings therefore lies in the fact that  $\gamma_134.5$  extends viability or lends protection to the nerve cells so that in this instance, the virus can replicate and spread from cell to cell -- defined as neurovirulence. It also appears that the protection can be extended to other toxic agents or environmental stresses to which the cell is subjected. An important aspect about the nature of the neurons, unlike any other cells in human, is the fact that neurons in the brain, eyes or CNS do not regenerate which forms the basis of many impaired neurological diseases. Any genes or drugs that extend the life of cells from death or degeneration can be expected to have a significant impact in the area of neural degeneration.

The role of  $\gamma_134.5$ , and anti-apoptosis factors, in infected cells is in its early stages of elucidation.

Recent studies have suggested that Epstein-Barr virus enhances the survival capacity of infected cells through latent membrane protein 1(LMP1)-induced up-regulation of bcl-2. In that system it is postulated that LMP 1 induced bcl-2 up regulation gives virus infected B cells the potential to by-pass physiological selection and gain direct access to long lived memory B cell pools. However, bcl-2 expression fails to suppress apoptosis in some situations, for example upon withdrawal of interleukin-2 or interleukin-6. Moreover, the intracellular mechanism of action of bcl-2 expression remains unknown.

c. Programmed Cell Death and Disease Therapy

In light of the foregoing, it is apparent that the expression of  $\gamma_134.5$  in CNS cells added an extra dimension of protection to the neurons against viral infection, and naturally occurring and stress-induced apoptosis. An appreciation of this extra dimension of protection can be utilized in novel and innovative means for control and treatment of central nervous system (CNS) disorders. Specifically, treatment of CNS degenerative diseases, including Alzheimer's disease, Parkinson's disease, Lou Gerig's disease, and others the etiology of which may be traceable to a form of apoptosis, and the treatment of which is currently very poor, could be improved significantly through the use of either the  $\gamma_134.5$  gene in gene therapy or the protein expressed by  $\gamma_134.5$  as a therapeutic agent. This is especially critical where the death of neuronal cells is involved, due to the fact that, as noted, such cells do not reproduce post-mitotically. Since a finite number of neurons are available it is crucial to have available methods and agents for their protection and maintenance.  $\gamma_134.5$  is also a very useful gene for assays of substances which mimic the effect of  $\gamma_134.5$  and block stress of biologically induced programmed cell death.

Furthermore, the HSV-1 virus, appropriately modified so as to be made non-pathogenic, can serve as a vehicle for delivery of gene therapy to neurons. The HSV-1 virus is present in neurons of the sensory ganglia of 90% of the world's human population. The virus ascends into neuronal cell bodies via retrograde axonal transport, reaching the axon from the site of infection by the process of neurotropism. Once in the neuronal cell body the virus remains dormant until some form of stress induces viral replication (e.g. UV exposure, infection by a second virus, surgery or axotomy). As noted, the use of HSV-1 as a vector would necessitate construction of deletion mutants to serve as safe, non-pathogenic vectors. Such a virus would act as an excellent vector for neuronal gene therapy and its use would be an especially important development since few methods of gene therapy provide a means for delivery of a gene across the central nervous system's blood-brain barrier.

Moreover, other viruses, such as HSV-2, picornavirus, coronavirus, eunyavirus, togavirus, rahbdovirus, retrovirus or vaccinia virus, are available as vectors for  $\gamma_134.5$  gene therapy. As discussed with regard to the use of HSV-1 viruses, these vectors would also be altered in such a way as to render them non-pathogenic. In addition to the use of an appropriately mutated virus, implantation of transfected multipotent neural cell lines may also provide a means for delivery of the  $\gamma_134.5$  gene to the CNS which avoids the blood brain barrier.

In addition, use of the HSV-1 virus with a specific mutation in the  $\gamma_134.5$  gene provides a method of therapeutic treatment of tumorigenic diseases both in the CNS and in all other parts of the body. The " $\gamma_134.5$  minus" virus can induce apoptosis and thereby cause the death of the host cell, but this virus cannot replicate and spread. Therefore, given the ability to target tumors within the CNS, the  $\gamma_134.5$  minus virus has proven a powerful



therapeutic agent for hitherto virtually untreatable forms of CNS cancer. Furthermore, use of substances, other than a virus, which inhibit or block expression of genes with anti-apoptotic effects in target tumor cells can also serve  
5 as a significant development in tumor therapy and in the treatment of herpes virus infection, as well as treatment of infection by other viruses whose neurovirulence is dependent upon an interference with the host cells' programmed cell death mechanisms.

10

#### SUMMARY OF THE INVENTION

This invention relates to methods for the prevention or treatment of programmed cell death, or apoptosis, in neuronal cells for therapy in connection with neurodegenerative diseases, as well as methods of treatment  
15 of cancer and other tumorigenic diseases and herpes virus infection. The present invention also relates to assay methodologies allowing for the identification of substances capable of modulating the effects of the  $\gamma_{134.5}$  gene or its protein expression product ICP34.5, i.e., substances  
20 capable of potentiating or inhibiting their effects. Additionally, the present invention also relates to assay methodologies designed to identify candidate substances able to mimic either  $\gamma_{134.5}$  expression or the activity of ICP34.5. The present invention also relates to methods of  
25 delivering genes to cells for gene therapy.

In one illustrative embodiment of the present invention a method of preventing or treating programmed cell death in neuronal cells is described in which a non-pathogenic vector is prepared which contains the  $\gamma_{134.5}$   
30 gene. This vector is then introduced into neuronal cells which are presently undergoing or are likely to undergo programmed cell death. Those skilled in the art will realize that several vectors are suitable for use in this method, although the present invention envisions the use of

certain unique and novel vectors designed specifically for use in connection with delivery of the  $\gamma_134.5$  gene.

One such vector envisioned by the present invention is the HSV-1 virus itself, modified so as to render it non-pathogenic. Because of the unique capability of the HSV-1 virus to use an axon's internal transport system to move from the peripheral nerve endings of the neuron into the neuronal cell body, the present invention proposes the use of the non-pathogenic HSV-1 virus injected into the vicinity of the synaptic terminals of affected neurons, or in the area of a peripheral wound or lesion or other appropriate peripheral locus. The HSV-1 virus containing the  $\gamma_134.5$  gene, under a different target-specific promoter, would then be transported into the neuronal cell body via retrograde axonal transport.

The present invention envisions specific genomic modifications being introduced into the HSV-1 virus in order to render the virus non-cytotoxic. These modifications could include deletions from the genome, rearrangements of specific genomic sequences, or other specific mutations. One example of such a modification comprises modification or deletion of the  $\alpha 4$  gene which encodes the ICP4 protein. Deletion or modification of the gene expressing ICP4 renders the HSV-1 virus unable to express genes required for viral DNA and structural protein synthesis. However, the  $\gamma_134.5$  gene placed under a suitable promoter would be expressed, thus inducing an anti-apoptotic effect in the neuron without the potential for stress induced neurovirulence. Other genes which might be modified include the the  $\alpha 0$  gene. The present invention also envisions the use of other vectors including, for example, retrovirus, picorna virus, vaccinia virus, HSV-2, coronavirus, eunyavirus, togavirus or rhabdovirus vectors. Again, use of of such viruses as vectors will necessitate

construction of deletion mutations so that the vectors will be safe and non-pathogenic.

Another method by which the present invention envisions introducing the  $\gamma_134.5$  gene into neuronal cells undergoing or likely to undergo programmed cell death, is through the use of multi-potent neural cell lines. Such lines have been shown to change phenotype *in vitro* and have also been demonstrated to become integrated into the central nervous system of mice and to differentiate into neurons or glia in a manner appropriate to their site of engraftment. Snyder, et al., Cell, 68: 33-51, 1992. Transplant or engraftment of multi-potent neural cell lines into which the  $\gamma_134.5$  gene has been introduced into an area of the central nervous system in which cells are undergoing or are likely to undergo programmed cells death is expected to lead to reversal and inhibition of programmed cell death.

It is expected that the ability of  $\gamma_134.5$  to inhibit apoptosis will be a boon not only in human medicine, but also in basic scientific research. In this regard the present invention also envisions the use of the  $\gamma_134.5$  gene in the extension of the life of neuronal cells in cell culture. Introduction of a non-cytotoxic vector into cultured neuronal cells will have an anti-apoptotic effect and will thereby extend the life of cell cultures. This in turn will extend the time periods over which experimentation may be conducted, and can also be expected to decrease the cost of conducting basic research.

In addition to utilizing a vector comprising the  $\gamma_134.5$  gene, the present invention also discloses a method of preventing or treating programmed cell death in neuronal cells which involves the use of the product of expression of the  $\gamma_134.5$  gene. The protein expressed by  $\gamma_134.5$  is called ICP34.5. Ackermann, et al. (J. Virol., 58: 843-850,

1986) reported that ICP34.5 has an apparent molecular weight of 43,500 upon SDS-polyacrylamide gel electrophoresis, appears to accumulate largely in the cytoplasm of HIV infected cells, and in contrast to many HSV-1 proteins, ICP34.5 has been demonstrated to be soluble in physiologic solutions.

In practicing this method or the method in which the  $\gamma$ 134.5 gene is introduced into cells, it is envisioned that the  $\gamma$ 134.5 gene or a biological functional equivalent thereof could be used for gene therapy, or ICP34.5 in a purified form or a biological functional equivalent of the ICP34.5 protein could be utilized as an anti-apoptotic agent. As used herein, *functional equivalents* are intended to refer to those proteins, and their encoding nucleic acid sequences, in which certain structural changes have been made but which nonetheless are, or encode, proteins evidencing an effect similar to that of ICP34.5.

In light of the fact that certain amino acids may be substituted for other amino acids in a protein without appreciable loss of defined functional activity, it is contemplated by the inventors that various changes may be made in the sequence of the ICP34.5 protein (or the underlying DNA of the  $\gamma$ 134.5 gene) without an appreciable loss of biological utility or activity. Amino acids with similar hydropathic scores may be substituted for one another (see Kyte et al., J. Mol. Biol., 157: 105-132, 1982, incorporated herein by reference), as may amino acids with similar hydrophilicity values, as described in U.S. Patent 4,554,101, incorporated herein by reference.

Therefore, amino acid substitutions are generally based on the relative similarity of the amino acid side-chain substituents, for example, their hydrophobicity, hydrophilicity, charge, size, and the like. Exemplary substitutions which take various of the foregoing

characteristics into consideration are well known to those of skill in the art and include: arginine and lysine; glutamate and aspartate; serine and threonine; glutamine and asparagine; and valine, leucine and isoleucine.

5           This embodiment of the present invention describes a method which involves combining ICP34.5 or a biological functional equivalent thereof with a pharmaceutically acceptable carrier in order to form a pharmaceutical composition. (It should be understood in subsequent  
10 discussions that when  $\gamma_{134.5}$  or ICP34.5 are referred to, the inventors intend to include biological functional equivalents, including any chemicals which mimic the effect of  $\gamma_{134.5}$ .) Such a composition would then be administered to neurons likely to undergo or undergoing programmed cell  
15 death. Such a composition could be administered to an animal using intravenous, intraspinal injection or, in certain circumstances, oral, intracerebral or intraventricular administration may be appropriate. Furthermore, neuronal cells in culture could also benefit  
20 from administration of ICP34.5 through administration directly into the medium in which the neuronal cells are grown.

ICP34.5 can be prepared using a nucleic acid segment which is capable of encoding ICP34.5 (i.e., the  $\gamma_{134.5}$  gene  
25 or a biological functional equivalent). Such a segment could be expressed using, for example, a technique involving transferring the  $\gamma_{134.5}$  segment into a host cell, culturing the host cell under conditions suitable for expression of the segment, allowing expression to occur,  
30 and thereafter isolating and purifying the protein using well established protein purification techniques. The nucleic acid segment would be transferred into host cells by transfection or by transformation of a recombinant vector into the host cell.

A particularly important embodiment of the present invention relates to assays for candidate substances which can either mimic the effects of the  $\gamma_134.5$  gene, or mimic the effects of ICP34.5, as well as assays for candidate substances able to potentiate the function of  $\gamma_134.5$  or potentiate the protective function of ICP34.5. Additionally, methods for assaying for candidate substances able to inhibit either  $\gamma_134.5$  expression or the activity of ICP34.5 are also embodiments of the present invention.

In an exemplary embodiment, an assay testing for candidate substances which would block the expression of the anti-apoptosis gene or inhibit the activity of an anti-apoptotic protein such as ICP34.5 would proceed along the following lines. A test plasmid construct bearing the a sequence promoter and portions of the coding sequence of  $\gamma_134.5$  is fused to the lacZ reporter gene, or any other readily assayable reporter gene. This construct is then introduced into an appropriate cell line, for example a neuroblastoma or PC12 cell line, by G418 selection. A clonal and continuous cell line for screening purposes is then established. A control plasma construct bearing an HSV late promoter (a promoter which would normally not be expressed in cell lines and not induced to express by a stress factor which would normally induce apoptosis) is fused to the same or different indicator gene. This construct is also introduced into a continuous clonal cell line and serves as a control for the test cell line. The anti-apoptosis drugs would then be applied. Environmental stresses which typically trigger a sequence promoter activation and cause programmed cell death, such as UV injury, viral infection or deprivation of nerve growth factor, would then be applied to the cells. In control cells, the stress should have no effect on the cells and produce no detectible reaction in the assay. Stress in a test cell line in the absence of a positive candidate substance would give rise to an appropriate reaction,

typically a colorimetric reaction. Introduction of stress to the test cell line in the presence of the candidate substance would give rise to an opposite colorimetric reaction indicating that the candidate substance  
5 interferes either with expression of the  $\gamma_{134.5}$  gene, or with the ability of the substance to interfere with the anti-apoptotic activity of ICP34.5.

Similarly, the present invention describes an assay for candidate substances which would mimic or potentiate  
10 the activity of ICP34.5, or which would mimic the expression of  $\gamma_{134.5}$ , and such an assay would proceed along lines similar to those described above. A test cell line (e.g., a neuroblastoma cell line) constitutively expressing ICP34.5 and a fluorescent tagged cellular gene or any other  
15 tag providing an easily detected marker signalling viability of the cells is produced. In addition, a corresponding null cell line consisting of an appropriate indicator gene, for example the  $\alpha$ -lacZ indicator gene, and the same host indicator gene as in the test cell line is  
20 also produced. Also, a third cell line (e.g., a vero cell line) consisting of the same indicator gene and the identical host indicator gene is also produced. Again, environmental stresses which trigger programmed cell death in the absence of  $\gamma_{134.5}$  are applied to the cells.  
25 Candidate substances are also applied in order to determine whether they are able to mimic or potentiate the anti-apoptotic effects of  $\gamma_{134.5}$  expression or the anti-apoptotic activity of ICP34.5 or biological functional equivalents thereof.

30 The present invention also embodies a method of delivering genes for gene therapy. In an exemplary embodiment, the method involves combining the gene used for gene therapy with a mutated virus such as those described above, or with the HSV-1 virus rendered non-pathogenic.  
35 The gene and the virus are then combined with a

pharmacologically acceptable carrier in order to form a pharmaceutical composition. This pharmaceutical composition is then administered in such a way that the mutated virus containing the gene for therapy, or the HSV-1 wild type virus containing the gene, can be incorporated into cells at an appropriate area. For example, when using the HSV-1 virus, the composition could be administered in an area where synaptic terminals are located so that the virus can be taken up into the terminals and transported in a retrograde manner up the axon into the axonal cell bodies via retrograde axonal transport. Clearly, such a method would only be appropriate when cells in the peripheral or central nervous system were the target of the gene therapy.

The present invention also envisions methods and compositions for the treatment of cancer and other tumorogenic diseases, as well as herpes infections or other infections involving viruses whose virulence is dependent upon an anti-apoptotic effect. Candidate substances identified as having an inhibiting effect upon either the expression or activity of ICP34.5 identified in the assay methods discussed above could be used to induce cell death in target tumor cells, or in virus-infected cells. Pharmaceutical compositions containing such substances can be introduced using intrathecal, intravenous, or direct injection into the tumor or the infected area, as appropriate.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 shows DNA sequence comparisons of HSV-1 strains F (SEQ ID NO's 1-5), 17syn+ (SEQ ID NO's: 6-9), MGH-10 (SEQ ID NO's 10-15), and CVG-2 (SEQ ID NO's 16-20) in the region of the gene for ICP34.5 (left panel) and the predicted open frames for ICP34.5 in these strains (SEQ ID NO's 25-34) (right panel). Unless otherwise indicated by a new base (insertion of A, C, G, or T), a new amino acid (three-letter code), or absence of a base or amino acid (-), the



sequences for strains HSV-1(17)syn+, HSV-1(MGH-10), and HSV-1(CVG-2) were identical to the sequence for HSV-1(F). An asterisk indicates initiation of a repeat sequence of nine nucleotides or three amino acids. Direct repeat 1(DR1) designates the 20-base-pair repeat sequence flanking the a sequence. Sequences upstream of direct repeat 1 are contained within the a sequence. The number at the end of each line indicates the relative position from nucleotide 1 (left panel) or amino acid 1 (right panel). The initiation and termination codons for the HSV-1(F) sequence are underlined.

Fig. 2 shows sequence arrangements of the genome of wild-type strain HSV-1 strain F [HSV-1(F)] and of recombinant viruses derived from it. Top line, the sequence arrangement of HSV-1(F)  $\Delta$ 305. The rectangles identify the inverted repeats *ab*, *b' a' c*, and *ca*. The HSV-(F) a sequence is present in a direct orientation at the two genomic termini and in the inverted orientation at the junction between the long and short components. The *b* and *c* sequences are approximately 9 and 6 kbp long, respectively. The triangle marked TK identifies the position of the *tk* gene and of the Bgl II to Sac I sequence of BamHI Q fragment deleted from HSV-1(F) $\Delta$ 305. Lines two and three from the top show that the *b* sequences contain the genes specifying ICP34.5 and ICP0 and, since *b* sequence is repeated in an inverted orientation, there are two copies of these genes per genome. The construction of the a24-tk fragment containing portions of the glycoprotein H gene has been described. Chou and Roizman, J. Virol., 57: (1986); Ackerman et al., J. Virol., 58: 843 (1986); Chou and Roizman, J. Virol., 64: 1014 (1990). Line 7 shows a schematic diagram of the insertion of the oligonucleotide containing stop codons in all three reading frames. The plasmids pRB3615 and pRB2976 used in the construction of R4002 and R4004, respectively, were described elsewhere. Chou and Roizman, J. Virol., 57: 629 (1986) and J. Virol.,

64: 1014 (1990). To generate pRB3616, plasmid pRB143 was digested with BstEII and Stu I, blunt-ended with T4 polymerase, and relegated. The asterisks designate nucleotides from vector plasmid that form cohesive ends with the synthesized oligomers (SEQ ID NO's 21-22). The insertion of the  $\alpha 4$  epitope into the first amino acid of ICP34.5 (line 9) has been described, Chou and Roizman, J. Virol., 64: 1014 (1990), except that in this instance the sequence was inserted into both copies of the  $\gamma_1 34.5$  gene (SEQ ID NO's 23, 24 and 34). The *tk* gene was restored in all recombinant viruses tested in mice. HSV-1(F)R (line 6) was derived from R3617 by restoration of the sequences deleted in  $\gamma_1 34.5$  and *tk* genes. N, Be, S, and St are abbreviations for Nco I, BstEII, Sac I, and Stu I restriction endonucleases (New England Biolabs), respectively. The numbers in parentheses are the *tk*<sup>+</sup> version of each construct tested in mice.

Fig. 3 shows an autoradiographic image of electrophoretically separated digest of plasmid, wild-type, and mutant virus DNAs, transferred to a solid substrate and hybridized with labelled probes for the presence of  $\gamma_1 34.5$  and *tk* genes. The plasmids or viral DNAs shown were digested with BamHI or, in the case of R4009 shown in lanes 10, with both BamHI and Spe I. The hybridization probes were the fragment Nco I to Sph I contained entirely within the coding sequences of  $\gamma_1 34.5$  (left panel) and the BamHI Q fragment of HSV-1(F) (right panel). The probes were labeled by nick translation of the entire plasmid DNAs with [ $\alpha$ -<sup>32</sup>P] deoxycytidine triphosphate and reagents provided in a kit (Du Pont Biotechnology Systems). The DNAs that were limit digested with BamHI (all lanes) or both BamHI and Spe I (left panel, lane 10) were electrophoretically separated on 0.8% agarose gels in 90 mM trisphosphate buffer at 40 V overnight. The DNA was then transferred by gravity to two nitrocellulose sheets sandwiching the gel and hybridized overnight with the respective probes.  $\gamma_1 34.5$  maps in BamHI

S and SP fragments, which form a characteristic ladder of bands at 500-bp increments. The ladders are a consequence of a variable number of a sequences in the repeats flanking the unique sequences of the junction between the long and short components, whereas BamHI S is the terminal fragment of the viral genome at the terminus of the long component, whereas BamHI SP is a fragment formed by the fusion of the terminal BamHI S fragment with BamHI P, the terminal BamHI fragment of the short component. Bands of BamHI S, SP, and Q and their deleted versions,  $\Delta$ BamHI S,  $\Delta$ BamHI SP, and  $\Delta$ BamHI Q ( $\Delta$ Q), respectively, are indicated. Bank 1 represents the 1.7-kbp  $\alpha$ 27-tk insert into the BamHI SP fragment in R4002, and therefor this fragment reacted with both labeled probes (lanes 4). Band 2 represents the same insertion into the BamHI S fragment.

Fig. 4 shows autoradiographic images (left panel) and photograph of lysates of cells mock infected (M) or infected with HSV-1(F) and recombinant viruses (right panel) separated electrophoretically in denaturing polyacrylamide (10%) gels, transferred electrically to a nitrocellulose sheet, and stained with rabbit polyclonal antibody R4 described elsewhere. Ackerman et al., J. Virol., 58: 843 (1986); Chou and Roizman, J. Virol., 64: 1014 (1990). Replicate cultures of Vero cells were infected and labeled with [ $^{35}$ S]methionine (Du Pont Biotechnology Systems) from 12 to 24 hours after infection, and equivalent amounts of cell lysates were loaded in each slot. The procedures were as described (Ackerman et al.; Chou and Roizman) except that the bound antibody was made apparent with the alkaline phosphatase substrate system supplied by Promega, Inc. Infected cell proteins were designated by number according to Honess and Roizman (J. Virol., 12: 1346 (1973)). The chimeric ICP34.5 specified by R4003 migrated more slowly than the protein produced by other viruses because of the increased molecular weight caused by the insertion of the epitope.

Fig. 5 is a schematic representation of the genome structure and sequence arrangements of the HSV-1 strain F [HSV01(F)] and related mutants. Top line: The two covalently linked components of HSV-1 DNA, L and S, each consist of unique sequences flanked by inverted repeats (7, 31). The reiterated sequences flanking the L component designated as ab and b'a' are each 9 kb in size, whereas the repeats flanking the S component are 6.3 kb in size (31). Line 2: expansion of portions of the inverted repeat sequences ab and b'a' containing the  $\gamma_134.5$  and  $\alpha 0$  genes. Line 3: sequence arrangement and restriction endonuclease sites in the expanded portions shown in line 2. Open box represents the 20 bp direct repeat sequence (DR1), flanking the a sequence (26,27). Restriction site designations are N,- NcoI; Be,- BstEII; S,- SacI; St,- StuI. Line 4: the thin line and filled rectangle represent the transcribed and coding domains of the  $\gamma_134.5$  gene (406). Vertical line, location of the transcription initiation sites of  $\gamma_134.5$  and of  $\alpha 0$  genes. In the R3616 viral recombinant, one Kb was deleted between BstEII at 28th amino acid of  $\gamma_134.5$  to StuI at the 3' terminus of the genes as shown. In HSV-1(F)R DNA, the sequences deleted from the  $\gamma_134.5$  gene in R3616 were restored and therefore the virus could be expected to exhibit a wild-type phenotype. The R4009 recombinant virus DNA contains an in frame translation termination codons at the BstEII site. Vertical arrow on top points to the site of the stop codon insertion.

Fig. 6 shows an autoradiographic image of eletrophoretically separated lysates of infected cells labeled for 90 minutes with  $^{35}\text{S}$ -methionine at stated time points. The SK-N-SH neuroblastoma and Vero cell lines were mock infected (M) or exposed at 37°C to 5 pfu of wild-type or mutant viruses in 6 well (Costar, Cambridge, Mass.) dishes. At 2 hours post exposure, the cells were overlaid with mixture 199 supplemented with 1% calf serum. At 5.5 and 11.5 hours post exposure of cells to viruses, replicate

infected 6 well cultures were overlaid with 1 ml of the 199v medium lacking unlabeled methionine but supplemented with 50 $\mu$ Ci of  $^{35}$ S-methionine (specific activity >1,000 Ci/mole, Amersham Co. Downers Grove, IL). After 90 minutes in labeling medium, the cells were harvested, solubilized in a buffer containing sodium dodecyl sulphate, subjected to electrophoresis on a denaturing 12% polyacrylamide gels crosslinked with N, N' Diallyltartardiamide, electrically transferred to nitrocellulose sheet and subjected to autoradiography as previously described (13). Infected cell polypeptides (ICP) were designated according to Honess and Roizman, J. Virol., 12: 1347-1365 (1973).

Fig. 7 shows autoradiographic images of labeled polypeptides electrophoretically separated in denaturing gels and photographs of protein bands made apparent by their reactivity with antibodies. The SK-N-SH neuroblastoma and Vero cells were either mock infected (M) or infected with 5 pfu of either R3616 or the parent HSV-1(F) per cell as described in the legend to Figure 6. The cultures were labeled for 1.5 hr before harvesting at 13th hr post exposure of cells to virus. Preparation of cell extracts, electrophoresis of the polypeptides, electric transfer of the separated polypeptides to a nitrocellulose sheet, and autoradiography were carried out as described elsewhere (Ackerman et al., J. Virol., 52: 108-118, 1984). The nitrocellulose sheets were reacted with the respective antibodies with the aid of kits from Promega, Inc. (Madison, WI) according to manufacture's instruction. Monoclonal antibodies H1142 against  $\alpha$ 27 and H725 against the product of the U<sub>L</sub>26.5 gene were the generous gift of Lenore Pereira, University of California at San Francisco. The M28 monoclonal antibody to U<sub>S</sub>11 protein and the rabbit polyclonal antibody R161 against viral thymidine kinase ( $\beta$ tk) were made to a specific peptide (M. Sarmiento and B.

Roizman, unpublished studies) in this laboratory. ICP designations were the same as noted before.

Fig. 8 shows an autoradiographic image of viral proteins expressed during infection on SK-N-SH neuroblastoma cell lines in the presence or absence of phosphonoacetate (PAA). Duplicate SK-N-SH neuroblastoma cell cultures were either treated with phosphonoacetate (300  $\mu$ g/ml; Sigma Chemical Co., St. Louis, MO) starting at 1.5 hr prior to infection continuously until the termination of infection or left untreated. The cultures were either mock infected or exposed to 5 pfu of either HSV-1(F), R3616, R4009 and HSV-1(F)R at 5 pfu/cell. At 11.5 hours post exposure to virus, the cells were overlaid with medium containing 50  $\mu$ Ci of  $^{35}$ S-methionine as described in legend to Figure 6. Polypeptide extraction, electrophoresis on 12% polyacrylamide gels crosslinked with N,N' Diallyltartardiamide, electrical transfer to nitrocellulose sheets and autoradiography were as described in the legend to Figure 6.

Fig. 9 shows viral DNA and RNA accumulation in infected SK-N-SH neuroblastoma and Vero cell cultures. Left panel: Photograph of ethidium bromide stained agarose gel containing electrophoretically separated BamHI digests of total DNAs extracted from mock-infected cells or cells infected with HSV-1(F), R3616, R4009 or HSV-1(F)R viruses. Right panel: Hybri-dization of electrophoretically separated RNA transferred to a nitrocellulose sheets probed with RNA sequences antisense to  $\alpha$ 47, U<sub>s</sub>10 and U<sub>s</sub>11 open reading frames. SK-N-SH neuroblastoma and Vero cells were either mock-infected or exposed to 5 pfu of HSV-1(F) or of R3616 per cell. Total DNAs were extracted from cells at 17 hr post infection by the procedure published by Katz et al., (J. Virol., 64: 4288-4295), digested with BamHI, electrophoresed in 0.8% agarose gel at 40V overnight and stained with ethidium bromide for visualization. For RNA

analysis, SK-N-SH neuroblastoma and Vero cells were either mock-infected or infected with R3616 and HSV-1(F) as described above. At 13 hrs post exposure of cells to virus the RNA was extracted by the procedure of Peppel and Baglioni (BioTechniques, 9: 711-712, 1990). The RNAs were then separated by electrophoresis on 1.2% agarose gel, transferred by gravity to a nitrocellulose sheet and probed with anti-sense RNA made from in vitro transcription of pRB3910 off T7 promoter using kit from Promega, Inc. according to manufacturer's instruction.  $\alpha 47$ ,  $U_{s10}$  and  $U_{s11}$  transcripts overlap in sequence and share the same 3' co-terminal sequence. McGeoch et al., J. Gen. Virol., 64: 1531-1574 (1988). The  $U_{s10}$  transcript is of low abundance and not detected in this assay.

15        **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS**  
         **Introduction**

         The present invention relates to the use of the HSV-1  $\gamma_1 34.5$  gene, the ICP34.5 protein expressed by that gene, and derivatives of the protein which function in a similar manner as therapeutics for (neuronal) programmed cell death. The present invention also relates to the use of altered, non-pathogenic HSV-1 virus (as well as other viruses) as a vector for gene therapy. Other aspects of the present invention relate to assays for detecting candidate substances capable of acting as anti-apoptotic agents, as well as assays for detecting candidate substances able to induce programmed cell death in tumor cells. Additionally, the present invention also relates to methods for treating cancer and other tumorigenic diseases. Finally, the present invention also relates to the use of candidate substances capable of inactivating the  $\gamma_1 34.5$  gene or ICP34.5 and thereby suppressing HSV-1 and other viral infections.

         The wild-type HSV-1 genome (150 kilobase pairs) has two components, L and S, each possessing unique sequences

flanked by inverted repeats. The repeat sequences of the L component, designated *ab* and *b'a'*, are each 9 kilobase pairs, whereas the repeat sequences of the S component, designated *a'c'* and *ca*, are each 6.5 kilobase pairs.

- 5 Wadsworth et al., J. Virol., 15: 1487-1497 (1975). The shared a sequence, 500-base pairs long in HSV-1 strain F [HSV-1(F)], is present in one copy at the S component terminus and in one to several copies, in the same orientation, at the junction between L and S components.
- 10 The L and S components invert relative to each other such that the DNA extracted from virions or infected cells consists of four isomers differing solely in the orientation of the L and S components relative to each other. Hayward et al., Proc. Natl. Acad. Sci. USA, 72:
- 15 4243-4247 (1975). The a sequence appears to be a *cis*-acting site for inversions inasmuch as insertion of the a sequence elsewhere in the genome or deletion of the entire internal inverted repeat sequences (*b'a'c'*) leads to additional inversions or the loss of the ability of the L
- 20 and S components to invert, respectively. The a sequence was also shown to contain the *cis*-acting sites for the circularization of the genome after infection, for cleavage of the HSV genome from concatemers, and for encapsidation of the DNA.

- 25 HSV-1 genomes contain at least 73 genes whose expression is coordinately regulated and sequentially ordered in cascade fashion. The  $\alpha$  genes are expressed first, followed by  $\beta$ ,  $\gamma_1$  and  $\gamma_2$  genes. The differentiation among  $\beta$ ,  $\gamma_1$  and  $\gamma_2$  genes is operationally based on the
- 30 effect of inhibitors of viral DNA synthesis. Whereas the expression of  $\beta$  genes is stimulated and that of  $\gamma_1$  genes is only slightly reduced by inhibitors of viral DNA synthesis, the expression of  $\gamma_2$  genes stringently requires viral DNA synthesis.



In the course of studies on the function of the a sequence, Chou and Roizman (Cell, 41: 803-811, 1985) noted that the chimeric structure consisting of the a sequence fused to the 5' transcribed, noncoding sequences of the thymidine kinase (TK) gene of HSV-1 was inducible in transferred cells and regulated as a  $\gamma_1$  gene when inserted into the viral genome. This observation suggested that the terminus of the a sequence nearest the b sequence of the inverted repeats contained a promoter and the transcription initiation site of a gene whose structural sequences were located in the b sequences flanking the L component. Studies involving hybridization of labeled DNA probes to electrophoretically separated RNAs extracted from infected cells, and S1 nuclease analyses confirmed the existence of RNA transcripts initiating in the a sequence. Nucleotide sequence analyses revealed the presence of an open reading frame capable of encoding a protein 263 amino acids long. Chou and Roizman, J. Virol., 64: 1014-1020 (1990).

Previous studies have shown that each inverted repeat of the S component contains in its entirety a gene designated  $\alpha_4$ , whereas each of those of the L component contains in its entirety a gene designated  $\alpha_0$ . See, e.g., Mackem and Roizman, J. Virol., 44: 934-947 (1982). The putative gene identified on the basis of nucleotide sequence and analyses of RNA is also present in two copies per genome. Because of the overlap of the domain of this gene with the a sequence containing the *cis*-acting sites for inversion, cleavage of DNA from concatemers, and packaging of the DNA, it was of interest to identify and characterize the gene product. For this purpose, the observation that the nucleotide sequence predicted the presence in the protein of the amino acid triplet Ala-Thr-Pro repeated 10 times was utilized, and antibody to a synthetic peptide synthesized on the basis of this sequence reacted with a 43,500-apparent-molecular-weight HSV-1 protein. Ackerman et al., J. Virol., 58: 843-850, 1986.

The extent of variability of the open reading frame that encodes ICP34.5 was established by comparing the nucleotide sequences of three HSV-1 strains passaged a limited number of times outside a human host. Chou and Roizman (J. Virol., 64: 1014-1020, 1990) reported that the gene that specifies ICP34.5 contains 263 codons conserved in all three limited passage strains but not in the reported sequence of the HSV-1(17)syn+ strain. (FIG. 1) To ensure that the antibody to a predicted repeat sequence, Ala-Thr-Pro, reacted with ICP34.5 rather than with a heterologous protein with a similar repeat sequence, a short sequence of 45 nucleotides that encodes an epitope characteristic of another HSV-1 gene was inserted near the 5' terminus of the ICP34.5-coding domain. The recombinant virus expressed a protein with an appropriately slower electrophoretic mobility and which reacted with both the monoclonal antibody to the inserted epitope and rabbit antiserum to the Ala-Thr-Pro repeat element.

Studies of the identification of the genes associated with neurovirulence have repeatedly implicated DNA sequences located at or near a terminus of the long component of HSV-1 DNA. Thus, Centifanto-Fitzgerald et al. (J. Exp. Med., 155: 475, 1982) transferred, by means of a DNA fragment, a virulence marker from a virulent to an antivirulent strain of HSV-1. Deletion of genes located at one terminus of the long component of HSV-1 DNA contributed to the lack of virulence exhibited by a prototype HSV vaccine strain. Maignier et al., J. Infect. Dis., 158: 602 (1988). In other studies, Javier et al. (J. Virol., 65: 1978, 1987) and Thompson et al. (Virology, 172: 435, 1989) demonstrated that an HSV-1 x HSV-2 recombinant virus consisting largely of HSV-1 DNA but with HSV-2 sequences located at one terminus of the long component was avirulent; virulence could be restored by rescue with the homologous HSV-1 fragment. Taha et al. (J. Gen. Virol., 70: 705, 1989) described a spontaneous deletion mutant

lacking 1.5 kbp at both ends of the long component of a HSV-2 strain. Because of heterogeneity in the parent virus population, the loss of virulence could not be unambiguously related to the specific deletion, although the recombinant obtained by marker rescue was more virulent than the deletion mutant. In neither study was a specific gene or gene product identified at the mutated locus, and no gene was specifically linked to virulence phenotype.

#### Role of the $\gamma_{134.5}$ Gene

To test the possible role of the product of the  $\gamma_{134.5}$  gene, ICP34.5, a series of four viruses (Fig. 2) were genetically engineered by the procedures of Post and Roizman (Cell, 25: 227, 1981, incorporated herein by reference).

1) Recombinant virus R4002 (Fig. 4, lane 3) contained the insertion of a thymidine kinase (tk) gene driven by the promoter of the  $\alpha 27$  gene ( $\alpha 27$ -tk) in both copies of the ICP34.5 coding sequences. It was constructed by cotransfecting rabbit skin cells with intact DNA of HSV-1(F) $\Delta 305$ , a virus from which a portion of the tk gene was specifically deleted, with the DNA of plasmid pRB3615, which contains the  $\alpha 27$ -tk gene inserted into the  $\gamma_{134.5}$  gene contained in the BamHI S fragment. Recombinants that were tk<sup>+</sup> were then selected on human 143 thymidine kinase minus (TK<sup>-</sup>) cells. The fragment containing the  $\alpha 27$ -tk gene contains downstream from the tk gene: the 5' untranscribed promoter, the transcribed noncoding sequence, and the initiating methionine codon of the glycoprotein H gene. The BstEII site into which the  $\alpha 27$ -tk fragment was inserted is immediately upstream of the codon 29 of the  $\gamma_{134.5}$  open reading frame. As a consequence, the initiating codon of glycoprotein H was fused in frame and became the initiating codon of the truncated open reading frame of the  $\gamma_{134.5}$  gene

(Fig. 2, line 3). The recombinant selected for further study, R4002, was shown to contain the  $\alpha 27$ -tk gene insert in both copies of  $\gamma_1 34.5$  gene (Fig. 3, lanes 4) and specified only the predicted truncated product of the chimeric  $\gamma_1 34.5$  gene (Fig. 4, right panel, lane 3). The amounts of the native ICP34.5 protein detected in these and previous studies have been generally low. The chimeric genes formed by the fusion of the 5' transcribed noncoding region and the initiating codon of glycoprotein H in frame with the truncated  $\gamma_1 34.5$  gene were expressed far more efficiently than the native genes.

2) The recombinant virus R3617 (Fig. 2, line 5 from the top) lacking 1 kb of DNA in each copy of the  $\gamma_1 34.5$  gene was generated by cotransfecting rabbit skin cells with intact R4002 DNA and the DNA of plasmid pRB3616. In this plasmid, the sequences containing most of the coding domain of  $\gamma_1 34.5$  has been deleted (Fig. 2, line 5 from top). The  $tk^-$  progeny of the transfection was plated on 143TK $^-$  cells overlaid with medium containing bromodeoxy uridine (BrdU). This procedure selects  $tk^-$  viruses, and since the  $tk$  gene is present in both copies of the  $\gamma_1 34.5$  gene, the selected progeny of the transfection could be expected to contain deletions in both copies. The selected  $tk^-$  virus designated as R3617 was analyzed for the presence of the deletion in both copies of the  $\gamma_1 34.5$  gene. For assays of neurovirulence, the deletion in the native  $tk^-$  gene of R3617, which traces its origin from HSV-1(F) $\Delta 305$ , had to be repaired. This was done by cotransfection of rabbit skin cells with intact R3617 DNA and BamHI Q fragment containing the  $tk$  gene. The virus selected for  $tk^+$  phenotype in 143TK $^-$  cells was designated R3616. This virus contains a wild-type BamHI Q fragment (Fig. 3, right panel, lane 6) and does not make ICP34.5 (Fig. 4, right panel).

3) To ascertain that the phenotype of R3616 indeed reflects the deletion in the  $\gamma_1 34.5$  gene, the deleted

sequences were restored by cotransfecting rabbit skin cells with intact R3617 DNA, the HSV-1(F) BamHI Q DNA fragment containing the intact *tk* gene, and the BamHI SP DNA fragment containing the intact  $\gamma_1$ 34.5 gene in the molar ratios of 1:1:10, respectively. Viruses that were *tk*<sup>+</sup> were then selected in 143TK<sup>-</sup> cells overlaid with medium containing hypoxanthine, aminopterin, and thymidine. The *tk*<sup>+</sup> candidates were then screened for the presence of wild-type *tk* and  $\gamma_1$ 34.5 genes. As expected, the selected virus designated HSV-1(F)R (Fig. 2, line 6) contained a wild-type terminal long component fragment (compare Fig. 3, left panel, lanes 2, 7, and 8), and expressed ICP34.5 (Fig. 4, right panel, lane 6).

4) To eliminate the possibility that the phenotype of R3616 reflects deletion in cryptic open reading frames, a virus was constructed (R4010, Fig. 2, line 7 from the top) that contains translational stop codons in all three reading frames in the beginning of the ICP34.5 coding sequence. The 20-base oligonucleotide containing the translational stop codons and its complement sequence (Fig. 2) were made in an Applied Biosystems 380D DNA synthesizer, mixed at equal molar ratio, heated to 80°C, and allowed to cool slowly to room temperature. The annealed DNA was inserted into the HSV-1(F) BamHI S fragment at the BstEII site. The resulting plasmid pRB4009 contained a stop codon inserted in the beginning of the ICP34.5 coding sequence. The 20 nucleotide oligomer DNA insertion also contained a Spe I restriction site, which allowed rapid verification of the presence of the insert. To generate the recombinant virus R4010, rabbit skin cells were cotransfected with the intact DNA of R4002 and the pRB4009 plasmid DNA. Recombinants that were *tk*<sup>-</sup> were selected in 143TK<sup>-</sup> cells in medium containing BrdU. The *tk*<sup>+</sup> version of this virus, designated R4009, was generated by cotransfection of intact *tk*<sup>-</sup> R4010 DNA with HSV-1(F) BamHI Q DNA fragment, and selection of *tk*<sup>+</sup> progeny. The virus selected for

neurovirulence studies, R4009, contained the Spe I restriction endonuclease cleavage site in both BamHI S and SP fragments (compare Fig. 3, left panel, lanes 9 and 10) and did not express ICP34.5 (Fig. 4, right panel, lane 7).

5           5) R4004 (Fig. 2, last line) was a recombinant virus produced by insertion of a sequence encoding 16 amino acids. This sequence has been shown to be the epitope of the monoclonal antibody H943 reactive with a viral protein designated as ICP4. Hubenthal-Voss et al., J. Virol., 62:  
10 454 (1988). The virus was generated by cotransfecting intact R4002 DNA and the DNA of plasmid pRB3976 containing the insert, and the selected *tk*<sup>-</sup> progeny was analyzed for the presence of the insert. For neurovirulence studies, its *tk* gene was restored (recombinant virus R4003) as  
15 described above. The DNA sequence was inserted in frame at the Nco I site at the initiating methionine codon of the  $\gamma_{134.5}$  gene. The insert regenerated the initiating methionine codon and generated a methionine codon between the epitope and the remainder of ICP34.5. Because of the  
20 additional amino acids, the protein migrated more slowly in denaturing polyacrylamide gels (Fig. 4, right panel, lane 4).

Plaque morphology and size of all of the recombinants were similar to those of the wild-type parent, HSV-1(F)  
25 when plated on Vero, 143TK<sup>-</sup>, and rabbit skin cells lines. Whereas HSV-1(F)R and R4003 replicated as well as the wild-type virus in replicate cultures of Vero cells, the yields of R3616 and R4009 were reduced to one-third to one-fourth the amount of the wild type. Although ICP34.5 was not  
30 essential for growth of HSV-1 in cells in culture, the results of the studies shown in Table 1 indicate that the deletion or termination of translation of the  $\gamma_{134.5}$  has a profound effect on the virulence of the virus. Thus, all of the mice inoculated with the highest concentration [1.2  
35 x 10<sup>6</sup>

plaque-forming units (PFU)] of R3616 survived. In the case of R4009, only three of ten mice died as a result of inoculation with the highest concentration of virus ( $\sim 10^7$  PFU). In comparison with other deletion mutants, R3616 and R4009 rank among the least pathogenic viruses reported to date. The virus in which the  $\gamma_1 34.5$  gene was restored exhibited the virulence of the parent virus.

TABLE 1

10	Virus in the inoculum	Genotype	PFU/LD <sub>50</sub>
	HSV-1(F)	Wild-type parent virus	420
	R3616	1000-bp deletion in the $\gamma_1 34.5$	>1,200,000
15	HSV-1(F)R	Restoration of $\gamma_1 34.5$ and tk	130
	R4009	Stop codon in $\gamma_1 34.5$	>10,000,000
	R4003	Monoclonal antibody epitope inserted at the NH <sub>2</sub> terminal	4,200

20 Comparative ability of wild-type and recombinant viruses to cause death after intracerebral inoculation of mice. The neurovirulence studies were done on female BALB/C mice obtained at 21 days of age (weight  $\pm 1.8$  g) from Charles River Breeding Laboratories in Raleigh, North Carolina. 25 The viruses were diluted in minimum essential medium containing Earle's salts and 10% fetal bovine serum, penicillin, and gentamicin. The mice were inoculated intracerebrally in the right cerebral hemisphere with a 26-gauge needle. The volume delivered was 0.03 ml, and each dilution of virus was tested in groups of ten mice. The animals were checked daily for mortality for 21 days. The LD<sub>50</sub> was calculated with the aid of the 35 "Dose effect Analysis" computer program from Elsevier Biosoft, Cambridge, United Kingdom.

The wild-type virus and all of the recombinants have identical surface glycoproteins necessary for attachment and penetration into brain cells. Injection of  $10^6$  PFU into the brain should result in infection and death of a significant number of the brain cells. Death after intracerebral inoculation results from viral replication, spread from cell to cell, and cell destruction before the immune system has a chance to act. Titrations of brain tissue suspended in minimal essential medium containing Eagle's salts and 10% fetal bovine serum showed that the brains of animals inoculated with the viruses that failed to make ICP34.5 contained very little virus. Thus, for the R3616 and R4009 viruses, the recovery was 120 and 100 PFU per gram of brain tissue, respectively. Given the amount of virus in the inoculum (highest concentration tested), it is not clear whether the small amounts of recovered virus represent a surviving fraction of the inoculum or newly replicated virus. In contrast, the amounts of virus recovered from mice inoculated with HSV-1(F)R and R4003 were  $6 \times 10^6$ , respectively. These results indicate that the failure of the two recombinant viruses to cause death must be related to poor spread of virus in neuronal tissue as a consequence of the inability of mutant viruses to replicate in the CNS, reflecting a reduction in their host range.



In the course of studies designed to determine the function of the  $\gamma_134.5$  gene product, it was discovered that infection of cells of neuronal origin with mutants incapable of expressing the  $\gamma_134.5$  gene resulted in shutoff of cellular protein synthesis, whereas infection of cells of non neuronal origin with wild type or mutant viruses resulted in sustained protein synthesis and production of infectious progeny.

EXAMPLE 1 - IMPACT OF  $\gamma_134.5$   
EXPRESSION ON PROGRAMMED CELL DEATH

Materials and Methods

**Cells** Vero cells originally obtained from ATCC were propagated in DME media containing 5% calf serum. The human SK-N-SH neuroblastoma (NB) cell line was obtained from ACTT (HTB11) and propagated in Dulbecco's modified Eagle's medium containing 10% fetal bovine serum.

**Viruses** The isolation of herpes simplex virus 1 strain F, [HSV-1(F)] has been described by Ejercito et al. (J. Gen. Virol., 2: 357-364 (1968) (incorporated herein by reference). The construction of recombinant viruses R3616, R4009, and HSV-1(F) was reported by Chou et al. (Science, 250: 1262-1266, November 30, 1990) (incorporated herein by reference). As illustrated in Fig. 5, R3616 contains a 1 Kbp deletion in both copies of the  $\gamma_134.5$  gene. In the recombinant R4009 a stop codon was inserted in both copies of the  $\gamma_134.5$  gene. The  $\gamma_134.5$  genes in the recombinant R3616 were restored to yield the recombinant HSV-1(F)R.

**Virus Infection** Cells were generally exposed to the viruses for 2 h at 37°C at multiplicity of infection of 5 and then removed and replaced with the 199v media containing 1% calf serum. The infection continued at 37°C for a length of time as indicated for each experiment.

Cells were then either labeled for de novo protein synthesis or analysis of viral DNA and RNA.

<sup>35</sup>S-methionine labeling At the indicated time post infection, 50uCi of <sup>35</sup>S-methionine (specific activity >1,000 Cimmole, Amersham Co., Downers Grove, IL) was added to 1ml of 199v media lacking methionine to cells in 6 well dishes. Labeling was continued for 1.5 hr, at which time cells were harvested. Preparation of cell extracts; separation of proteins by electrophoresis in denaturing polyacrylamide gels crosslinked with N,N' Diallyltartardiamide (Bio-Rad Laboratories, Richmond, CA); transfer of polypeptides to nitrocellulose sheets; autoradiography and immunoblot with antibodies have been described by Ackermann et al., J. Virol., 52: 108-118 (1984) (incorporated herein by reference).

### Results

HSV-1 recombinant viruses lacking the  $\gamma_{134.5}$  gene induce the shut off protein synthesis in neuroblastoma cells. In the course of screening human cell lines derived from CNS tissues it was apparent that the SK-N SH neuroblastoma cell lines produced 100 fold less mutant viruses than the fully permissive Vero cells. In was also noted, as shown in Fig. 6, that the SK-N-SH neuroblastoma cells infected with R3616 or with R4009 exhibited reduced protein synthesis in cells harvested at 7 hours (left panel) and ceased to incorporate <sup>35</sup>S-methionine by 13 hours (right panel) post infection. The phenomenon was observed in SK-N-SH neuroblastoma cells only, and could be attributed specifically to the mutations in the  $\gamma_{134.5}$  gene inasmuch as restoration of the deleted sequences yielded a virus [HSV-1(F)R] which expressed viral proteins at 13 hour post infection (Fig. 6, right panel) and exhibited the parental, wild type phenotype.

The shut off of protein synthesis occurred after the expression of  $\alpha$  genes. Viral genes form three major groups whose expression is coordinately regulated and sequentially ordered in a cascade fashion. See Roizman and Sears, Fields' Virology, 2 ed., Fields et al., Eds, 1795-1841 (1990). The  $\alpha$  genes do not require de novo protein synthesis for their expression, the  $\beta$  genes which are required for the synthesis of viral DNA require prior synthesis of functional  $\alpha$  and  $\beta$  proteins and the onset of viral DNA synthesis. To determine the point at which expression of viral gene functions was terminated in SK-N-SH neuroblastoma cells infected with mutant viruses, infected cell lysates electrophoretically separated in denaturing polyacrylamide gels were transferred to a nitrocellulose sheet and probed with antibody to an  $\alpha$  ( $\alpha 27$ ), a  $\beta$  (viral thymidine kinase) and two abundant  $\gamma$  proteins. Roller and Roizman (J. Virol., 65: 5873-5879 (1991) have shown that the latter were the products of  $U_{L26.5}$  and of  $U_{L11}$  genes whose expression at optimal levels requires viral DNA synthesis. As shown in Fig. 7, the SK-N-SH neuroblastoma cells infected with the mutant viruses made normal amounts of  $\alpha 27$  protein (left panel), reduced amounts of the thymidine kinase ( $\beta$ ) protein (middle panel), but no detectable  $\gamma$  proteins (left and right panels). In contrast, both the wild type and mutant viruses could not be differentiated with respect to their capacity to replicate or to direct the synthesis of their proteins in Vero cells (Fig. 7).

The signal for shut off of protein synthesis is linked to viral DNA synthesis. These experiments were designed to determine whether the shutoff of protein synthesis was linked to a gene whose expression was dependent on viral DNA synthesis. The results of a key experiment are shown in Fig. 8. Replicate SK-N-SH and Vero cell cultures were infected with HSV-1(F) and recombinant viruses and maintained in the presence or absence of inhibitory

concentrations of phosphonoacetate, a drug which blocks viral DNA synthesis. The cells were pulse labeled with  $S^{35}$ -methionine at 13 h post infection. The salient feature of the results were that protein synthesis in SK-N-SH cells infected with either R3616 or R4009 was sustained for at least 13 h in the presence of Phosphonoacetate but not in its absence. These results indicted that the signal for cessation of protein synthesis in SK-N-SH neuroblastoma cells infected with mutant viruses was associated with viral DNA synthesis or with a  $\gamma$  gene dependent on viral DNA synthesis for its expression.

Human neuroblastoma cells infected with the  $\gamma_{134.5^-}$  mutants synthesized viral DNA and accumulated late mRNA even though the shut off of protein synthesis precluded accumulation of late proteins. The evidence presented above indicated that in SK-N-SH neuroblastoma cells an event associated with viral DNA synthesis triggered the shut off of protein synthesis and that the late ( $\gamma$ ) viral proteins did not accumulate. We expected, therefore, little or no accumulation of viral DNA and in the absence of viral DNA synthesis, little or no accumulation of late ( $\gamma$ ) viral transcripts whose synthesis is dependent on viral DNA synthesis. To our surprise, the amounts of viral DNA recovered from SK-N-SH neuroblastoma cells 17 hours post infection with mutant viruses were comparable to those obtained from wild type parent or repaired [(HSV-1)F]R] viruses (Fig. 9, left panel). Furthermore, while the SK-N-SH neuroblastoma cells did not synthesize demonstrable amounts of U<sub>11</sub> protein, the amounts of U<sub>11</sub> gene transcripts which accumulated in cells infected with mutant and wild type viruses were of similar magnitude (Fig. 9 right panel).

The significance of these results stems from three observations. First, in infected cells, protein synthesis reflects a regulatory cascade;  $\alpha$  protein synthesis is

replaced by  $\beta$  and later by  $\gamma$  protein synthesis. In all cell lines other than the SK-N-SH neuroblastoma cells infected with the  $\gamma_{134.5}$  mutants and tested to date, a block in the synthesis of one group of proteins does not lead to a cessation of total protein synthesis. For example, in cells treated with inhibitors of DNA synthesis like PAA, a subclass of  $\gamma$  proteins dependent for their synthesis on viral DNA synthesis is not made. However, in these cells,  $\beta$  protein synthesis continues beyond the time of their synthesis in untreated infected cells. The striking observations made in the studies on SK-N-SH cells infected with the  $\gamma_{134.5}$  null mutants are that (i) all protein synthesis ceased completely, (ii) viral DNA was made and (iii)  $\gamma$  mRNA exemplified by U<sub>11</sub> mRNA was made even though protein synthesis ceased. These manifestations for viral replication have not been reported previously and are not characteristic of cells infected with wild type virus or any mutant virus infection of cells (e.g. Vero, HEp-2, baby hamster kidney, 143tk- and rabbit skin cell lines and human embryonic lung cells strain) other than those described in this report.

Second, the function of the  $\gamma_{134.5}$  gene is to overcome this block in protein synthesis in SK-N-SH cells since repair of the mutation restores the wild type phenotype.

Lastly, while the association of cessation of protein synthesis with the onset of viral DNA replication does not exclude the possibility that a product made after infection is responsible of the shut off, the data does support the hypothesis that the cessation of protein synthesis is specifically caused by a known viral gene product interacting with the protein synthesizing machinery of the cell. For example, it has been well established that the product of the HSV-1 gene designated a vhs can shut off cellular protein synthesis after infection. vhs is a structural protein of the virus and is introduced into

cells during infection. It destabilizes mRNA early in infection and its effects are not dependent on viral gene products made after infection. In the experiments set forth above, protein synthesis of wild type and mutant viruses could not be differentiated at 13 hours post infection in cells treated with Phosphonoacetate and hence the phenotype of mutant viruses cannot be attributed to the vhs gene product. This conclusion is reinforced by the observation that viral protein synthesis in SK-N-SH cells was not affected by increasing the multiplicity of infection with wild type virus to values as high as 100 pfu/cell (data not shown). A more likely source for the genetic information for the cessation of protein synthesis is the cell itself.

It has been reported that deprivation of growth factors from cells of neuronal origins results in programmed cell death, which manifests itself initially by the cessation of protein synthesis and subsequently by fragmentation of DNA. Apoptosis in lymphocytes is manifested by degradation of DNA. In the case of other herpes viruses, it has been shown that in B lymphocytes infected with the Epstein-Barr virus, the product of the viral LMP-1 gene induces the host gene Bcl-2 which precludes programmed lymphocyte death (Henderson et al, Cell 65: 1107-1991. Thus, it is apparent that the onset of viral DNA synthesis in neuronal cells triggers programmed cell death by cessation of protein synthesis and that HSV-1  $\gamma_134.5$  gene precludes this response.

The evolution of a HSV-1 gene which would preclude a response to a neuronal stress is not surprising. Infection of neurons, especially sensory neurons, is an essential feature of viral reproductive lifestyle which enables the HSV-1 to remain latent and to survive in human populations. If, as we propose, the function of  $\gamma_134.5$  gene is to preclude cell death, the target of the gene would be

neurons rather than lymphocytes since HSV normally infects nerve cells.

The  $\gamma_{134.5}$  gene has several unusual features. The gene lacks a conventional TATAA box or response elements frequently associated with TATAA-less transcriptional units. The sequence which enables the expression of the gene is 12 bp long but repeated as many as 3 times in the wild type strain used in this laboratory. Various assays reported elsewhere indicate that the amounts of gene products produced in cells of non neuronal derivation are smaller than those expressed by most viral genes and that the amounts of the protein made in the absence of viral DNA synthesis were smaller than those made in its presence. The gene is predicted to encode a protein of 263 amino acids. It contains the triplet Ala-Thr-Pro repeated 10 time and accumulates in the cytoplasm. A recent note indicates that 63 amino acid residues near the carboxyl terminus of the  $\gamma_{134.5}$  protein shares 83% identity with a mouse protein MyD116 found in a myeloid leukemic cell line induced to differentiate by interleukin 6 (Lord et al., Nucleic Acid Res. 18: 2823, 1990). The function of MyD116 is unknown. The results presented above demonstrate that the product of the  $\gamma_{134.5}$  gene, the protein ICP34.5, quite clearly enables sustained protein synthesis in SK-N-SH neuroblastoma cells, and it is clear that the gene's expression is sufficient to preclude apoptosis.

The promoter-regulatory elements essential for the expression of  $\gamma_{134.5}$  are contained within three elements of the  $\alpha$  sequence, i.e. the direct repeats DR2 and DR4 and the unique  $U_L$  sequences. Gel retardation assays failed to show binding of the product of the  $\alpha 4$  gene encoding the major regulatory protein of the virus to any of the elements regulating expression of the  $\gamma_{134.5}$  gene. In transient expression assays, the product of the  $\alpha 4$  or of  $\alpha 0$  genes failed to transactivate a chimeric reporter gene consisting

of the coding sequences of the thymidine kinase gene fused to the 5' non-coding sequences of the  $\gamma_{134.5}$  gene. The reporter gene was induced, but to a relatively low level by co-transfection with plasmids containing both  $\alpha 4$  and  $\alpha 0$  genes. The plasmid encoding the  $\alpha 27$  gene had no effect on the expression of the chimeric reporter gene transfected alone although it reduced the induction of the chimeric gene by plasmids containing the  $\alpha 0$  and  $\alpha 4$  genes.

EXAMPLE 2 - TREATMENT OF PROGRAMMED CELL  
DEATH (APOPTOSIS) WITH GENE THERAPY

In this example,  $\gamma_{134.5}$  gene therapy directed toward the prevention or treatment of apoptosis is described. For the purposes of this example mutated HSV-1 virus is proposed as a vector for introduction of the gene into neuronal cells undergoing or about to undergo programmed cell death. It is also envisioned that this embodiment of the present invention could be practiced using alternative viral or phage vectors, including retroviral vectors and vaccinia viruses whose genome has been manipulated in alternative ways so as to render the virus non-pathogenic. The methods for creating such a viral mutation are set forth in detail in U.S. Patent No. 4,769,331, incorporated herein by reference. Furthermore, it is also envisioned that this embodiment of the present invention could be practiced using any gene whose expression is beneficial in gene therapy, and use of the non-HSV viruses would allow gene therapy in non-neural systems.

Herpes simplex virus has a natural tropism for human CNS tissue. Under wild type conditions, the virus is capable of replicating and multiplying in the nervous system and is neurovirulent. The virus can also establish latent infection in the neurons and can be occasionally reactivated. To establish a vector system for delivery of genes into neurons, the proposed construct of an HSV vector must satisfy the following criteria: 1. Such a vector



should have a natural tropism for CNS and brain tissue. 2. Such a vector should be non-pathogenic; that is, totally avirulent and not reactivatable to cause an infection. 3. Such a vector should consist of constitutive expression of  $\gamma_134.5$  to prevent cell death in cells undergoing neurodegeneration. 4. Such a vector so proposed in 3. is suitable for additional foreign gene insertion for gene therapy.

#### Material and Methods

10 A HSV vector with a mutational lesion in the  $\alpha 4$  gene is constructed. The proposed virus will no longer be able to replicate, multiply and reactivate from latent infection in the CNS. The virus can, in the absence of  $\alpha 4$  gene, establish a latent infection in the neuron. This virus can be obtained by co-transfection of viral DNA with plasmid containing a  $\alpha 4$  expressing cell line.  $\alpha 4$  expressing cell lines and the virus have been reported previously. DeLuca et al., J. Virol., 56: 558-570 (1985).

20 Additionally, such an HSV vector with  $\gamma_134.5$  gene under a constitutive expression promoter is also envisioned. This constitutive expression promoter can be the HSV LAT promoter, the LTR promoter of retrovirus or any other foreign promoter specific for neural gene expression. Such a viral vector properly introduced is suitable for prevention of cell death in neuronal cells undergoing apoptosis.

30 Moreover, such an HSV vector with foreign genes inserted at a neutral location on the viral genome is suitable for delivery of foreign genes into target neurons and for CNS gene therapy. The procedures to generate the above recombinant viruses are those published by Post and Roizman (Cell, 25: 227, 1991) incorporated herein by reference. See also U.S. Patent No. 4,769,331, incorporated herein by reference.

In instances where use of the mutated HSV-1 virus is appropriate the virus can be combined with a pharmaceutically acceptable carrier such as buffered saline and injected at the site of peripheral nerve endings whose axons originate from neural cell bodies undergoing or about to undergo apoptosis. As will be recognized by those skilled in the medical arts the amount of virus administered will vary depending upon several factors, including the vector's ability to target the cells requiring treatment, the extent to which the gene is expressed in the target tissue, and the activity of the expressed protein, among others. An inoculum containing approximately  $10^4$  -  $10^5$  viruses in phosphate buffered saline or skim milk has produced successful results in mice. Virus so injected is taken up into the peripheral nerve endings and is then transported via retrograde axonal transport to the neuronal cell bodies. In instances where such peripheral injection is not useful or appropriate, localized intraspinal or intraventricular injection, or direct microinjection of the virus could be utilized.

An appropriately altered non-HSV virus, one with a genome manipulated in such a way as to render the virus non-pathogenic, could be used in a similar manner. Direct microinjection or peripheral injection for delivery to the cell body via retrograde axonal transport are options for viral delivery. Finally, it should also be noted that a biological functional equivalent gene could be utilized for gene therapy in any vector described in this example.

### EXAMPLE 3 - USE OF MULTI-POTENT NEURAL CELL LINES TO DELIVER THE $\gamma_{134.5}$ GENE TO THE CNS

In addition to the viral vector delivery system to CNS and brain tissue, another vector system has been developed recently using cell lines passaged in vitro and engrafting these cells back to the animal. These procedures involve

taking cells of fetal or postnatal CNS origin, immortalizing and transforming them in vitro and transplanting the cells back into the mouse brain. These cells, after engraftment, follow the migration pattern and environmental cue of normal brain cell development and differentiate in a nontumorigenic, cytoarchitecturally appropriate manner. This work has been exemplified in several articles notably Snyder et al., Cell, 68: 33-51, 1992 and Ranfranz et al., Cell, 66: 713-729, 1991.

Utilizing appropriately modified techniques, it is possible to introduce the  $\gamma_{134.5}$  gene alone or in combination with other genes of interest into the cells and engraft. Such a procedure allows the delivery of the genes to its natural site. Proper expression of the  $\gamma_{134.5}$  gene in these neurons should result in prevention of cell death in neurodegeneration and preserving cells carrying foreign genes suitable for gene therapy.

### Materials and Methods

**Propagation of Cerebellar Cell Lines** Cerebellar cell lines are generated as described by Ryder et al. (J. Neurobiol. 21: 356-375, 1990). Lines are grown in Dulbecco's modified Eagle's medium supplemented with 10% fetal calf serum (Gibco), 5% horse serum (Gibco), and 2mM glutamine on poly-L-lysine (PLL) (Sigma) (10  $\mu$ g/ml)-coated tissue culture dishes (Corning). The lines are maintained in a standard humidified, 37°C, 5% CO<sub>2</sub>-air incubator and are either fed weekly with one-half conditioned medium from confluent cultures and one-half fresh medium or split (1:10 or 1:20) weekly or semiweekly into fresh medium.

### **Transduction of Cerebellar Progenitor Lines with $\gamma_{134.5}$ Gene**

A recent 1:10 split of the cell line of interest is plated onto 60 mm tissue culture plates. Between 24 and 48 hr after plating, the cells are incubated with the

replication-incompetent retroviral vector BAG containing the -myc gene ( $10^6$  -  $10^7$  colony-forming units [cfu]/ml) plus 8  $\mu$ g/ml polybrene for 1-4 hr for introduction of the  $\gamma_{134.5}$  gene alone or in combination with other suitable genes for gene therapy, along with the neomycin G418 marker. Cells are then cultured in fresh feeding medium for approximately 3 days until they appear to have undergone at least two doublings. The cultures are then trypsinized and seeded at low density (50-5000 cells on a 100 mm tissue culture dish). After approximately 2 weeks well-separated colonies are isolated by brief exposure to trypsin within plastic cloning cylinders. Colonies are plated in 24-well PLL-coated CoStar plates. At confluence, these cultures are passaged to 60 mm tissue culture dishes and expended. A representative dish from each subclone is stained directly in the culture dish using X-gel histochemistry (see Price et al., 1967; Cepko, 1989a, 1989b). The percentage of blue cells is determined under the microscope. Subclones with the highest percentage of blue cells (ideally >90%; at least >50%) are maintained, characterized, and used for transplantation.

**Tests for Virus Transmission** The presence of helper virus is assayed by measurement of reverse transcriptase activity in supernatants of cells lines as described by Goff et al. (1981) and by testing the ability of supernatants to infect NIH 3T3 cells and generate G418-resistant colonies of X-gal<sup>+</sup> colonies (detailed in Cepko, 1989a, 1989b). All cerebellar cell lines used for transplantation are helper virus-free as judged by these methods.

**Coculture of Neural Cell Lines with Primary Cerebellar Tissue** Primary dissociated cultures of neonatal mouse cerebellum are prepared as in Ryder et al. (1990) and seeded at a density of  $2 \times 10^6$  to  $4 \times 10^6$  cells per PLL-coated eight-chamber Lab Tek glass or plastic slide

(Miles). After the cells settled (usually 24 hr), 10% of a nearly confluent 10 cm dish of the neural cell line of interest is seeded, following trypsinization, onto the slide. The coculture is re-fed every other day and grown in a 50% CO<sub>2</sub>-air, humified incubator until 8 or 14 days of coculture.

**Preparation of Cells Lines for Transplantation** Cells from a nearly confluent but still actively growing dish of donor cells are washed twice with phosphate-buffered saline (PBS), trypsinized, gently triturated with a wide-bore pipette in serum-containing medium (to inactivate the trypsin), gently pelleted (1100 rp for 1 min in a clinical centrifuge), and resuspended in 5 ml of PBS. Washing by pelleting and resuspension of fresh PBS is repeated twice, with the cells finally resuspended in a reduced volume of PBS to yield a high cellular concentration (at least  $1 \times 10^6$  cells per  $\mu$ l). Trypan blue (0.05% w/v) is added to localize the inoculum. The suspension is kept well triturated, albeit gently, and maintained on ice prior to transplantation to minimize clumping.

**Injections into Postnatal Cerebellum** Newborn CD-1 or CF-1 mice are cryoanesthetized, and the cerebellum is localized by transillumination of the head. Cells are administered either via a Hamilton 10  $\mu$ l syringe with a beveled 33-gauge needle or a drawn glass micropipette with a 0.75 mm inner diameter and 1.0 mm outer diameter generated from borosilicate capillary tubing (FHC, Brunswick, ME) by a Flaming Brown Micropipette Puller (Model p-87, Sutter Instruments) using the following parameters: heat 750, pull 0, velocity 60, time 0. Best results are achieved with the glass micropipette. The tip is inserted through the skin and skull into each hemisphere and vermis of the cerebellum where the cellular suspension was injected (usually 1-2  $\mu$ l per injection). Typically, the following situation should exist:  $1 \times 10^7$  cells per ml

10           EXAMPLE 4 - TREATMENT OF PROGRAMMED CELL DEATH  
              (APOPTOSIS) WITH ICP34.5

For example, ICP34.5 is isolated from cells expressing the protein and purified using conventional chromatography purification and immunoaffinity purification methods described by Ackerman et al. (J. Virol. 58: 843-850, 1986, incorporated herein by reference). The purified protein is next combined with a pharmaceutically appropriate carrier, such as buffered saline or purified distilled water. For administration, the pharmaceutical composition can be injected in one of several ways, as appropriate: (i) intraspinal injection; (ii) intraventricular injection; (iii) direct injection into the area containing the neurons undergoing or about to undergo programmed cell death or any other appropriate method of administration understood by those skilled in the art. Such treatment would be particularly appropriate in the surgical repair of severed peripheral nerves, and the use of proteins as therapeutic

agents is well within the current level of skill in the medical arts in light of the present specification.

EXAMPLE 5 - ASSAYS FOR CANDIDATE SUBSTANCES FOR  
PREVENTION OF PROGRAMMED CELL DEATH (APOPTOSIS)

5       The  $\gamma_134.5$  gene of herpes simplex virus enables the virus to replicate, multiply and spread in the central nervous system and the brain so that the virus is neurovirulent to the host. Recombinant virus lacking the gene lost this ability to penetrate the CNS of the host and  
10       become totally avirulent. In examining the nature of this avirulent phenotype in culture, the mutant virus lacking the gene exhibited a total translation shutoff phenotype characteristic of programmed cell death. This mechanism of programmed cell death afforded by the host cell greatly  
15       reduced the ability of the virus to multiply and spread. The function of  $\gamma_134.5$  in the virus therefore is to inactivate the programmed death of the cell (anti-apoptosis) thereby restoring translation and enabling the virus to replicate to full potential in the host.

20       This anti-apoptotic effect of  $\gamma_134.5$  was further examined and its ability to protect neural cells from other environmental stresses which lead to apoptosis was discovered. These environmental stresses include UV, nerve growth factor deprivation and neuronal cell differentiation.  
25       This Example describes the use of the  $\gamma_134.5$  gene and its protective function to screen for pharmaceutical agents and drugs that mimic the *in vivo* function of  $\gamma_134.5$  to prevent neurodegeneration. Such a screening procedure constitutes construction of cell lines expressing  $\gamma_134.5$  and a null cell  
30       line without the gene and measurement of the viability of the cells after stress treatment by induction of a reporter gene. This can be a host gene promoter tagged by a fluorescence indicator or any other easily assayable marker to signal viability.

Materials and Methods

A test neuroblastoma cell line is established constitutively expressing  $\gamma_134.5$  and containing a fluorescence tagged (e.g., the  $\alpha$  sequence promoter fused to lacZ) cellular gene, or any tag that provides the easily assayable marker to signal viability. A neuroblastoma null cell line consisting of  $\alpha$ -lacZ indicator gene and the same host indicator gene is also established, along with a Vero cell line consisting of  $\alpha$ -lacZ indicator gene and the same host indicator gene. Environmental stresses are then applied that normally would (1) trigger the  $\alpha$  sequence promoter activation; (2) trigger the protection afforded by  $\gamma_134.5$  as signaled by viability after stress treatment; and (3) trigger cell programmed death in the absence of  $\gamma_134.5$ . Candidate substances of pharmaceutically appropriate drugs and agents can be tested in such an assay. The proposed scheme of the assay for scoring of positive candidates is shown in outline form in Table 2.

TABLE 2

EXPERIMENTAL FLOW CHART: ASSAY FOR CANDIDATE  
SUBSTANCE ABLE TO PREVENT PROGRAMMED CELL DEATH

	CELL LINES	ACTION	EXPECTATION
25	A. neuroblastoma cells constitutively express $\gamma_134.5$ and a second inducible promoter-indicator gene	stress followed by induction of second promoter	viability as measured by induction of a reporter gene hours after stress
30	B. neuroblastoma cells expressing $\alpha$ -lacZ and a second inducible promoter	stress followed by induction of second promoter	1. apoptosis related stress: $\alpha$ -lacZ induced; second promoter <u>not</u> induced
35			2. toxicity: no induction of $\alpha$ -lacZ and the second inducible promoter



C. vero cells,  
expressing  $\alpha$ -lacZ  
and a second inducible  
promoter gene

stress followed  
by induction

1.  $\alpha$ -lacZ not  
induced

2. toxicity  
factor exclu-  
ded, determined  
from expression  
of inducible  
second promoter

10

EXAMPLE 6 - ASSAY FOR CANDIDATE SUBSTANCES FOR  
ACTIVATION OF PROGRAMMED CELL DEATH  
(APOPTOSIS) FOR TREATMENT OF CANCER OR  
TUMOROGENIC DISEASES AND FOR SUPPRESSION OF HSV INFECTION

15 In order to induce cell death in tumor cells, it is  
desirable to block the expression of the anti-apoptosis  
gene or the activity of the protein expressed by the gene.  
As such, it is desirable to develop procedures that will  
allow screening for candidate substances which trigger cell  
20 death in tumor cells. In addition, since expression of the  
 $\gamma_134.5$  gene of HSV-1 has been shown to prevent apoptosis in  
neuronal cells so that the virus can replicate, multiply  
and spread in the CNS (that is, so that the virus can  
become neurovirulent), a substance capable of blocking  
 $\gamma_134.5$  expression or inhibiting the action of ICP34.5 can be  
25 expected to suppress HSV neurovirulence (or the virulence of  
other viruses relying on a similar mechanism) by allowing  
apoptosis to occur in infected neurons.

It has been found that the protection afforded by  
 $\gamma_134.5$  can be extended to protect other cells from  
30 environmental stresses, and indeed the gene has a  
generalized anti-apoptotic effect. The promoter for the  
gene  $\gamma_134.5$  lies in the  $\alpha$  sequence of HSV and, at time of  
stress, the promoter is activated. It can be assumed that  
the  $\alpha$  sequence promoter contains apoptosis responsive  
35 elements and cellular factors (transcription factors in  
particular) that mediate the expression of anti-apoptosis  
gene are apoptotic in nature. These cellular factors are  
therefore the targets of the present assay to screen for  
drugs or agents that would inactivate their ability to

induce the anti-apoptosis gene. The assay involves the use of the a sequence promoter and its inducibility by conditions which induce apoptosis as an indicator assay which screens for therapeutic agents and drugs capable of blocking the expression of the anti-apoptosis gene and therefore allow the cell to die of programmed cell death.

A test plasmid construct bearing the a sequence and coding sequence up to the 28th amino acid of  $\gamma_{134.5}$  is fused to the lacZ reporter gene or any other readily assayable reporter gene. The construct is introduced into a neuroblastoma or PC12 cell line by G418 selection and a clonal and continuous cell line for screening purposes is established. A control plasmid construct bearing an HSV late promoter, a promoter which would normally not be expressed in cell lines and which further would not be induced to express by apoptosis-inducing stress is fused to the same indicator gene. This construct is also introduced into a continuous clonal cell line and serves as a control for the test cell line. Environmental stresses that trigger the a sequence promoter activation and that cause programmed cell death are then defined. These conditions include UV injury, virus infection, nerve growth factor deprivation, and the influence of antibodies on cell surface receptors, among others. Candidate substances or pharmaceutically appropriate drugs and agents are then tested in assays for their ability to block the a sequence promoter activation at time of stress.

The assay of the present invention allows the screening and identification of pharmaceutically appropriate drugs and agents targeted at various cellular factors that induce the expression of anti-apoptosis gene. By inactivating essential cellular factors, these agents should be able to allow cell programmed death to occur. Such positive candidates would then be appropriately administered (via intravenous, intrathecal, or direct injection, or via oral administration) in order to induce programmed cell death in tumor cells, in neurons infected

with the herpes virus, or in cells infected with a virus the virulence of which is dependent upon an anti-apoptotic effect. The use of proteins and other chemotherapeutic substances in antitumor therapy is well known in the art, and therefore, it is considered that the use and dosages of candidate substances for treatment of tumorigenic diseases (e.g., cancer) or herpes infection is well within the skill of the present state of the medical arts in light of the present specification. See U.S. Pat. Nos. 4,457,916; 4,529,594; 4,447,355; and 4,477,245, all incorporated herein by reference. These positive candidates can also be used to identify intermediates in the pathways leading to cell programmed death.

#### Materials and Methods

W5 cell lines are established in 96 well culture dishes coated with collagen. Control cell lines containing the promoter fusion element are also established in such 96 well dishes. The test candidate substances are added to the medium in individual wells containing both the test and control cell lines set up in 96 well dishes. The cells are then briefly exposed to UV or other stresses. 8 hr post stress induction, cells are washed with PBS-A twice, and fixed with 0.5 ml containing 2% (v/v) formaldehyde and 0.2% glutaraldehyde in PBS for 5 min at room temperature. The cells are rinsed again with PBS and then stained with 2ml 5mM potassium ferrocyanide, 5mM potassium ferrocyanide, 2mM MgCl<sub>2</sub>, and 1mg/ml X-gal (diluted from a 40mg/ml stock solution in dimethyl sulfoxide) in PBS. Cells expressing  $\beta$ -Galactosidase were stained blue after incubation at 37°C for 2-3 days.

#### Results

The construct described above with the lacZ reporter gene was introduced into PC12 cell line. A new cell line W5 was clonally established by G418 selection. The W5 cell line was then tested for activation of the a sequence promoter under suboptimal conditions names in 3 above. The results are: (a) The above cells, when exposed briefly to

UV for 2 minutes, turn blue upon staining the fixed cells with X-gal at 6-10 hr post UV exposure. (b) The above cells, when exposed to HSV-1(F) virus at multiplicity of infection of 5, also turn blue upon staining at 8 hr post infection. (c) The above cells turn light blue when nerve growth factor (rat, 7S) is introduced into the medium to allow differentiation processes. (d) The cells turn darker blue when Nerve Growth Factor is removed from the medium after differentiation is complete and the cells have become dependent on nerve growth factor for survival. (e) Little or no difference in color development is seen in cells starved for serum (0% fetal bovine serum) and those fully supplied in 10% fetal bovine serum. (f) The above experiments are repeated with control promoter fusion elements to control for the true inhibition of anti-apoptosis gene expression rather than toxicity-induced cell death. By this procedure, the positive candidates that can induce cell death in cells will therefore render the following phenotypes: (i) Introduction of stress to the test cell line in the absence of this substance will give rise to blue colored cells. (ii) Introduction of stress to the test cell line in the presence of same substance will give rise to white cells. (iii) Introduction of stress to control cell lines with or without this putative substance will have no effect on the color of cells.

The present invention has been disclosed in terms of specific embodiments which are believed by the inventors to be the best modes for carrying out the invention. However, in light of the disclosure hereby provided, those of skill in the various arts will recognize that modifications can be made without departing from the intended scope of the invention. The exemplary embodiments set forth herein and all other modifications and embodiments are intended to be within the scope and spirit of the present invention and the appended claims.

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## SEQUENCE LISTING

## (1) GENERAL INFORMATION:

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(ii) TITLE OF INVENTION: Methods and Compositions For Gene  
Therapy, Tumor Therapy, Viral Infection Therapy and  
Prevention of Programmed Cell Death (Apoptosis)

(iii) NUMBER OF SEQUENCES: 35

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(v) COMPUTER READABLE FORM:

(A) MEDIUM TYPE: Floppy disk  
(B) COMPUTER: IBM PC compatible  
(C) OPERATING SYSTEM: PC-DOS/MS-DOS  
(D) SOFTWARE: PatentIn Release #1.0, Version #1.25

(vi) CURRENT APPLICATION DATA:

(A) APPLICATION NUMBER: US 07/861,233  
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(C) CLASSIFICATION:

(viii) ATTORNEY/AGENT INFORMATION:

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(2) INFORMATION FOR SEQ ID NO:1:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 133 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

TTTAAAGTCGCGGCGGC

(2) INFORMATION FOR SEQ ID NO:2:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 133 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:

GCAGCCCGGC CCCCCGCGGC CGAGACGAGC GAGTTAGACA GGCAAGCACT ACTCGCCTCT	60
GCACGCACAT GCTTGCCTGT CAAACTCTAC CACCCCGGCA CGCTCTCTGT CTCCATGGCC	120
CGCCGCCGCC GCC	133

(2) INFORMATION FOR SEQ ID NO:3:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 291 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:3:

ATCGCGGCCC CCGCCGCCCC CGGCCGCCCC GGCCCACGGG CGCCGTCCCA ACCGCACAGT	60
CCCAGGTAAC CTCCACGCCC AACTCGGAAC CCGCGGTCAG GAGCGCGCCC GCGGCCGCCC	120
CGCCGCCGCC CCCC GCCAGT GGGCCCCCGC CTTCTTGTTT GCTGCTGCTG CGCCAGTGGC	180
TCCACGTTCC CGAGTCCGCG TCCGACGACG ACGATGACGA CGACTGGCCG GACAGCCCCC	240
CGCCCGAGCC GGCGCCAGAG GCCCGGCCCA CCGCCGCCGC CCCCCGCCCC C	291

## (2) INFORMATION FOR SEQ ID NO:4:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 595 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: DNA (genomic)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:4:

ACCGCCCGGC GCGGGCCCGG GGGGCGGGGC TAACCCCTCC CACCCCCCCT CACGCCCCTT	60
CCGCCTTCCG CCGCGCCTCG CCCTCCGCCT GCGCGTCACC GCAGAGCACC TGGCGCGCCT	120
GCGCCTGCGA CGCGCGGGCG GGGAGGGGGC GCCGGAGCCC CCCGCGACCC CCGCGACCCC	180
CGCGACCCCC GCGACCCCCG CGACCCCCGC GACCCCCGCG ACCCCCGCGA CCCCCGCGAC	240
CCCCGCGACC CCCGCGCGGG TGCCTTCTC GCCCCACGTC CGGGTGCGCC ACCTGGTGGT	300
CTGGGCCTCG GCCGCCCCGCC TGGCGCGCCG CGGCTCGTGG GCCCGCGAGC GGGCCGACCG	360
GGCTCGGTTC CGGCGCCGGG TGGCGGAGGC CGAGGCGGTC ATCGGGCCGT GCCTGGGGCC	420
CGAGGCCCCGT GCCCGGGCCC TGGCCCGCGG AGCCGGCCCC GCGAACTCGG TCTAACGTTA	480
CACCCGAGGC GGCCTGGGTC TTCCGCGGAG CTCCCGGGAG CTCCGCACCA AGCCGCTCTC	540
CGGAGAGACG ATGGCAGGAG CCGCGCATAT ATACGCTGGG AGCCGGCCCC CCCCC	595

## (2) INFORMATION FOR SEQ ID NO:5:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 207 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: DNA (genomic)



## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:5:

GAGGCGGGCC CGCCCTCGGA GGGCGGGACT GGCCAATCGG CGGCCGCCAG CGCGGCGGGG	60
CCCGGCCAAC CAGCGTCCGC CGAGTCTTCG GGGCCCGGCC CACTGGGCGG GAGTTACCGC	120
CCAGTGGGCC GGGCCGCCCA CTTCCCGGTA TGGTAATTAA AAACCTACAA GAGGCCTTGT	180
TCCGCTTCCC GGTATGGTAA TTAGAAACTC ATTAATGGGC GGCCCCGGCC GCCCTTCCCG	240
CTTCCGGCAA TTCCCGCGGC CCTTAATGGG CAACCCCGGT ATTCCCGCC T	291

## (2) INFORMATION FOR SEQ ID NO:6:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 150 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:6:

TTTAAAGCGG TGGCGGCGGG CAGCCCGGGC CCCCCGCCGA GACTAGCGAG TTAGACAGGC	60
AAGCACTACT CGCCTCTGCA CGCACATGCT TGCCTGTCAA ACTCTACCAC CCCGGCACGC	120
TCTCTGTCTC CATGGCCCGC CGCCGCCGCC	150

## (2) INFORMATION FOR SEQ ID NO:7:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 503 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:7:

ATCGCGGCCC CCGCCGCCCC ,CGGCCGCCCC GGCCCACGGG CGCCGTCCCA ACCGCACAGT	60
CCCAGGTAAC CTCCACGCCC AACTCGGAAC CCGCGGTCAG GAGCGCGCCC GCGGCCGCCC	120
CGCCGCCGCC CCCC GCCGGT GGGCCCCCGC CTTCTTGTTT GCTGCTGCTG CGCCAGTGGC	180
TCCACGTTCC CGAGTCCGCG TCCGACGACG ACGATGACGA CGACTGGCCG GACAGCCCCC	240
CGCCCCGAGTC GGCGCCAGAG GCCCGGCCCA CCGCCGCCGC CCCCCGCCCC CCGGGCCCCC	300
ACCGCCCCGGC GTGGGCCCCG GGGGCGGGGC TGACCCCTCC CACCCCCCTT CGCGCCCTT	360
CCGCCTTCCG CCGCGCCTCG CCCTCCGCCT GCGCGTCACC GCGGAGCACC TGGCGCGCCT	420
GCGCCTGCGA CGCGCGGGCG GGGAGGGGGC GCCGAGCCC CCGCGACCC CCGCGACCC	480
CGCGACCCCC GCGACCCCCG CGA	503

## (2) INFORMATION FOR SEQ ID NO:8:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 368 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: DNA (genomic)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:8:

CCCCCGCGAC CCGCGCGCG GTGCGTTCT CGCCCCACGT CCGGGTGCGC CACCTGGTGG	60
TCTGGGCCTC GGCCGCCCGC CTGGCGCGCC GCGGCTCGTG GGCCCGCGAG CCGGCCGACC	120
GGGCTCGGTT CCGGCGCCGG GTGGCGGAGG CCGAGGCGGT CATCGGGCCG TGCCTGGGGC	180
CCGAGGCCCC TGCCCGGGCC CTGGCCCGCG GAGCCGGCCC GGCGAACTCG GTCTAACGTT	240
ACACCCGAGG CGGCCTGGGT CTTCCGCGGA GCTCCCGGGA GCTCCGCACC AAGCCGCTCT	300
CCGGAGAGAC GATGGCAGGA GCCGCGCATA TATACGCTTG GAGCCAGCCC GCCCTCACAG	360
GGCGGGCC	368

## (2) INFORMATION FOR SEQ ID NO:9:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 187 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: DNA (genomic)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:9:

GGGCGGGACT GGCCAATCGG CGGCCGCCAG CGCGGCGGGG CCCGGCCAAC CAGCGTCCGC	60
CGAGTCTTCG GGGCCCGGCC CATTGGGCGG GAGTTACCGC CCAATGGGCC GGGCCGCCCA	120
CTTCCCGGTA TGGTAATTAA AAAGTTGCAA GAGGCCTTGT TCCGCTTCCC GGTATGGTAA	180
TTAGAACTC ATTAATGGGC GGCCCCGGCC GCCCTTCCCG CTTCCGGCAA TTCCCGCGGC	240
CCTTAATGGG CAACCCCGGT ATTCCCGGCC T	271

## (2) INFORMATION FOR SEQ ID NO:10:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 11 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: DNA (genomic)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:10:

TTTAAAGTCA C

11

## (2) INFORMATION FOR SEQ ID NO:11:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 256 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:11:

AGCGGCGGGC AGCCCCCCCCG CGGCCGAGAC TAGCGAGTTA GACAGGCAAG CACTACTCGC	60
CTCTGCACGC ACATGCTTGC CTGTCAAACCT CTACCACCCC GGCACGCTCT CTGTCTCCAT	120
GGCCCGCCGC CGCCGCCGCC ATCGCGGCCC CCGCCGCCCC CGGCCGCCCC GGCCACGGG	180
CGCGGTCCCA ACCGCACAGT CCCAGGTAAC CTCCACGCCC AACTCGGAAC CCGTGGTCAG	240
GAGCGCGCCC GCGGCC	256

(2) INFORMATION FOR SEQ ID NO:12:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 154 base pairs

(B) TYPE: nucleic acid

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:12:

GGTGGGCCCC CGCCTTCTTG TTCGCTGCTG CTGCGCCAGT GGCTCCACGT TCCCGAGTCC	60
GCGTCCGACG ACGACGATGA CGACGACTGG CCGGACAGCC CCCC GCCGCGCCA	120
GAGGCCCCGGC CCACCGCCGC CGCCCCCGC CCCC	154

(2) INFORMATION FOR SEQ ID NO:13:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 212 base pairs

(B) TYPE: nucleic acid

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:13:

ACCGCCCGGC GCGGGCCCGG GGGCGGGGC TAACCCCTCC CACCCCCCT CACGCCCCTT	60
CCGCCTTCCG CCGCGCCTCG CCCTCCGCCT GCGCGTCACC GCGGAGCACC TGGCGCGCCT	120
GCGCCTGCGA CGCGCGGGCG GGGAGGGGGC GCCGAAGCCC CCCGCGACCC CCGCGACCCC	180
CGCGACCCCC GCGACCCCCG CGACCCCCGC GA	212

## (2) INFORMATION FOR SEQ ID NO:14:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 356 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: DNA (genomic)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:14:

CCCCCGCGAC CCCCCGCGGG GTGCGCTTCT CGCCCCACGT CCGGGTGCGC CACCTGGTGG	60
TCTGGGCCTC GGCCGCCCCG CTGGCGCGCC GCGGCTCGTG GGCCCGCGAG CGGGCCGACC	120
GGGCTCGGTT CCGGCGCCGG GTGGCGGAGG CCGAGGCGGT CATCGGGCCG TGCCTGGGGC	180
CCGAGGCCCC TGCCCGGGCC CTGGCCCGCG GAGCCGGCCC GGCGAACTCG GTCTAACGTT	240
ACACCCGAGG CGGCCTGGGT CTTCCGCGGA GCTCCCGGGA GCTCCACACC AAGCCGCTCT	300
CCGGAGAGAC GATGGCAGGA GCCGCGCATA TATACGCTGG GAGCCGGCCC GCCCCC	356

## (2) INFORMATION FOR SEQ ID NO:15:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 207 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: DNA (genomic)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:15:

GAGGCGGGCC CGCCCTCGGA ,GGGCGGGACT GGCCAATCGG CGGCCGCCAG CGCGGCGGGG	60
CCCGGCCAAC CAGCGTCCGC CGAGTCGTCG GGGCCCGGCC CACTGGGCGG TAACTCCCGC	120
CCAGTGGGCC GGGCCGCCCA CTTCCCGGTA TGGTAATTAA AACTTGCAA GAGGCCTTGT	180
TCCGCTTCCC GGTATGGTAA TTAGAAACTC ATTAATGGGC GGCCCGGCC GCCCTTCCCG	240
CTTCCGGCAA TTCCCGCGGC CCTTAATGGG CAACCCCGGT ATTCCCGGCC T	291

## (2) INFORMATION FOR SEQ ID NO:16:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 10 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: DNA (genomic)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:16:

TTTAAAGCGC

10

## (2) INFORMATION FOR SEQ ID NO:17:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 431 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: DNA (genomic)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:17:

GGCGGCGGGC AGCCCCCCCG CGGCCGAGAC TAGCGAGTTA GACAGGCAAG CACTACTCGC	60
CTCTGCACGC ACATGCTTGC CTGTCAAACCT CTACCACCCC GGCACGCTCT CTGTCTCCAT	120
GGCCCGCCGC CGCCGCCGCC ATCGCGGCCC CCGCCGCCCC CGGCCGCCCG GGCCACGGG	180

CGCGGTCCCA ACCGCACAGT CCCAGGTAAC CTCCACGCCC AACTCGGAAC CCGTGGTCAG	240
GAGCGCGCCC GCGGGCCGCCC CGCCGCCGCC CCCC GCCGGT GGGCCCCCGC CTTCTTGTTT	300
GCTGCTGCTG CGGCAGTGGC TCCAGGTTCC GGAGTCCGCG TCCGACGACG ACGATGACGA	360
CGACTGGCCG GACAGCCCCC CGCCCGAGCC GGCGCCAGAG GCCCGGCCCA CCGCCGCCGC	420
CCCCCGCCCC C	431

## (2) INFORMATION FOR SEQ ID NO:18:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 212 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: DNA (genomic)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:18:

ACCGCCCGGC GCGGGCCCAG GGGGCGGGGC TGACCCCTCC CACCCCCCCT CACGCCCTT	60
CCGCCTTCCG CCGCGCCTCG CCCTCCGCCT GCGCGTCACC GCAGAGCACC TGGCGCGCCT	120
GCGCCTGCGA CGCGCGGGCG GGGAGGGGGC GCCGGAGCCC CCCGCGACCC CCGCGACCCC	180
CGCGACCCCC GCGACCCCCG CGACCCCCGC GA	212

## (2) INFORMATION FOR SEQ ID NO:19:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 356 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: DNA (genomic)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:19:

CCCCCGCGAC CCCCCGCGCG 'GTGCGCTTCT CGCCCCACGT CCGGGTGCGC CACCTGGTGG	60
TCTGGGCCTC GGCCGCCCCG CTGGCGCGCC GCGGCTCGTG GGCCCGCGAG CGGGCCGACC	120
GGGCTCGGTT CCGGCGCCGG GTGGCGGAGG CCGAGGCGGT CATCGGGCCG TGCCTGGGCC	180
CCAAGGCCCC CGCCCGGGCC CTGGCCCGCG GAGCCGGCCC GGCGAACTCG GTCTAACGTT	240
ACACCCGAGG CGGCCTGGGT CTTCCGCGGA GCTCCCGGGA GCTCCACACC AAGCCGCTCT	300
CCGGAGAGAC GATGGCAGGA GCCGCGCATA TATACGCTGG GAGCCGGCCC GCCCCC	356

## (2) INFORMATION FOR SEQ ID NO:20:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 207 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: DNA (genomic)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:20:

GAGGCGGGCC CGCCCTCGGA GGGCGGGACT GGCCAATCGG CGGCCGCCAG CGCGGCGGGG	60
CCCGGCCAAC CAGCGTCCGC CGAGTCGTG GGGCCCGGCC CACTGGGCGG TAACTCCCGC	120
CCAGTGGGCC GGGCCGCCCA CTTCCCGGTA TGGTAATTAA AACTTGCAA GAGGCCTTGT	180
TCCGCTTCCC GGTATGGTAA TTAGAACTC ATTAATGGGC GGCCCCGGCC GCCCTTCCCG	240
CTTCCGGCAA TTCCCGCGGC CCTTAATGGG CAACCCCGGT ATTCCCGCC T	291



## (2) INFORMATION FOR SEQ ID NO:21:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 20 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:21:

GTAACCTAGA CTAGTCTAGC

20

## (2) INFORMATION FOR SEQ ID NO:22:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 20 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:22:

GATCTGATCA GATCGCATTG

20

## (2) INFORMATION FOR SEQ ID NO:23:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 58 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:23:

CCCGGACATG GAACGAGTAC GACGACGCAG CCGACGCCGC CGGCGACCGG GCCCCGGG

58

68

## (2) INFORMATION FOR SEQ ID NO:24:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 51 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:24:

CTGCTCATGC TGCTGCGTCG GCTGCGGCGG CCGCTGGCCC GGGGCCCGTA C

51

## (2) INFORMATION FOR SEQ ID NO:25:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 6 amino acids
- (B) TYPE: amino acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:25:

Met Ala Arg Arg Arg Arg  
1 5

## (2) INFORMATION FOR SEQ ID NO:26:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 258 amino acids
- (B) TYPE: amino acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:26:

His Arg Gly Pro Arg Arg Pro Arg Pro Pro Gly Pro Thr Gly Ala Val  
 1 5' 10 15  
 Pro Thr Ala Gln Ser Gln Val Thr Ser Thr Pro Asn Ser Glu Pro Ala  
 20 25 30  
 Val Arg Ser Ala Pro Ala Ala Ala Pro Pro Pro Pro Pro Ala Ser Gly  
 35 40 45  
 Pro Pro Pro Ser Cys Ser Leu Leu Arg Gln Trp Leu His Val Pro  
 50 55 60  
 Ala Glu Ser Ala Ser Asp Asp Asp Asp Asp Asp Trp Pro Asp Ser  
 65 70 75 80  
 Pro Pro Pro Glu Pro Ala Pro Glu Ala Arg Pro Thr Ala Ala Ala Pro  
 85 90 95  
 Arg Pro Arg Ser Pro Pro Pro Gly Ala Gly Pro Gly Gly Gly Ala Asn  
 100 105 110  
 Pro Ser His Pro Pro Ser Arg Pro Phe Arg Leu Pro Pro Arg Leu Ala  
 115 120 125  
 Leu Arg Leu Arg Val Thr Ala Glu His Leu Ala Arg Leu Arg Leu Arg  
 130 135 140  
 Arg Ala Gly Gly Glu Gly Ala Pro Glu Pro Pro Ala Thr Pro Ala Thr  
 145 150 155 160  
 Pro Ala Thr Pro Ala Thr Pro Ala Thr Pro Ala Thr Pro Ala Thr Pro  
 165 170 175  
 Ala Thr Pro Ala Thr Pro Ala Thr Pro Ala Arg Val Arg Phe Ser Pro  
 180 185 190  
 His Val Arg Val Arg His Leu Val Val Trp Ala Ser Ala Ala Arg Leu  
 195 200 205  
 Ala Arg Arg Gly Ser Trp Ala Arg Glu Arg Ala Asp Arg Ala Arg Phe  
 210 215 220  
 Arg Arg Arg Val Ala Glu Ala Glu Ala Val Ile Gly Pro Cys Leu Gly  
 225 230 235 240  
 Pro Glu Ala Arg Ala Arg Ala Leu Ala Arg Gly Ala Gly Pro Ala Asn  
 245 250 255  
 Ser Val

## (2) INFORMATION FOR SEQ ID NO:27:

- (i) SEQUENCE CHARACTERISTICS:  
 (A) LENGTH: 6 amino acids  
 (B) TYPE: amino acid  
 (C) STRANDEDNESS: single  
 (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:27:

Met Ala Arg Arg Arg Arg  
 1 5

## (2) INFORMATION FOR SEQ ID NO:28:

- (i) SEQUENCE CHARACTERISTICS:  
 (A) LENGTH: 169 amino acids  
 (B) TYPE: amino acid  
 (C) STRANDEDNESS: single  
 (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:28:

His Arg Gly Pro Arg Arg Pro Arg Pro Pro Gly Pro Thr Gly Ala Val  
 1 5 10 15  
 Pro Thr Ala Gln Ser Gln Val Thr Ser Thr Pro Asn Ser Glu Pro Ala  
 20 25 30  
 Val Arg Ser Ala Pro Ala Ala Ala Pro Pro Pro Pro Pro Ala Gly Gly  
 35 40 45  
 Pro Pro Pro Ser Cys Ser Leu Leu Leu Arg Gln Trp Leu His Val Pro  
 50 55 60  
 Glu Ser Ala Ser Asp Asp Asp Asp Asp Asp Asp Trp Pro Asp Ser Pro  
 65 70 75 80  
 Pro Pro Glu Ser Ala Pro Glu Ala Arg Pro Thr Ala Ala Ala Pro Arg  
 85 90 95

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Pro Pro Gly Pro His Arg Pro Ala Trp Ala Arg Gly Ala Gly Leu Thr  
                   100                  105                  110

Pro Pro Thr Pro Pro Arg Ala Pro Ser Ala Phe Arg Arg Ala Ser Pro  
                   115                  120                  125

Ser Ala Cys Ala Ser Pro Arg Ser Thr Trp Arg Ala Cys Ala Cys Asp  
           130                  135                  140

Ala Arg Ala Gly Arg Gly Arg Arg Ser Pro Pro Arg Pro Pro Arg Pro  
   145                  150                  155                  160

Pro Arg Pro Pro Arg Pro Pro Arg Pro  
                   165

## (2) INFORMATION FOR SEQ ID NO:29:

- (i) SEQUENCE CHARACTERISTICS:  
 (A) LENGTH: 180 amino acids  
 (B) TYPE: amino acid  
 (C) STRANDEDNESS: single  
 (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:29:

Pro Arg Gly Cys Ala Ser Arg Pro Thr Ser Gly Cys Ala Thr Trp Trp  
   1                  5                  10                  15

Ser Gly Pro Arg Pro Pro Ala Trp Arg Ala Ala Ala Arg Gly Pro Ala  
           20                  25                  30

Ser Gly Pro Thr Gly Leu Gly Ser Gly Ala Gly Trp Arg Arg Pro Arg  
   35                  40                  45

Arg Ser Ser Gly Arg Ala Trp Gly Pro Arg Pro Val Pro Gly Pro Trp  
   50                  55                  60

Pro Ala Glu Pro Ala Arg Arg Thr Arg Ser Asn Val Thr Pro Glu Ala  
   65                  70                  75                  80

Ala Trp Val Phe Arg Gly Ala Pro Gly Ser Ser Ala Pro Ser Arg Ser  
           85                  90                  95

Pro Glu Arg Arg Trp Gln Glu Pro Arg Ile Tyr Thr Leu Gly Ala Ser  
   100                  105                  110

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Pro Pro Ser Gln Gly Gly Pro Pro Arg Gly Arg Asp Trp Pro Ile Gly  
           115                                  120                                  125

Gly Arg Gln Arg Gly Gly Ala Arg Pro Thr Ser Val Arg Arg Val Phe  
       130                                  135                                  140

Gly Ala Arg Pro Ile Gly Arg Glu Leu Pro Pro Asn Gly Pro Gly Arg  
   145                                  150                                  155                                  160

Pro Leu Pro Gly Met Val Ile Lys Asn Leu Gln Glu Ala Leu Phe Arg  
                                   165                                  170                                  175

Phe Pro Val Trp  
                   180

## (2) INFORMATION FOR SEQ ID NO:30:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 46 amino acids
- (B) TYPE: amino acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:30:

Met Ala Arg Arg Arg Arg Arg His Arg Gly Pro Arg Arg Pro Arg Pro  
   1                                  5                                  10                                  15

Pro Gly Pro Thr Gly Ala Val Pro Thr Ala Gln Ser Gln Val Thr Ser  
                                   20                                  25                                  30

Thr Pro Asn Ser Glu Pro Val Val Arg Ser Ala Pro Ala Ala  
       35                                  40                                  45

## (2) INFORMATION FOR SEQ ID NO:31:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 126 amino acids
- (B) TYPE: amino acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:31:

Gly	Gly	Pro	Pro	Pro	Ser	Cys	Ser	Leu	Leu	Leu	Arg	Gln	Trp	Leu	His	1	5	10	15
Val	Pro	Glu	Ser	Ala	Ser	Asp	Asp	Asp	Asp	Asp	Asp	Asp	Trp	Pro	Asp	20	25	30	
Ser	Pro	Pro	Pro	Glu	Pro	Ala	Pro	Glu	Ala	Arg	Pro	Thr	Ala	Ala	Ala	35	40	45	
Pro	Arg	Pro	Arg	Ser	Pro	Pro	Pro	Gly	Ala	Gly	Pro	Gly	Gly	Gly	Ala	50	55	60	
Asn	Pro	Ser	His	Pro	Pro	Ser	Arg	Pro	Phe	Arg	Leu	Pro	Pro	Arg	Leu	65	70	75	80
Ala	Leu	Arg	Leu	Arg	Val	Thr	Ala	Glu	His	Leu	Ala	Arg	Leu	Arg	Leu	85	90	95	
Arg	Arg	Ala	Gly	Gly	Glu	Gly	Ala	Pro	Lys	Pro	Pro	Ala	Thr	Pro	Ala	100	105	110	
Thr	Pro	Ala	Thr	Pro	Ala	Thr	Pro	Ala	Thr	Pro	Ala	Thr	Pro	115	120	125			

(2) INFORMATION FOR SEQ ID NO:32:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 73 amino acids
- (B) TYPE: amino acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:32:

Ala	Arg	Val	Arg	Phe	Ser	Pro	His	Val	Arg	Val	Arg	His	Leu	Val	Val	1	5	10	15
Trp	Ala	Ser	Ala	Ala	Arg	Leu	Ala	Arg	Arg	Gly	Ser	Trp	Ala	Arg	Glu	20	25	30	

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Arg Ala Asp Arg Ala Arg Phe Arg Arg Arg Val Ala Glu Ala Glu Ala  
 35 40 45

Val Ile Gly Pro Cys Leu Gly Pro Glu Ala Arg Ala Arg Ala Leu Ala  
 50 55 60

Arg Gly Ala Gly Pro Ala Asn Ser Val  
 65 70

## (2) INFORMATION FOR SEQ ID NO:33:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 179 amino acids
- (B) TYPE: amino acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: peptide

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:33:

Met Ala Arg Arg Arg Arg Arg His Arg Gly Pro Arg Arg Pro Arg Pro  
 1 5 10 15

Pro Gly Pro Thr Gly Ala Val Pro Thr Ala Gln Ser Gln Val Thr Ser  
 20 25 30

Thr Pro Asn Ser Glu Pro Val Val Arg Ser Ala Pro Ala Ala Ala Pro  
 35 40 45

Pro Pro Pro Pro Ala Gly Gly Pro Pro Pro Ser Cys Ser Leu Leu Leu  
 50 55 60

Arg Gln Trp Leu Gln Val Pro Glu Ser Ala Ser Asp Asp Asp Asp Asp  
 65 70 75 80

Asp Asp Trp Pro Asp Ser Pro Pro Pro Glu Pro Ala Pro Glu Ala Arg  
 85 90 95

Pro Thr Ala Ala Ala Pro Arg Pro Arg Ser Pro Pro Pro Gly Ala Gly  
 100 105 110

Pro Gly Gly Gly Ala Asp Pro Ser His Pro Pro Ser Arg Pro Phe Arg  
 115 120 125



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Leu Pro Pro Arg Leu Ala Leu Arg Leu Arg Val Thr Ala Glu His Leu  
 130 135 140  
 Ala Arg Leu Arg Leu Arg Arg Ala Gly Gly Glu Gly Ala Pro Glu Pro  
 145 150 155 160  
 Pro Ala Thr Pro Ala Thr Pro Ala Thr Pro Ala Thr Pro Ala Thr Pro  
 165 170 175  
 Ala Thr Pro

## (2) INFORMATION FOR SEQ ID NO:34:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 73 amino acids
- (B) TYPE: amino acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: peptide

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:34:

Ala Arg Val Arg Phe Ser Pro His Val Arg Val Arg His Leu Val Val  
 1 5 10 15  
 Trp Ala Ser Ala Ala Arg Leu Ala Arg Arg Gly Ser Trp Ala Arg Glu  
 20 25 30  
 Arg Ala Asp Arg Ala Arg Phe Arg Arg Arg Val Ala Glu Ala Glu Ala  
 35 40 45  
 Val Ile Gly Pro Cys Leu Gly Lys Glu Ala Arg Ala Arg Ala Leu Ala  
 50 55 60  
 Arg Gly Ala Gly Pro Ala Asn Ser Val  
 65 70

## (2) INFORMATION FOR SEQ ID NO:35:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 18 amino acids
- (B) TYPE: amino acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:35:

Met Asp Glu Tyr Asp Asp Ala Ala Asp Ala Ala Gly Asp Arg Ala Pro  
1                    5                    10                    15

Gly Met

WHAT IS CLAIMED IS:

1. A method of preventing or treating programmed cell death in neuronal cells, the method comprising:
  - (a) preparing a non-pathogenic vector comprising the  $\gamma_{134.5}$  gene; and
  - (b) introducing the non-pathogenic vector into neuronal cells undergoing or likely to undergo programmed cell death.
2. The method of claim 1 wherein the vector comprises an HSV-1 or HSV-2 virus altered in such a way as to render it non-pathogenic.
3. The method of claim 2 wherein said alternation comprises deletion of the ICP4 gene, the  $\alpha 4$  gene, or the  $\alpha 0$  gene of the HSV-1 or HSV-2 viral genome.
4. The method of claim 2 wherein said alteration comprises a mutational lesion of the ICP4 gene, the  $\alpha 4$  gene, or the  $\alpha 0$  gene of the HSV-1 or HSV-2 viral genome.
5. The method of claim 1 wherein said vector comprises a retrovirus altered, a vaccinia virus, a picornavirus, a coronavirus, a eunyavirus, a togavirus, or a rhabdovirus in such a way as to render it non-pathogenic.
6. The method of claim 1 wherein the vector comprises a multipotent neural cell line.
7. The method of claim 1 further comprising introducing the vector into the neuronal cells undergoing or likely to undergo programmed cell death by a process comprising transplanting cells of the multipotent neural cell line of claim 6 into a region of the central nervous system in which said neuronal cells undergoing or likely to undergo programmed cell death are located.

8. The method of claim 1 further comprising  
introducing the vector into neuronal cells of an animal by  
injection of the vector at the site of the peripheral nerve  
endings of the neuronal cells undergoing or likely to  
5 undergo cell death.

9. The method of claim 1 further comprising  
introducing the vector into neuronal cells in culture  
likely to undergo or undergoing cell death by incubation of  
the vector with the neuronal cells.

10 10. A viral vector comprising the HSV-1 or HSV-2  
virus having a genomic alteration rendering the viral  
vector non-pathogenic.

11. The viral vector of claim 10 wherein said genomic  
alteration comprises deletion of the ICP4 gene, the  $\alpha 4$   
15 gene, or the  $\alpha 0$  gene of the HSV-1 or HSV-2 genome.

12. The viral vector of claim 10 wherein said genomic  
alteration comprises a mutational lesion of the ICP4 gene,  
the  $\alpha 4$  gene, or the  $\alpha 0$  gene of the HSV-1 or HSV-2 genome.

13. A viral vector comprising a non-pathogenic  
20 retrovirus, vaccinia virus, picornavirus, coronavirus,  
eunyavirus, togavirus, or rhabdovirus.

14. A method of preventing or treating programmed  
cell death in neuronal cells, the method comprising:

(a) preparing ICP34.5 or a biological functional  
25 equivalent thereof;

(b) combining the ICP34.5 or the biological  
functional equivalent with a pharmaceutically  
acceptable carrier to form a pharmaceutical  
composition; and

(c) administering the composition to neurons likely to undergo or undergoing programmed cell death.

15        15. The pharmaceutical composition of claim 14, said pharmaceutical composition comprising ICP34.5 or a biological functional equivalent thereof in a pharmaceutically acceptable carrier.

16. The method of claim 14 wherein ICP34.5 or its biological functional equivalent is prepared by the method comprising:

10        (a) preparing a nucleic acid segment capable of encoding ICP34.5 or a biological functional equivalent; and

(b) expressing the segment to produce the ICP34.5 or biological functional equivalent protein.

15        17. The method of claim 16 wherein the segment is transferred into a host cell and the host cell is cultured under conditions suitable for expression of the segment.

18. The method of claim 17 wherein the nucleic acid segment is transferred by transfection or transformation of a recombinant vector into the host cell.

19. The method of claim 17 further comprising isolating and purifying the protein.

20        20. The method of claim 14 wherein the composition is administered to an animal by direct, intrathecal or intravenous injection, or by oral administration.

21. The method of claim 14 wherein the composition is administered to neuronal cells in culture by incubation of

said cells in a medium comprising the composition such that the protein will enter the cells.

22. A method for determining the ability of a candidate substance to protect cells from programmed cell death, said method comprising:

- (a) preparing a neuronal cell line sensitive to programmed cell death;
- (b) combining the cells of said cell line with the candidate protective substance;
- 10 (c) altering the incubation solution such that the cells are exposed to conditions or substances capable of inducing programmed cell death; and
- (d) determining whether the candidate substance has protected the cells from programmed cell death.

15 23. The method of claim 22 wherein the candidate substance is a putative biological functional equivalent of ICP34.5.

20 24. A method for determining the ability of a candidate substance to potentiate the protective function of ICP34.5 or biological functional equivalents thereof, said method comprising:

- (a) preparing a neuronal cell line sensitive to programmed cell death;
- 25 (b) combining the cells of said cell line with the candidate potentiating substance;
- (c) adding to the incubation medium ICP34.5 or a biological functional equivalent thereof;

(d) altering the incubation solution such that the cells are exposed to conditions or substances capable of inducing programmed cell death; and

5 (e) determining whether the candidate substance has potentiated the protective effects of ICP34.5 or the biological functional equivalent.

25. A method for determining the ability of a candidate substance to act as an inhibitor of either  $\gamma_{134.5}$  expression or activity of ICP34.5 or biological functional  
10 equivalents thereof, said method comprising:

(a) preparing a neuronal cell line sensitive to programmed cell death;

(b) combining the cells of said cell line with the candidate inhibitory substance;

15 (c) adding to the incubation medium ICP34.5 or a biological functional equivalent thereof;

(d) altering the incubation solution such that the cells are exposed to conditions or substances capable of inducing programmed cell death; and

20 (e) determining whether the candidate substance has inhibited the protective effect of ICP34.5 or the biological functional equivalent.

26. A method of delivering a gene for gene therapy, the method comprising:

25 (a) combining the gene for gene therapy with any one of the vectors of claims 10, 11, 12 or 13;

(b) combining the gene and vector with a pharmacologically acceptable carrier to form a pharmaceutical composition; and

5 (c) administering said pharmaceutical composition so that the gene and vector will reach the intended cell targets.

27. The method of claim 26 wherein said pharmaceutical composition is introduced by injection into an animal at the site of said cell targets.

10 28. The method of claim 26 wherein said cell targets are in the central nervous system and the pharmaceutical composition is introduced by injection into an animal at the site of the peripheral nerve ending which originate from neurons located at the site of said cell targets.

15 29. The method of claim 26 wherein said pharmaceutical composition is introduced by intrathecal or intravenous injection.

20 30. The pharmaceutical composition of claim 26 comprising the gene and vector combined with a pharmacologically acceptable carrier.

31. A method of treating tumorigenic diseases or viral infections, the method comprising:

(a) preparing a candidate substance of claim 25;

25 (b) combining the candidate substance with a pharmaceutically acceptable carrier to form a pharmaceutical composition; and

(c) administering the composition to tumor cell targets.



32. The method of claim 31 wherein the pharmaceutical composition is administered by injection directly into the site of the cell targets, by intravenous injection, by intraspinal injection, or orally.

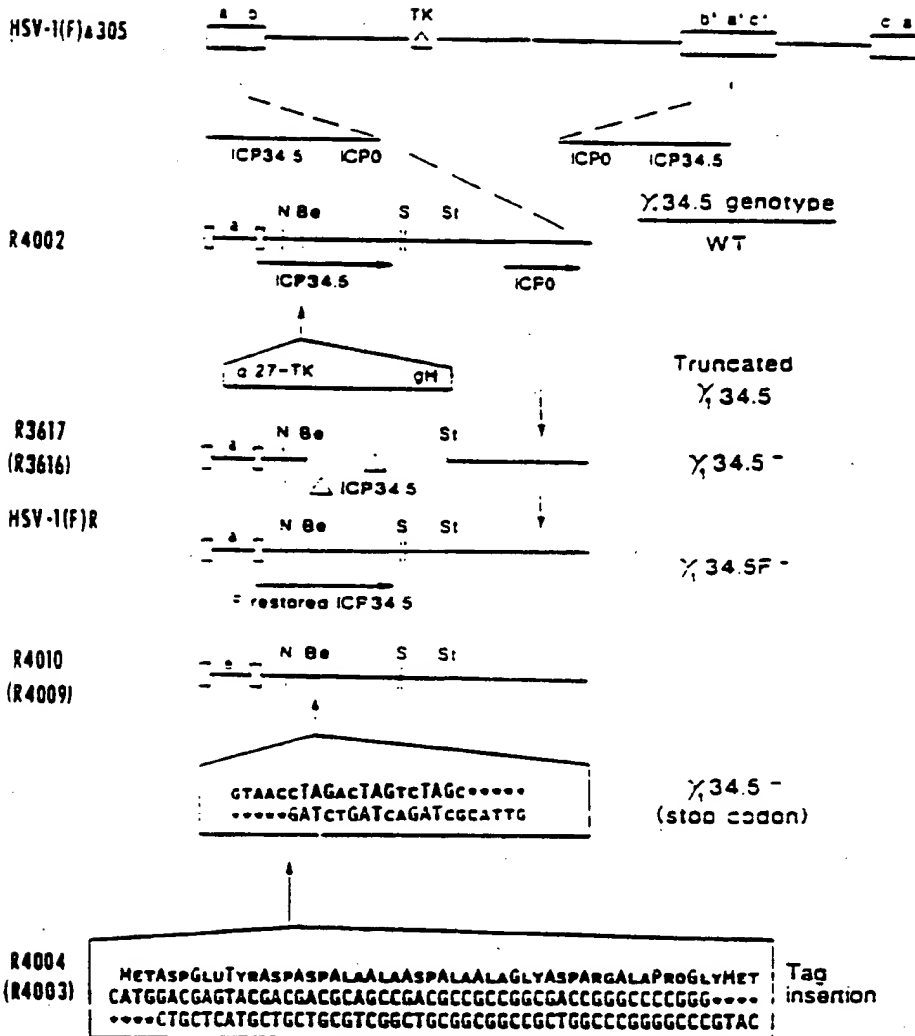
- 5        33. The pharmaceutical composition of claim 31 comprising the candidate substance combined with a pharmaceutically acceptable carrier.



[illegible]



FIG. 2





1000

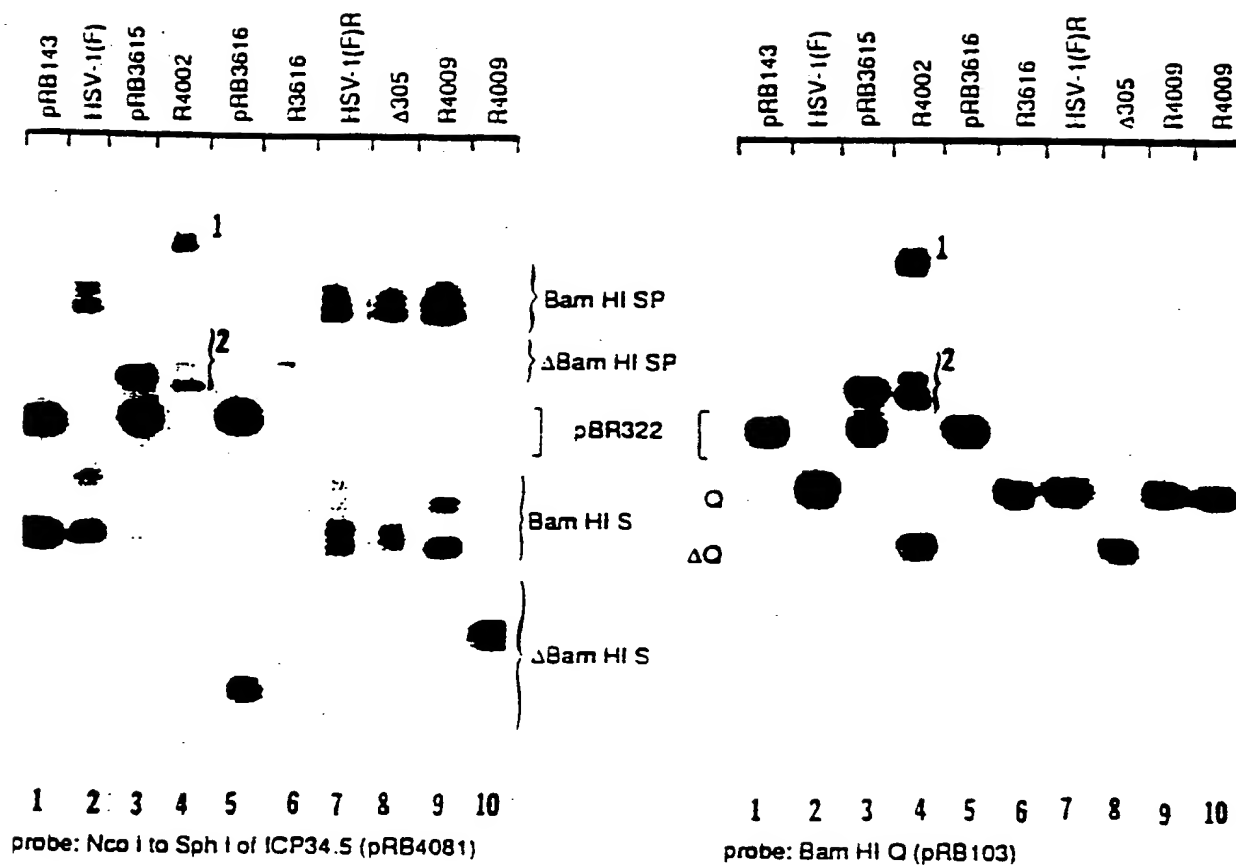
1000

1000

1000

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FIG. 3

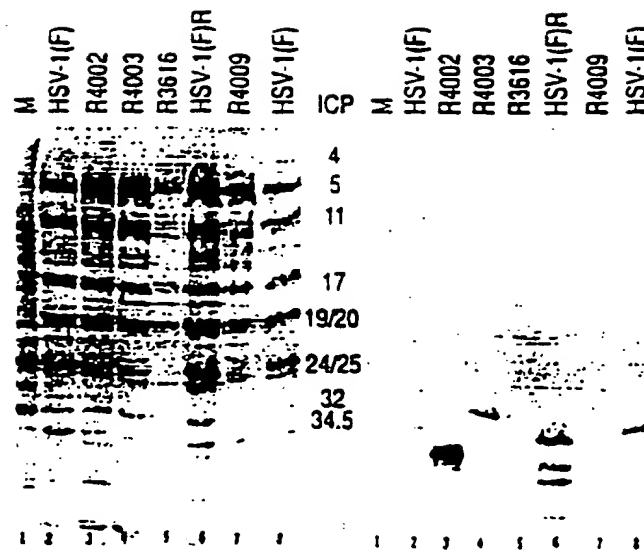






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Fig. 4





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FIG. 5

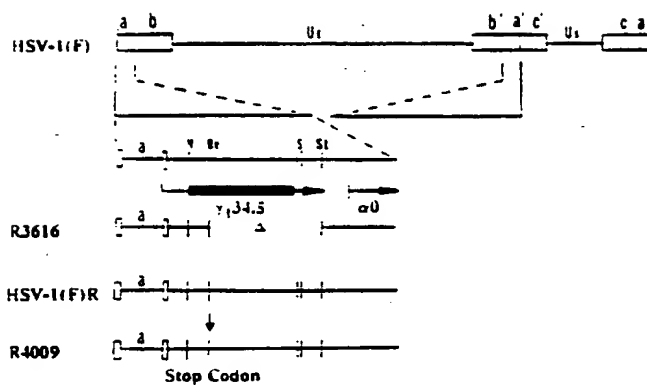


Fig 1, clone + sequence



FIG. 6

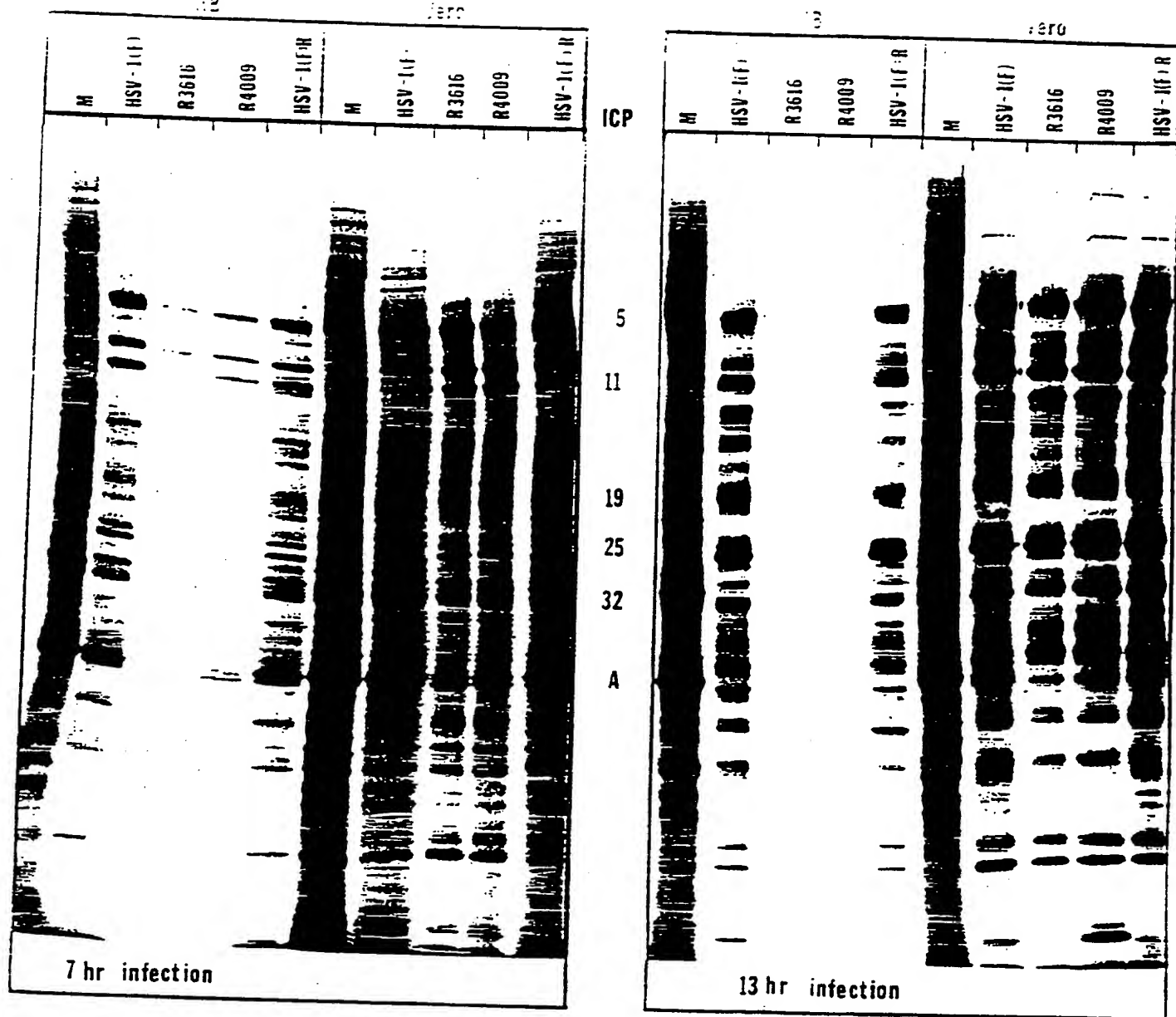




FIG. 7

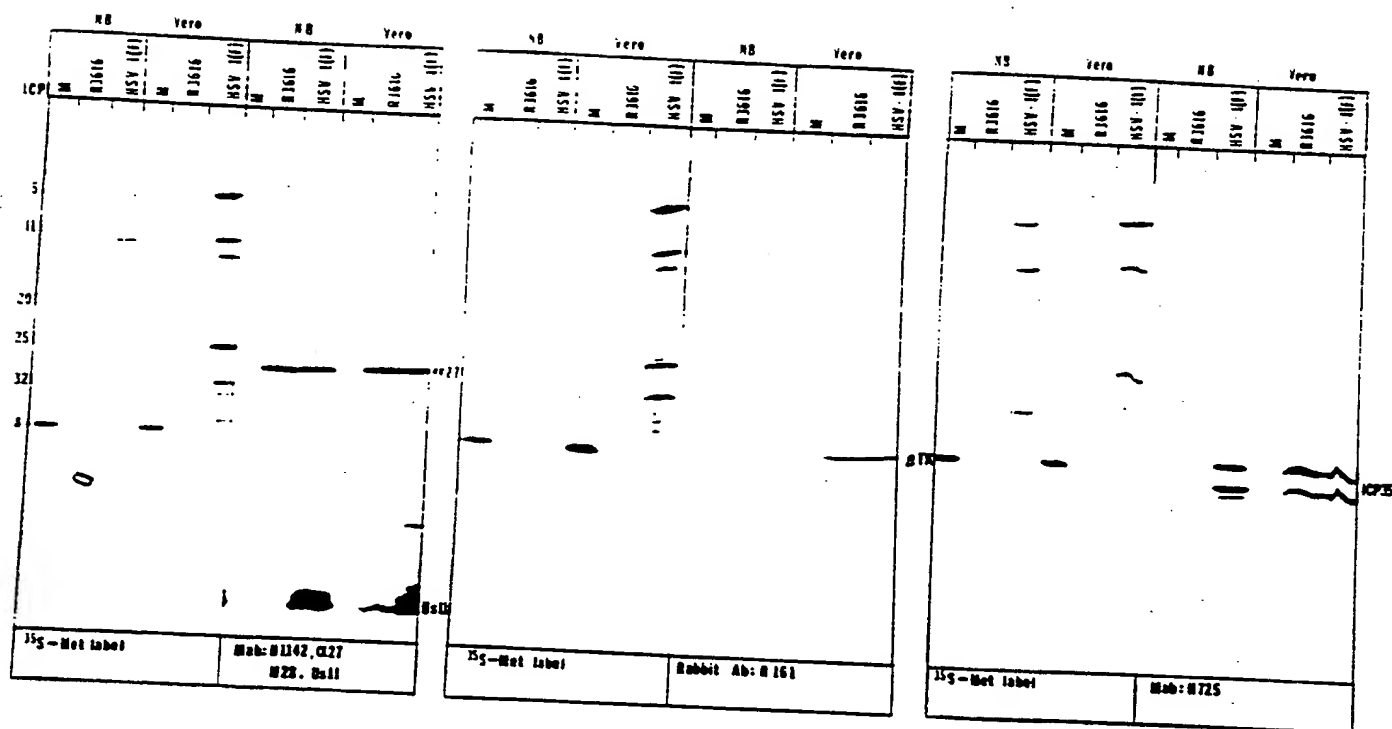


Fig. 7. 35S + 20 min





Fig. 8

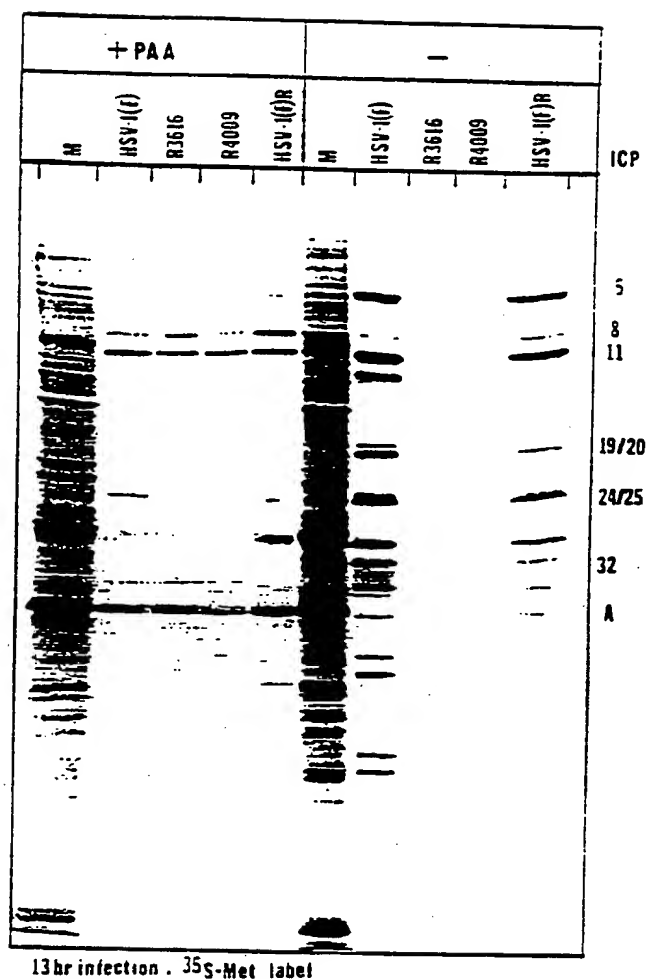


Fig 4, Chow + Roizman



FIG. 9

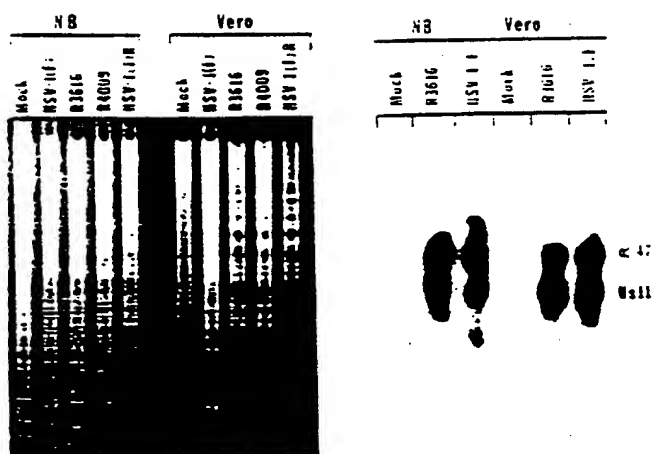


Fig. 2. New form —



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US93/01801

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(5) : A01K 63/00; A61K 37/00, 31/70; A01N 37/18; C12N 15/00; C12Q 1/02;

US CL : 424/93B; 435/29, 320.1; 514/2, 44

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 424/93B; 435/29, 320.1; 514/2, 44

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
APS, Chem. Abs.

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	Cell, Volume 65, issued 15 December 1991, S. Henderson, "Induction of <u>bcl-2</u> Expression by Epstein-Barr Virus Latent Membrane Protein 1 Protects Infected B Cells from Programmed Cell Death", pages 1107-1115, see entire document.	1-33
Y	Cell, Volume 67, issued 29 November 1991, A. Strasser et al, " <u>bcl-2</u> Transgene Inhibits T Cell Death and Perturbs Thymic Self-Censorship", 889-899, see entire document.	1-33

☒ Further documents are listed in the continuation of Box C.☐ See patent family annex.

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*P* document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

04 June 1993

Date of mailing of the international search report

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## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US93/01801

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	Cell, Vol. 67, issued 29 November 1991, C.L. Sentman et al, "bcl-2 Inhibits Multiple Forms of Apoptosis but Not Negative Selection in Thymocytes", pages 879-888, see entire document.	1-33
Y	Nature, Vol. 349, issued 14 February 1991, C. D. Gregory et al, "Activation of Epstein-Barr Virus Latent Genes Protects Human B Cells From Death by Apoptosis", pages 612-614, see entire document.	1-33



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification 5 :</b> A01K 63/00, A61K 37/00, 31/70 A01N 37/18, C12N 15/00 C12Q 1/02	<b>A1</b>	<b>(11) International Publication Number:</b> WO 93/19591 <b>(43) International Publication Date:</b> 14 October 1993 (14.10.93)
<b>(21) International Application Number:</b> PCT/US93/01801 <b>(22) International Filing Date:</b> 26 February 1993 (26.02.93) <b>(30) Priority data:</b> 07/861,233 31 March 1992 (31.03.92) US <b>(71) Applicant:</b> ARCH DEVELOPMENT CORPORATION [US/US]; 1101 East 58th Street, The University of Chicago, Chicago, IL 60637 (US). <b>(72) Inventors:</b> ROIZMAN, Bernard ; 5555 S. Everett, Chicago, IL 60637 (US). CHOU, Joany ; 5227 S. Kimbark, Chicago, IL 60615 (US).		<b>(74) Agents:</b> NORTHROP, Thomas, E. et al.; Arnold, White & Durkee, P.O. Box 4433, Houston, TX 77210 (US). <b>(81) Designated States:</b> AT, AU, BB, BG, BR, CA, CH, CZ, DE, DK, ES, FI, GB, HU, JP, KP, KR, LK, LU, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SK, UA, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> METHODS AND COMPOSITIONS FOR GENE, TUMOR, AND VIRAL INFECTION THERAPY, AND PRE- VENTION OF PROGRAMMED CELL DEATH (APOPTOSIS)  <b>(57) Abstract</b> <p>The present invention relates to methods of treatment of programmed cell death (apoptosis) through the use of the HSV-1 gene <math>\gamma_1</math>34.5 or the product of its expression, ICP34.5. The gene and its expression have been demonstrated to be required for HSV-1 neurovirulence, and in particular, to act as an inhibitor of neuronal programmed cell death which allows for viral replication. Use of the gene therapy, or the protein itself, can be expected to result in inhibition of programmed cell death in various neurodegenerative diseases. This invention also relates to novel vectors for gene therapy, including modified herpes virus. Methods are presented for conducting assays for substances capable of mimicing, potentiating or inhibiting the expression of <math>\gamma_1</math>34.5 or the activity of ICP34.5. Also, methods are disclosed for the treatment of tumorigenic diseases, including cancer, and for treatment of herpes and other viral infections using inhibitors of <math>\gamma_1</math>34.5 expression or ICP34.5 activity.</p>		

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# METHODS AND COMPOSITIONS FOR GENE, TUMOR, AND VIRAL INFECTION THERAPY, AND PREVENTION OF PROGRAMMED CELL DEATH (APOPTOSIS)

## BACKGROUND OF THE INVENTION

The government may own certain rights in the present invention pursuant to grants from the National Cancer Institute (CA47451) and from the National Institute for Allergy and Infectious Diseases (AI24009 and AI1588), and the United States Public Health Service.

### 1. Field of the Invention

The present invention is directed to methods for blocking or delaying programmed cell death, for delivery of gene therapy to specific cells, and for treatment of cancer and other tumorigenic diseases, as well as treatment of viral infections, through the potentiation of programmed cell death in tumor or viral host cells. The present invention is also directed to assays for candidate substances which can either inhibit, or potentiate programmed cell death.

### 2. Description of the Related Art

#### a. Programmed Cell Death (Apoptosis)

In the last decade there has been increasing acceptance in the scientific community of the idea that cells may actually be internally programmed to die at a certain point in their life cycle. As an active cellular mechanism programmed cell death, or apoptosis, has several important implications. First, it is clear that such an active process can provide additional means of regulating cell numbers as well as the biological activities of cells. Secondly, mutations or cellular events which potentiate apoptosis may result in premature cell death. Third, a form of cell death which is dependent on a specific active cellular mechanism can at least potentially be suppressed.

Finally, an inhibition of preprogrammed cell death would be expected to lead to aberrant cell survival and could be expected to contribute to oncogenesis.

In general, apoptosis involves distinctive  
5 morphological changes including nuclear condensation and degradation of DNA to oligonucleosomal fragments. In certain circumstances it is evident that apoptosis is triggered by or is preceded by changes in protein  
10 synthesis. Apoptosis appears to provide a very clean process for cellular destruction, in that the cells are disposed of by specific recognition and phagocytosis prior to bursting. In this manner cells can be removed from a tissue without causing damage to the surrounding cells. Thus, it can be seen that programmed cell death is crucial  
15 in a number of physiological processes, including morphological development, clonal selection in the immune system, and normal cell maturation and death in other tissue and organ systems.

It has also been demonstrated that cells can undergo  
20 apoptosis in response to environmental information. Examples include the appearance of a stimulus, such as glucocorticoid hormones for immature thymocytes, or the disappearance of a stimulus, such as interleukin-2 withdrawal from mature lymphocytes, or the removal of  
25 colony stimulating factors from hemopoietic precursors (for a review of literature see Williams, Cell, 85; 1097-1098, June 28, 1991). Furthermore, it has recently been demonstrated that the response of removal to nerve growth factor from established neuronal cell cultures mimics  
30 target removal, or axiotomy, or other methods of trophic factor removal, and it has been postulated that the cellular mechanism involved in this response is a triggering of a suicide program or programmed cell death following the nerve growth factor removal. (See Johnson et  
35 al., Neurobiol. of Aging, 10: 549-552, 1989). The authors

propose a "death cascade" or "death program", which envisions that trophic factor deprivation initiates the transcription of new mRNA and the subsequent translation of that mRNA into death associated proteins which act in sequence to ultimately produce "killer proteins". Such an intracellular mechanism seems to fit well with the characteristics of apoptosis discussed above, eg., death of specific cells without the release of harmful materials and without the disruption of tissue integrity. Furthermore, the authors indicate that inhibitors of macromolecular synthesis prevented the death of neurons in the absence of nerve growth factor.

Studies have been conducted to explore the possibility that tumor cells could be eliminated by artificially triggering apoptosis. The APO-1 monoclonal antibody can induce apoptosis in several transformed human B and T cell lines. The antibody binds to a surface protein and could act either by mimicking a positive death-inducing signal or by blocking the activity of a factor required for survival. Also, anti-FAS antibodies have similar effects, and the recent cloning and sequencing of the gene for the FAS antigen has shown that it is a 63 kilodalton transmembrane receptor. Itoh et al., Cell 66: 233-243 (1991).

However, it is important to note that neither APO-1 nor FAS can function exclusively as triggers for cell death. Both are cell surface receptors that may activate quite different responses under other circumstances. Moreover, these antigens are not confined to tumor cells and their effect on normal cells is certainly an important consideration, as is the possible appearance of variants that no longer display the antigens.

It has also been demonstrated that the cell death induced by a range of cytotoxic drugs, including several used in cancer therapy, has also been found to be a form of

apoptosis. In fact, the failure of apoptosis in tumor cells could be of fundamental importance in contributing not only to the evasion of physiological controls on cell numbers, but also to resistance both to natural defenses and to clinical therapy.

It has also been demonstrated that expression of the bcl-2 gene can inhibit death by apoptosis. The bcl-2 gene was isolated from the breakpoint of the translocation between chromosomes 14 and 18 found in a high proportion of the most common human lymphomas, that being follicular B cell lymphomas. The translocation brings together the bcl-2 gene and immunoglobulin heavy chain locus, resulting in an aberrantly increased bcl-2 expression in B cells. Subsequently, Henderson et al. (Cell, 65: 1107-1115, 1991) demonstrated that expression of latent membrane protein 1 in cells infected by Epstein-Barr virus protected the infected B cells from programmed cell death by inducing expression of the bcl-2 gene. Sentman et al. (Cell, 67: 879-88, November 29, 1991) demonstrated that expression of the bcl-2 gene can inhibit multiple forms of apoptosis but not negative selection in thymocytes, and Strasser et al. (Cell, 67: 889-899, November 29, 1991) demonstrated that expression of a bcl-2 transgene inhibits T cell death and can perturb thymic self-censorship. Clem et al. (Science, 245: 1388-1390, November 29, 1991) identified a specific baculovirus gene product as being responsible for blocking apoptosis in insect cells.

b. Herpes Virus Infections and Neurovirulence

The family of herpes virus includes animal viruses of great clinical interest because they are the causative agents of many diseases. Epstein-Barr virus has been implicated in B cell lymphoma; cytomegalovirus presents the greatest infectious threat to AIDS patients; and Varicella Zoster Virus, is of great concern in certain parts of the

world where chicken pox and shingles are serious health problems. A worldwide increase in the incidence of sexually transmitted herpes simplex (HSV) infection has occurred in the past decade, accompanied by an increase in neonatal herpes. Contact with active ulcerative lesions or asymptotically excreting patients can result in transmission of the infectious agent. Transmission is by exposure to virus at mucosal surfaces and abraded skin, which permit the entry of virus and the initiation of viral replication in cells of the epidermis and dermis. In addition to clinically apparent lesions, latent infections may persist, in particular in sensory nerve cells. Various stimuli may cause reactivation of the HSV infection. Consequently, this is a difficult infection to eradicate. This scourge has largely gone unchecked due to the inadequacies of treatment modalities.

The known herpes viruses appear to share four significant biological properties:

1. All herpes viruses specify a large array of enzymes involved in nucleic acid metabolism (e.g., thymidine kinase, thymidylate synthetase, dUTPase, ribonucleotide reductase, etc.), DNA synthesis (e.g., DNA polymerase helicase, primase), and, possibly, processing of proteins (e.g., protein kinase), although the exact array of enzymes may vary somewhat from one herpesvirus to another.

2. Both the synthesis of viral DNAs and the assembly of capsids occur in the nucleus. In the case of some herpes viruses, it has been claimed that the virus may be de-enveloped and re-enveloped as it transits through the cytoplasm. Irrespective of the merits of these conclusions, envelopment of the capsids as it transits through the nuclear membrane is obligatory.

3. Production of infectious progeny virus is invariably accompanied by the irreversible destruction of the infected cell.

4. All herpes viruses examined to date are able to remain latent in their natural hosts. In cells harboring latent virus, viral genomes take the form of closed circular molecules, and only a small subset of viral genes is expressed.

Herpes viruses also vary greatly in their biologic properties. Some have a wide host-cell range, multiply efficiently, and rapidly destroy the cells that they infect (e.g., HSV-1, HSV-2, etc.). Others (e.g., EBV, HHV6) have a narrow host-cell range. The multiplication of some herpes viruses (e.g., HCMV) appears to be slow. While all herpes viruses remain latent in a specific set of cells, the exact cell in which they remain latent varies from one virus to another. For example, whereas latent HSV is recovered from sensory neurons, latent EBV is recovered from B lymphocytes. Herpes viruses differ with respect to the clinical manifestations of diseases they cause.

Herpes simplex viruses 1 and 2 (HSV-1, HSV-2), are among the most common infectious agents encountered by humans (Corey and Spear, N. Eng. J. Med., 314: 686-691, 1986). These viruses cause a broad spectrum of diseases which range from mild and nuisance infections such as recurrent herpes simplex labialis, to severe and life-threatening diseases such as herpes simplex encephalitis (HSE) of older children and adults, or the disseminated infections of neonates. Clinical outcome of herpes infections is dependent upon early diagnosis and prompt initiation of antiviral therapy. However, despite some successful therapy, dermal and epidermal lesions recur, and HSV infections of neonates and infections of the brain are associated with high morbidity and mortality. Earlier

diagnosis than is currently possible would improve therapeutic success. In addition, improved treatments are desperately needed.

Extrinsic assistance has been provided to infected individuals, in particular, in the form of chemicals. For example, chemical inhibition of herpes viral replication has been effected by a variety of nucleoside analogues such as acyclovir, 5-fluorodeoxyuridine (FUDR), 5-iododeoxyuridine, thymine arabinoside, and the like.

Some protection has been provided in experimental animal models by polyspecific or monospecific anti-HSV antibodies, HSV-primed lymphocytes, and cloned T cells to specific viral antigens (Corey and Spear, N. Eng. J. Med., 314: 686-691, 1986). However, no satisfactory treatment has been found.

The  $\gamma_{134.5}$  gene of herpes simplex virus maps in the inverted repeat region of the genome flanking the L component of the virus. The discovery and characterization of the gene was reported in several articles (Chou and Roizman, J. Virol., 57: 629-635, 1986, and J. Virol., 64: 1014-1020, 1990; Ackermann et al., J. Virol., 58: 843-850, 1986). The key features are: (i) the gene encodes a protein of 263 amino acid in length; (ii) the protein contains Ala-Thr-Pro trimer repeat ten times in the middle of the coding sequence; (iii) the protein is basic in nature and consists of large number of Arg and Pro amino acids; (iv) the promoter of the gene maps in the a sequence of the genome which also serves several essential viral functions for the virus; (v) the cis-acting element essential for the expression of the gene  $\gamma_{134.5}$  is contained within the a sequence, in particular, the DR2 (12 base pair sequence repeated 22 times) and  $U_b$  element. This type of promoter structure is unique to this gene and not shared by other viral gene promoters.

The function of the gene  $\gamma_{134.5}$  in its ability to enable the virus to replicate, multiply and spread in the central nervous system (CNS) was demonstrated by a set of recombinant viruses and by testing their abilities to cause fatal encephalitis in the mouse brain. The mutant viruses lacking the gene therefore lost their ability to multiply and spread in the CNS and eyes and therefore is non-pathogenic. See Chou et al., Science, 250: 1212-1266, 1990.

The  $\gamma_{134.5}$  gene functions by protecting the nerve cells from total protein synthesis shutoff in a manner characteristic of programmed cell death (apoptosis) in neuronal cells. The promoter appears to contain stress response elements and is transactivated by exposure to UV irradiation, viral infection, and growth factor deprivation. These data suggest that the gene  $\gamma_{134.5}$  is transactivated in the nerve cells at times of stress to prevent apoptosis.

The significance of these findings therefore lies in the fact that  $\gamma_{134.5}$  extends viability or lends protection to the nerve cells so that in this instance, the virus can replicate and spread from cell to cell -- defined as neurovirulence. It also appears that the protection can be extended to other toxic agents or environmental stresses to which the cell is subjected. An important aspect about the nature of the neurons, unlike any other cells in human, is the fact that neurons in the brain, eyes or CNS do not regenerate which forms the basis of many impaired neurological diseases. Any genes or drugs that extend the life of cells from death or degeneration can be expected to have a significant impact in the area of neural degeneration.

The role of  $\gamma_{134.5}$ , and anti-apoptosis factors, in infected cells is in its early stages of elucidation.



Recent studies have suggested that Epstein-Barr virus enhances the survival capacity of infected cells through latent membrane protein 1(LMP1)-induced up-regulation of bcl-2. In that system it is postulated that LMP 1 induced  
5 bcl-2 up regulation gives virus infected B cells the potential to by-pass physiological selection and gain direct access to long lived memory B cell pools. However, bcl-2 expression fails to suppress apoptosis in some situations, for example upon withdrawal of interleukin-2 or  
10 interleukin-6. Moreover, the intracellular mechanism of action of bcl-2 expression remains unknown.

c. Programmed Cell Death and Disease Therapy

In light of the foregoing, it is apparent that the expression of  $\gamma_134.5$  in CNS cells added an extra dimension  
15 of protection to the neurons against viral infection, and naturally occurring and stress-induced apoptosis. An appreciation of this extra dimension of protection can be utilized in novel and innovative means for control and treatment of central nervous system (CNS) disorders.  
20 Specifically, treatment of CNS degenerative diseases, including Alzheimer's disease, Parkinson's disease, Lou Gerig's disease, and others the etiology of which may be traceable to a form of apoptosis, and the treatment of which is currently very poor, could be improved  
25 significantly through the use of either the  $\gamma_134.5$  gene in gene therapy or the protein expressed by  $\gamma_134.5$  as a therapeutic agent. This is especially critical where the death of neuronal cells is involved, due to the fact that, as noted, such cells do not reproduce post-mitotically.  
30 Since a finite number of neurons are available it is crucial to have available methods and agents for their protection and maintenance.  $\gamma_134.5$  is also a very useful gene for assays of substances which mimic the effect of  $\gamma_134.5$  and block stress of biologically induced programmed  
35 cell death.

Furthermore, the HSV-1 virus, appropriately modified so as to be made non-pathogenic, can serve as a vehicle for delivery of gene therapy to neurons. The HSV-1 virus is present in neurons of the sensory ganglia of 90% of the world's human population. The virus ascends into neuronal cell bodies via retrograde axonal transport, reaching the axon from the site of infection by the process of neurotropism. Once in the neuronal cell body the virus remains dormant until some form of stress induces viral replication (e.g. UV exposure, infection by a second virus, surgery or axotomy). As noted, the use of HSV-1 as a vector would necessitate construction of deletion mutants to serve as safe, non-pathogenic vectors. Such a virus would act as an excellent vector for neuronal gene therapy and its use would be an especially important development since few methods of gene therapy provide a means for delivery of a gene across the central nervous system's blood-brain barrier.

Moreover, other viruses, such as HSV-2, picornavirus, coronavirus, eunyavirus, togavirus, rahbdovirus, retrovirus or vaccinia virus, are available as vectors for  $\gamma_{134.5}$  gene therapy. As discussed with regard to the use of HSV-1 viruses, these vectors would also be altered in such a way as to render them non-pathogenic. In addition to the use of an appropriately mutated virus, implantation of transfected multipotent neural cell lines may also provide a means for delivery of the  $\gamma_{134.5}$  gene to the CNS which avoids the blood brain barrier.

In addition, use of the HSV-1 virus with a specific mutation in the  $\gamma_{134.5}$  gene provides a method of therapeutic treatment of tumorigenic diseases both in the CNS and in all other parts of the body. The " $\gamma_{134.5}$  minus" virus can induce apoptosis and thereby cause the death of the host cell, but this virus cannot replicate and spread. Therefore, given the ability to target tumors within the CNS, the  $\gamma_{134.5}$  minus virus has proven a powerful

therapeutic agent for hitherto virtually untreatable forms of CNS cancer. Furthermore, use of substances, other than a virus, which inhibit or block expression of genes with anti-apoptotic effects in target tumor cells can also serve  
5 as a significant development in tumor therapy and in the treatment of herpes virus infection, as well as treatment of infection by other viruses whose neurovirulence is dependent upon an interference with the host cells' programmed cell death mechanisms.

10

#### SUMMARY OF THE INVENTION

This invention relates to methods for the prevention or treatment of programmed cell death, or apoptosis, in neuronal cells for therapy in connection with neurodegenerative diseases, as well as methods of treatment  
15 of cancer and other tumorigenic diseases and herpes virus infection. The present invention also relates to assay methodologies allowing for the identification of substances capable of modulating the effects of the  $\gamma_{134.5}$  gene or its protein expression product ICP34.5, i.e., substances  
20 capable of potentiating or inhibiting their effects. Additionally, the present invention also relates to assay methodologies designed to identify candidate substances able to mimic either  $\gamma_{134.5}$  expression or the activity of ICP34.5. The present invention also relates to methods of  
25 delivering genes to cells for gene therapy.

In one illustrative embodiment of the present invention a method of preventing or treating programmed cell death in neuronal cells is described in which a non-pathogenic vector is prepared which contains the  $\gamma_{134.5}$   
30 gene. This vector is then introduced into neuronal cells which are presently undergoing or are likely to undergo programmed cell death. Those skilled in the art will realize that several vectors are suitable for use in this method, although the present invention envisions the use of

certain unique and novel vectors designed specifically for use in connection with delivery of the  $\gamma_{134.5}$  gene.

One such vector envisioned by the present invention is the HSV-1 virus itself, modified so as to render it non-pathogenic. Because of the unique capability of the HSV-1 virus to use an axon's internal transport system to move from the peripheral nerve endings of the neuron into the neuronal cell body, the present invention proposes the use of the non-pathogenic HSV-1 virus injected into the vicinity of the synaptic terminals of affected neurons, or in the area of a peripheral wound or lesion or other appropriate peripheral locus. The HSV-1 virus containing the  $\gamma_{134.5}$  gene, under a different target-specific promoter, would then be transported into the neuronal cell body via retrograde axonal transport.

The present invention envisions specific genomic modifications being introduced into the HSV-1 virus in order to render the virus non-cytotoxic. These modifications could include deletions from the genome, rearrangements of specific genomic sequences, or other specific mutations. One example of such a modification comprises modification or deletion of the  $\alpha_4$  gene which encodes the ICP4 protein. Deletion or modification of the gene expressing ICP4 renders the HSV-1 virus unable to express genes required for viral DNA and structural protein synthesis. However, the  $\gamma_{134.5}$  gene placed under a suitable promoter would be expressed, thus inducing an anti-apoptotic effect in the neuron without the potential for stress induced neurovirulence. Other genes which might be modified include the  $\alpha_0$  gene. The present invention also envisions the use of other vectors including, for example, retrovirus, picorna virus, vaccinia virus, HSV-2, coronavirus, eunyavirus, togavirus or rhabdovirus vectors. Again, use of of such viruses as vectors will necessitate

construction of deletion mutations so that the vectors will be safe and non-pathogenic.

Another method by which the present invention envisions introducing the  $\gamma_134.5$  gene into neuronal cells undergoing or likely to undergo programmed cell death, is through the use of multi-potent neural cell lines. Such lines have been shown to change phenotype *in vitro* and have also been demonstrated to become integrated into the central nervous system of mice and to differentiate into neurons or glia in a manner appropriate to their site of engraftment. Snyder, et al., Cell, 68: 33-51, 1992. Transplant or engraftment of multi-potent neural cell lines into which the  $\gamma_134.5$  gene has been introduced into an area of the central nervous system in which cells are undergoing or are likely to undergo programmed cell death is expected to lead to reversal and inhibition of programmed cell death.

It is expected that the ability of  $\gamma_134.5$  to inhibit apoptosis will be a boon not only in human medicine, but also in basic scientific research. In this regard the present invention also envisions the use of the  $\gamma_134.5$  gene in the extension of the life of neuronal cells in cell culture. Introduction of a non-cytotoxic vector into cultured neuronal cells will have an anti-apoptotic effect and will thereby extend the life of cell cultures. This in turn will extend the time periods over which experimentation may be conducted, and can also be expected to decrease the cost of conducting basic research.

In addition to utilizing a vector comprising the  $\gamma_134.5$  gene, the present invention also discloses a method of preventing or treating programmed cell death in neuronal cells which involves the use of the product of expression of the  $\gamma_134.5$  gene. The protein expressed by  $\gamma_134.5$  is called ICP34.5. Ackermann, et al. (J. Virol., 58: 843-850,

1986) reported that ICP34.5 has an apparent molecular weight of 43,500 upon SDS-polyacrylamide gel electrophoresis, appears to accumulate largely in the cytoplasm of HIV infected cells, and in contrast to many HSV-1 proteins, ICP34.5 has been demonstrated to be soluble in physiologic solutions.

In practicing this method or the method in which the  $\gamma_{134.5}$  gene is introduced into cells, it is envisioned that the  $\gamma_{134.5}$  gene or a biological functional equivalent thereof could be used for gene therapy, or ICP34.5 in a purified form or a biological functional equivalent of the ICP34.5 protein could be utilized as an anti-apoptotic agent. As used herein, *functional equivalents* are intended to refer to those proteins, and their encoding nucleic acid sequences, in which certain structural changes have been made but which nonetheless are, or encode, proteins evidencing an effect similar to that of ICP34.5.

In light of the fact that certain amino acids may be substituted for other amino acids in a protein without appreciable loss of defined functional activity, it is contemplated by the inventors that various changes may be made in the sequence of the ICP34.5 protein (or the underlying DNA of the  $\gamma_{134.5}$  gene) without an appreciable loss of biological utility or activity. Amino acids with similar hydropathic scores may be substituted for one another (see Kyte et al., J. Mol. Biol., 157: 105-132, 1982, incorporated herein by reference), as may amino acids with similar hydrophilicity values, as described in U.S. Patent 4,554,101, incorporated herein by reference.

Therefore, amino acid substitutions are generally based on the relative similarity of the amino acid side-chain substituents, for example, their hydrophobicity, hydrophilicity, charge, size, and the like. Exemplary substitutions which take various of the foregoing

characteristics into consideration are well known to those of skill in the art and include: arginine and lysine; glutamate and aspartate; serine and threonine; glutamine and asparagine; and valine, leucine and isoleucine.

5        This embodiment of the present invention describes a method which involves combining ICP34.5 or a biological functional equivalent thereof with a pharmaceutically acceptable carrier in order to form a pharmaceutical composition. (It should be understood in subsequent  
10 discussions that when  $\gamma_{134.5}$  or ICP34.5 are referred to, the inventors intend to include biological functional equivalents, including any chemicals which mimic the effect of  $\gamma_{134.5}$ .) Such a composition would then be administered to neurons likely to undergo or undergoing programmed cell  
15 death. Such a composition could be administered to an animal using intravenous, intraspinal injection or, in certain circumstances, oral, intracerebral or intraventricular administration may be appropriate. Furthermore, neuronal cells in culture could also benefit  
20 from administration of ICP34.5 through administration directly into the medium in which the neuronal cells are grown.

ICP34.5 can be prepared using a nucleic acid segment which is capable of encoding ICP34.5 (i.e., the  $\gamma_{134.5}$  gene  
25 or a biological functional equivalent). Such a segment could be expressed using, for example, a technique involving transferring the  $\gamma_{134.5}$  segment into a host cell, culturing the host cell under conditions suitable for expression of the segment, allowing expression to occur,  
30 and thereafter isolating and purifying the protein using well established protein purification techniques. The nucleic acid segment would be transferred into host cells by transfection or by transformation of a recombinant vector into the host cell.

A particularly important embodiment of the present invention relates to assays for candidate substances which can either mimic the effects of the  $\gamma_134.5$  gene, or mimic the effects of ICP34.5, as well as assays for candidate substances able to potentiate the function of  $\gamma_134.5$  or potentiate the protective function of ICP34.5. Additionally, methods for assaying for candidate substances able to inhibit either  $\gamma_134.5$  expression or the activity of ICP34.5 are also embodiments of the present invention.

In an exemplary embodiment, an assay testing for candidate substances which would block the expression of the anti-apoptosis gene or inhibit the activity of an anti-apoptotic protein such as ICP34.5 would proceed along the following lines. A test plasmid construct bearing the a sequence promoter and portions of the coding sequence of  $\gamma_134.5$  is fused to the lacZ reporter gene, or any other readily assayable reporter gene. This construct is then introduced into an appropriate cell line, for example a neuroblastoma or PC12 cell line, by G418 selection. A clonal and continuous cell line for screening purposes is then established. A control plasma construct bearing an HSV late promoter (a promoter which would normally not be expressed in cell lines and not induced to express by a stress factor which would normally induce apoptosis) is fused to the same or different indicator gene. This construct is also introduced into a continuous clonal cell line and serves as a control for the test cell line. The anti-apoptosis drugs would then be applied. Environmental stresses which typically trigger a sequence promoter activation and cause programmed cell death, such as UV injury, viral infection or deprivation of nerve growth factor, would then be applied to the cells. In control cells, the stress should have no effect on the cells and produce no detectible reaction in the assay. Stress in a test cell line in the absence of a positive candidate substance would give rise to an appropriate reaction,



typically a colorimetric reaction. Introduction of stress to the test cell line in the presence of the candidate substance would give rise to an opposite colorimetric reaction indicating that the candidate substance  
5 interferes either with expression of the  $\gamma_{134.5}$  gene, or with the ability of the substance to interfere with the anti-apoptotic activity of ICP34.5.

Similarly, the present invention describes an assay for candidate substances which would mimic or potentiate  
10 the activity of ICP34.5, or which would mimic the expression of  $\gamma_{134.5}$ , and such an assay would proceed along lines similar to those described above. A test cell line (e.g., a neuroblastoma cell line) constitutively expressing ICP34.5 and a fluorescent tagged cellular gene or any other  
15 tag providing an easily detected marker signalling viability of the cells is produced. In addition, a corresponding null cell line consisting of an appropriate indicator gene, for example the a-lacZ indicator gene, and the same host indicator gene as in the test cell line is  
20 also produced. Also, a third cell line (e.g., a vero cell line) consisting of the same indicator gene and the identical host indicator gene is also produced. Again, environmental stresses which trigger programmed cell death in the absence of  $\gamma_{134.5}$  are applied to the cells.  
25 Candidate substances are also applied in order to determine whether they are able to mimic or potentiate the anti-apoptotic effects of  $\gamma_{134.5}$  expression or the anti-apoptotic activity of ICP34.5 or biological functional equivalents thereof.

30 The present invention also embodies a method of delivering genes for gene therapy. In an exemplary embodiment, the method involves combining the gene used for gene therapy with a mutated virus such as those described above, or with the HSV-1 virus rendered non-pathogenic.  
35 The gene and the virus are then combined with a

pharmacologically acceptable carrier in order to form a pharmaceutical composition. This pharmaceutical composition is then administered in such a way that the mutated virus containing the gene for therapy, or the HSV-1 wild type virus containing the gene, can be incorporated into cells at an appropriate area. For example, when using the HSV-1 virus, the composition could be administered in an area where synaptic terminals are located so that the virus can be taken up into the terminals and transported in a retrograde manner up the axon into the axonal cell bodies via retrograde axonal transport. Clearly, such a method would only be appropriate when cells in the peripheral or central nervous system were the target of the gene therapy.

The present invention also envisions methods and compositions for the treatment of cancer and other tumorigenic diseases, as well as herpes infections or other infections involving viruses whose virulence is dependent upon an anti-apoptotic effect. Candidate substances identified as having an inhibiting effect upon either the expression or activity of ICP34.5 identified in the assay methods discussed above could be used to induce cell death in target tumor cells, or in virus-infected cells. Pharmaceutical compositions containing such substances can be introduced using intrathecal, intravenous, or direct injection into the tumor or the infected area, as appropriate.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

Fig. 1 shows DNA sequence comparisons of HSV-1 strains F (SEQ ID NO's 1-5), 17syn+ (SEQ ID NO's: 6-9), MGH-10 (SEQ ID NO's 10-15), and CVG-2 (SEQ ID NO's 16-20) in the region of the gene for ICP34.5 (left panel) and the predicted open frames for ICP34.5 in these strains (SEQ ID NO's 25-34) (right panel). Unless otherwise indicated by a new base (insertion of A, C, G, or T), a new amino acid (three-letter code), or absence of a base or amino acid (-), the

sequences for strains HSV-1(17)syn+, HSV-1(MGH-10), and HSV-1(CVG-2) were identical to the sequence for HSV-1(F). An asterisk indicates initiation of a repeat sequence of nine nucleotides or three amino acids. Direct repeat 1(DR1) designates the 20-base-pair repeat sequence flanking the a sequence. Sequences upstream of direct repeat 1 are contained within the a sequence. The number at the end of each line indicates the relative position from nucleotide 1 (left panel) or amino acid 1 (right panel). The initiation and termination codons for the HSV-1(F) sequence are underlined.

Fig. 2 shows sequence arrangements of the genome of wild-type strain HSV-1 strain F [HSV-1(F)] and of recombinant viruses derived from it. Top line, the sequence arrangement of HSV-1(F)  $\Delta$ 305. The rectangles identify the inverted repeats *ab*, *b' a' c*, and *ca*. The HSV-(F) a sequence is present in a direct orientation at the two genomic termini and in the inverted orientation at the junction between the long and short components. The *b* and *c* sequences are approximately 9 and 6 kbp long, respectively. The triangle marked TK identifies the position of the *tk* gene and of the Bgl II to Sac I sequence of BamHI Q fragment deleted from HSV-1(F) $\Delta$ 305. Lines two and three from the top show that the *b* sequences contain the genes specifying ICP34.5 and ICP0 and, since *b* sequence is repeated in an inverted orientation, there are two copies of these genes per genome. The construction of the a24-*tk* fragment containing portions of the glycoprotein H gene has been described. Chou and Roizman, J. Virol., 57: (1986); Ackerman et al., J. Virol., 58: 843 (1986); Chou and Roizman, J. Virol., 64: 1014 (1990). Line 7 shows a schematic diagram of the insertion of the oligonucleotide containing stop codons in all three reading frames. The plasmids pRB3615 and pRB2976 used in the construction of R4002 and R4004, respectively, were described elsewhere. Chou and Roizman, J. Virol., 57: 629 (1986) and J. Virol.,

64: 1014 (1990). To generate pRB3616, plasmid pRB143 was digested with BstEII and Stu I, blunt-ended with T4 polymerase, and relegated. The asterisks designate nucleotides from vector plasmid that form cohesive ends with the synthesized oligomers (SEQ ID NO's 21-22). The insertion of the  $\alpha 4$  epitope into the first amino acid of ICP34.5 (line 9) has been described, Chou and Roizman, J. Virol., 64: 1014 (1990), except that in this instance the sequence was inserted into both copies of the  $\gamma_1 34.5$  gene (SEQ ID NO's 23, 24 and 34). The tk gene was restored in all recombinant viruses tested in mice. HSV-1(F)R (line 6) was derived from R3617 by restoration of the sequences deleted in  $\gamma_1 34.5$  and tk genes. N, Be, S, and St are abbreviations for Nco I, BstEII, Sac I, and Stu I restriction endonucleases (New England Biolabs), respectively. The numbers in parentheses are the tk<sup>+</sup> version of each construct tested in mice.

Fig. 3 shows an autoradiographic image of electrophoretically separated digest of plasmid, wild-type, and mutant virus DNAs, transferred to a solid substrate and hybridized with labelled probes for the presence of  $\gamma_1 34.5$  and tk genes. The plasmids or viral DNAs shown were digested with BamHI or, in the case of R4009 shown in lanes 10, with both BamHI and Spe I. The hybridization probes were the fragment Nco I to Sph I contained entirely within the coding sequences of  $\gamma_1 34.5$  (left panel) and the BamHI Q fragment of HSV-1(F) (right panel). The probes were labeled by nick translation of the entire plasmid DNAs with [ $\alpha$ -<sup>32</sup>P] deoxycytidine triphosphate and reagents provided in a kit (Du Pont Biotechnology Systems). The DNAs that were limit digested with BamHI (all lanes) or both BamHI and Spe I (left panel, lane 10) were electrophoretically separated on 0.8% agarose gels in 90 mM trisphosphate buffer at 40 V overnight. The DNA was then transferred by gravity to two nitrocellulose sheets sandwiching the gel and hybridized overnight with the respective probes.  $\gamma_1 34.5$  maps in BamHI

S and SP fragments, which form a characteristic ladder of bands at 500-bp increments. The ladders are a consequence of a variable number of a sequences in the repeats flanking the unique sequences of the junction between the long and short components, whereas BamHI S is the terminal fragment of the viral genome at the terminus of the long component, whereas BamHI SP is a fragment formed by the fusion of the terminal BamHI S fragment with BamHI P, the terminal BamHI fragment of the short component. Bands of BamHI S, SP, and Q and their deleted versions,  $\Delta$ BamHI S,  $\Delta$ BamHI SP, and  $\Delta$ BamHI Q ( $\Delta$ Q), respectively, are indicated. Bank 1 represents the 1.7-kbp  $\alpha$ 27-tk insert into the BamHI SP fragment in R4002, and therefor this fragment reacted with both labeled probes (lanes 4). Band 2 represents the same insertion into the BamHI S fragment.

Fig. 4 shows autoradiographic images (left panel) and photograph of lysates of cells mock infected (M) or infected with HSV-1(F) and recombinant viruses (right panel) separated electrophoretically in denaturing polyacrylamide (10%) gels, transferred electrically to a nitrocellulose sheet, and stained with rabbit polyclonal antibody R4 described elsewhere. Ackerman et al., J. Virol., 58: 843 (1986); Chou and Roizman, J. Virol., 64: 1014 (1990). Replicate cultures of Vero cells were infected and labeled with [ $^{35}$ S]methionine (Du Pont Biotechnology Systems) from 12 to 24 hours after infection, and equivalent amounts of cell lysates were loaded in each slot. The procedures were as described (Ackerman et al.; Chou and Roizman) except that the bound antibody was made apparent with the alkaline phosphatase substrate system supplied by Promega, Inc. Infected cell proteins were designated by number according to Honess and Roizman (J. Virol., 12: 1346 (1973)). The chimeric ICP34.5 specified by R4003 migrated more slowly than the protein produced by other viruses because of the increased molecular weight caused by the insertion of the epitope.

Fig. 5 is a schematic representation of the genome structure and sequence arrangements of the HSV-1 strain F [HSV01(F)] and related mutants. Top line: The two covalently linked components of HSV-1 DNA, L and S, each consist of unique sequences flanked by inverted repeats (7, 31). The reiterated sequences flanking the L component designated as ab and b'a' are each 9 kb in size, whereas the repeats flanking the S component are 6.3 kb in size (31). Line 2: expansion of portions of the inverted repeat sequences ab and b'a' containing the  $\gamma_134.5$  and  $\alpha 0$  genes. Line 3: sequence arrangement and restriction endonuclease sites in the expanded portions shown in line 2. Open box represents the 20 bp direct repeat sequence (DR1), flanking the a sequence (26,27). Restriction site designations are N,- NcoI; Be,- BstEII; S,- SacI; St,- StuI. Line 4: the thin line and filled rectangle represent the transcribed and coding domains of the  $\gamma_134.5$  gene (406). Vertical line, location of the transcription initiation sites of  $\gamma_134.5$  and of  $\alpha 0$  genes. In the R3616 viral recombinant, one Kb was deleted between BstEII at 28th amino acid of  $\gamma_134.5$  to StuI at the 3' terminus of the genes as shown. In HSV-1(F)R DNA, the sequences deleted from the  $\gamma_134.5$  gene in R3616 were restored and therefore the virus could be expected to exhibit a wild-type phenotype. The R4009 recombinant virus DNA contains an in frame translation termination codons at the BstEII site. Vertical arrow on top points to the site of the stop codon insertion.

Fig. 6 shows an autoradiographic image of eletrophoretically separated lysates of infected cells labeled for 90 minutes with  $^{35}\text{S}$ -methionine at stated time points. The SK-N-SH neuroblastoma and Vero cell lines were mock infected (M) or exposed at 37°C to 5 pfu of wild-type or mutant viruses in 6 well (Costar, Cambridge, Mass.) dishes. At 2 hours post exposure, the cells were overlaid with mixture 199 supplemented with 1% calf serum. At 5.5 and 11.5 hours post exposure of cells to viruses, replicate

infected 6 well cultures were overlaid with 1 ml of the 199v medium lacking unlabeled methionine but supplemented with 50 $\mu$ Ci of  $^{35}$ S-methionine (specific activity >1,000 Ci/mmol, Amersham Co. Downers Grove, IL). After 90 minutes in labeling medium, the cells were harvested, solubilized in a buffer containing sodium dodecyl sulphate, subjected to electrophoresis on a denaturing 12% polyacrylamide gels crosslinked with N, N'-Diallyltartardiamide, electrically transferred to nitrocellulose sheet and subjected to autoradiography as previously described (13). Infected cell polypeptides (ICP) were designated according to Honess and Roizman, J. Virol., 12: 1347-1365 (1973).

Fig. 7 shows autoradiographic images of labeled polypeptides electrophoretically separated in denaturing gels and photographs of protein bands made apparent by their reactivity with antibodies. The SK-N-SH neuroblastoma and Vero cells were either mock infected (M) or infected with 5 pfu of either R3616 or the parent HSV-1(F) per cell as described in the legend to Figure 6. The cultures were labeled for 1.5 hr before harvesting at 13th hr post exposure of cells to virus. Preparation of cell extracts, electrophoresis of the polypeptides, electric transfer of the separated polypeptides to a nitrocellulose sheet, and autoradiography were carried out as described elsewhere (Ackerman et al., J. Virol., 52: 108-118, 1984). The nitrocellulose sheets were reacted with the respective antibodies with the aid of kits from Promega, Inc. (Madison, WI) according to manufacture's instruction. Monoclonal antibodies H1142 against  $\alpha 27$  and H725 against the product of the U<sub>L</sub>26.5 gene were the generous gift of Lenore Pereira, University of California at San Francisco. The M28 monoclonal antibody to U<sub>S</sub>11 protein and the rabbit polyclonal antibody R161 against viral thymidine kinase ( $\beta$ tk) were made to a specific peptide (M. Sarmiento and B.

Roizman, unpublished studies) in this laboratory. ICP designations were the same as noted before.

Fig. 8 shows an autoradiographic image of viral proteins expressed during infection on SK-N-SH neuroblastoma cell lines in the presence or absence of phosphonoacetate (PAA). Duplicate SK-N-SH neuroblastoma cell cultures were either treated with phosphonoacetate (300  $\mu$ g/ml; Sigma Chemical Co., St. Louis, MO) starting at 1.5 hr prior to infection continuously until the termination of infection or left untreated. The cultures were either mock infected or exposed to 5 pfu of either HSV-1(F), R3616, R4009 and HSV-1(F)R at 5 pfu/cell. At 11.5 hours post exposure to virus, the cells were overlaid with medium containing 50  $\mu$ Ci of  $^{35}$ S-methionine as described in legend to Figure 6. Polypeptide extraction, electrophoresis on 12% polyacrylamide gels crosslinked with N,N' Diallyltartardiamide, electrical transfer to nitrocellulose sheets and autoradiography were as described in the legend to Figure 6.

Fig. 9 shows viral DNA and RNA accumulation in infected SK-N-SH neuroblastoma and Vero cell cultures. Left panel: Photograph of ethidium bromide stained agarose gel containing electrophoretically separated BamHI digests of total DNAs extracted from mock-infected cells or cells infected with HSV-1(F), R3616, R4009 or HSV-1(F)R viruses. Right panel: Hybri-dization of electrophoretically separated RNA transferred to a nitrocellulose sheets probed with RNA sequences antisense to  $\alpha$ 47, U<sub>s</sub>10 and U<sub>s</sub>11 open reading frames. SK-N-SH neuroblastoma and Vero cells were either mock-infected or exposed to 5 pfu of HSV-1(F) or of R3616 per cell. Total DNAs were extracted from cells at 17 hr post infection by the procedure published by Katz et al., (*J. Virol.*, 64: 4288-4295), digested with BamHI, electrophoresed in 0.8% agarose gel at 40V overnight and stained with ethidium bromide for visualization. For RNA



analysis, SK-N-SH neuroblastoma and Vero cells were either mock-infected or infected with R3616 and HSV-1(F) as described above. At 13 hrs post exposure of cells to virus the RNA was extracted by the procedure of Peppel and  
5 Baglioni (BioTechniques, 9: 711-712, 1990). The RNAs were then separated by electrophoresis on 1.2% agarose gel, transferred by gravity to a nitrocellulose sheet and probed with anti-sense RNA made from in vitro transcription of  
10 pRB3910 off T7 promoter using kit from Promega, Inc. according to manufacturer's instruction.  $\alpha 47$ , U<sub>s</sub>10 and U<sub>s</sub>11 transcripts overlap in sequence and share the same 3' co-terminal sequence. McGeoch et al., J. Gen. Virol., 64: 1531-1574 (1988). The U<sub>s</sub>10 transcript is of low abundance and not detected in this assay.

15 **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS**  
**Introduction**

The present invention relates to the use of the HSV-1  $\gamma_1 34.5$  gene, the ICP34.5 protein expressed by that gene, and derivatives of the protein which function in a similar  
20 manner as therapeutics for (neuronal) programmed cell death. The present invention also relates to the use of altered, non-pathogenic HSV-1 virus (as well as other viruses) as a vector for gene therapy. Other aspects of the present invention relate to assays for detecting  
25 candidate substances capable of acting as anti-apoptotic agents, as well as assays for detecting candidate substances able to induce programmed cell death in tumor cells. Additionally, the present invention also relates to methods for treating cancer and other tumorigenic diseases.  
30 Finally, the present invention also relates to the use of candidate substances capable of inactivating the  $\gamma_1 34.5$  gene or ICP34.5 and thereby suppressing HSV-1 and other viral infections.

The wild-type HSV-1 genome (150 kilobase pairs) has  
35 two components, L and S, each possessing unique sequences

flanked by inverted repeats. The repeat sequences of the L component, designated *ab* and *b'a'*, are each 9 kilobase pairs, whereas the repeat sequences of the S component, designated *a'c'* and *ca*, are each 6.5 kilobase pairs.

- 5 Wadsworth et al., J. Virol., 15: 1487-1497 (1975). The shared a sequence, 500-base pairs long in HSV-1 strain F [HSV-1(F)], is present in one copy at the S component terminus and in one to several copies, in the same orientation, at the junction between L and S components.
- 10 The L and S components invert relative to each other such that the DNA extracted from virions or infected cells consists of four isomers differing solely in the orientation of the L and S components relative to each other. Hayward et al., Proc. Natl. Acad. Sci. USA, 72:
- 15 4243-4247 (1975). The a sequence appears to be a *cis*-acting site for inversions inasmuch as insertion of the a sequence elsewhere in the genome or deletion of the entire internal inverted repeat sequences (*b'a'c'*) leads to additional inversions or the loss of the ability of the L and S components to invert, respectively. The a sequence
- 20 was also shown to contain the *cis*-acting sites for the circularization of the genome after infection, for cleavage of the HSV genome from concatemers, and for encapsidation of the DNA.

- 25 HSV-1 genomes contain at least 73 genes whose expression is coordinately regulated and sequentially ordered in cascade fashion. The  $\alpha$  genes are expressed first, followed by  $\beta$ ,  $\gamma_1$  and  $\gamma_2$  genes. The differentiation among  $\beta$ ,  $\gamma_1$  and  $\gamma_2$  genes is operationally based on the
- 30 effect of inhibitors of viral DNA synthesis. Whereas the expression of  $\beta$  genes is stimulated and that of  $\gamma_1$  genes is only slightly reduced by inhibitors of viral DNA synthesis, the expression of  $\gamma_2$  genes stringently requires viral DNA synthesis.

In the course of studies on the function of the a sequence, Chou and Roizman (Cell, 41: 803-811, 1985) noted that the chimeric structure consisting of the a sequence fused to the 5' transcribed, noncoding sequences of the thymidine kinase (TK) gene of HSV-1 was inducible in transferred cells and regulated as a  $\gamma_1$  gene when inserted into the viral genome. This observation suggested that the terminus of the a sequence nearest the b sequence of the inverted repeats contained a promoter and the transcription initiation site of a gene whose structural sequences were located in the b sequences flanking the L component. Studies involving hybridization of labeled DNA probes to electrophoretically separated RNAs extracted from infected cells, and S1 nuclease analyses confirmed the existence of RNA transcripts initiating in the a sequence. Nucleotide sequence analyses revealed the presence of an open reading frame capable of encoding a protein 263 amino acids long. Chou and Roizman, J. Virol., 64: 1014-1020 (1990).

Previous studies have shown that each inverted repeat of the S component contains in its entirety a gene designated  $\alpha_4$ , whereas each of those of the L component contains in its entirety a gene designated  $\alpha_0$ . See, e.g., Mackem and Roizman, J. Virol., 44: 934-947 (1982). The putative gene identified on the basis of nucleotide sequence and analyses of RNA is also present in two copies per genome. Because of the overlap of the domain of this gene with the a sequence containing the *cis*-acting sites for inversion, cleavage of DNA from concatemers, and packaging of the DNA, it was of interest to identify and characterize the gene product. For this purpose, the observation that the nucleotide sequence predicted the presence in the protein of the amino acid triplet Ala-Thr-Pro repeated 10 times was utilized, and antibody to a synthetic peptide synthesized on the basis of this sequence reacted with a 43,500-apparent-molecular-weight HSV-1 protein. Ackerman et al., J. Virol., 58: 843-850, 1986.

The extent of variability of the open reading frame that encodes ICP34.5 was established by comparing the nucleotide sequences of three HSV-1 strains passaged a limited number of times outside a human host. Chou and Roizman (J. Virol., 64: 1014-1020, 1990) reported that the gene that specifies ICP34.5 contains 263 codons conserved in all three limited passage strains but not in the reported sequence of the HSV-1(17)syn+ strain. (FIG. 1) To ensure that the antibody to a predicted repeat sequence, Ala-Thr-Pro, reacted with ICP34.5 rather than with a heterologous protein with a similar repeat sequence, a short sequence of 45 nucleotides that encodes an epitope characteristic of another HSV-1 gene was inserted near the 5' terminus of the ICP34.5-coding domain. The recombinant virus expressed a protein with an appropriately slower electrophoretic mobility and which reacted with both the monoclonal antibody to the inserted epitope and rabbit antiserum to the Ala-Thr-Pro repeat element.

Studies of the identification of the genes associated with neurovirulence have repeatedly implicated DNA sequences located at or near a terminus of the long component of HSV-1 DNA. Thus, Centifanto-Fitzgerald et al. (J. Exp. Med., 155: 475, 1982) transferred, by means of a DNA fragment, a virulence marker from a virulent to an antivirulent strain of HSV-1. Deletion of genes located at one terminus of the long component of HSV-1 DNA contributed to the lack of virulence exhibited by a prototype HSV vaccine strain. Maignier et al., J. Infect. Dis., 158: 602 (1988). In other studies, Javier et al. (J. Virol., 65: 1978, 1987) and Thompson et al. (Virology, 172: 435, 1989) demonstrated that an HSV-1 x HSV-2 recombinant virus consisting largely of HSV-1 DNA but with HSV-2 sequences located at one terminus of the long component was avirulent; virulence could be restored by rescue with the homologous HSV-1 fragment. Taha et al. (J. Gen. Virol., 70: 705, 1989) described a spontaneous deletion mutant

lacking 1.5 kbp at both ends of the long component of a HSV-2 strain. Because of heterogeneity in the parent virus population, the loss of virulence could not be unambiguously related to the specific deletion, although the recombinant obtained by marker rescue was more virulent than the deletion mutant. In neither study was a specific gene or gene product identified at the mutated locus, and no gene was specifically linked to virulence phenotype.

#### Role of the $\gamma_{134.5}$ Gene

To test the possible role of the product of the  $\gamma_{134.5}$  gene, ICP34.5, a series of four viruses (Fig. 2) were genetically engineered by the procedures of Post and Roizman (Cell, 25: 227, 1981, incorporated herein by reference).

1) Recombinant virus R4002 (Fig. 4, lane 3) contained the insertion of a thymidine kinase (tk) gene driven by the promoter of the  $\alpha 27$  gene ( $\alpha 27$ -tk) in both copies of the ICP34.5 coding sequences. It was constructed by cotransfecting rabbit skin cells with intact DNA of HSV-1(F) $\Delta$ 305, a virus from which a portion of the tk gene was specifically deleted, with the DNA of plasmid pRB3615, which contains the  $\alpha 27$ -tk gene inserted into the  $\gamma_{134.5}$  gene contained in the BamHI S fragment. Recombinants that were tk<sup>+</sup> were then selected on human 143 thymidine kinase minus (TK<sup>-</sup>) cells. The fragment containing the  $\alpha 27$ -tk gene contains downstream from the tk gene: the 5' untranscribed promoter, the transcribed noncoding sequence, and the initiating methionine codon of the glycoprotein H gene. The BstEII site into which the  $\alpha 27$ -tk fragment was inserted is immediately upstream of the codon 29 of the  $\gamma_{134.5}$  open reading frame. As a consequence, the initiating codon of glycoprotein H was fused in frame and became the initiating codon of the truncated open reading frame of the  $\gamma_{134.5}$  gene

(Fig. 2, line 3). The recombinant selected for further study, R4002, was shown to contain the  $\alpha 27$ -tk gene insert in both copies of  $\gamma_1 34.5$  gene (Fig. 3, lanes 4) and specified only the predicted truncated product of the chimeric  $\gamma_1 34.5$  gene (Fig. 4, right panel, lane 3). The amounts of the native ICP34.5 protein detected in these and previous studies have been generally low. The chimeric genes formed by the fusion of the 5' transcribed noncoding region and the initiating codon of glycoprotein H in frame with the truncated  $\gamma_1 34.5$  gene were expressed far more efficiently than the native genes.

2) The recombinant virus R3617 (Fig. 2, line 5 from the top) lacking 1 kb of DNA in each copy of the  $\gamma_1 34.5$  gene was generated by cotransfecting rabbit skin cells with intact R4002 DNA and the DNA of plasmid pRB3616. In this plasmid, the sequences containing most of the coding domain of  $\gamma_1 34.5$  has been deleted (Fig. 2, line 5 from top). The  $tk^-$  progeny of the transfection was plated on 143TK $^-$  cells overlaid with medium containing bromodeoxy uridine (BrdU). This procedure selects  $tk^-$  viruses, and since the  $tk$  gene is present in both copies of the  $\gamma_1 34.5$  gene, the selected progeny of the transfection could be expected to contain deletions in both copies. The selected  $tk^-$  virus designated as R3617 was analyzed for the presence of the deletion in both copies of the  $\gamma_1 34.5$  gene. For assays of neurovirulence, the deletion in the native  $tk^-$  gene of R3617, which traces its origin from HSV-1(F) $\Delta 305$ , had to be repaired. This was done by cotransfection of rabbit skin cells with intact R3617 DNA and BamHI Q fragment containing the  $tk$  gene. The virus selected for  $tk^+$  phenotype in 143TK $^-$  cells was designated R3616. This virus contains a wild-type BamHI Q fragment (Fig. 3, right panel, lane 6) and does not make ICP34.5 (Fig. 4, right panel).

3) To ascertain that the phenotype of R3616 indeed reflects the deletion in the  $\gamma_1 34.5$  gene, the deleted

sequences were restored by cotransfecting rabbit skin cells with intact R3617 DNA, the HSV-1(F) BamHI Q DNA fragment containing the intact *tk* gene, and the BamHI SP DNA fragment containing the intact  $\gamma_134.5$  gene in the molar ratios of 1:1:10, respectively. Viruses that were *tk*<sup>+</sup> were then selected in 143TK<sup>-</sup> cells overlaid with medium containing hypoxanthine, aminopterin, and thymidine. The *tk*<sup>+</sup> candidates were then screened for the presence of wild-type *tk* and  $\gamma_134.5$  genes. As expected, the selected virus designated HSV-1(F)R (Fig. 2, line 6) contained a wild-type terminal long component fragment (compare Fig. 3, left panel, lanes 2, 7, and 8), and expressed ICP34.5 (Fig. 4, right panel, lane 6).

4) To eliminate the possibility that the phenotype of R3616 reflects deletion in cryptic open reading frames, a virus was constructed (R4010, Fig. 2, line 7 from the top) that contains translational stop codons in all three reading frames in the beginning of the ICP34.5 coding sequence. The 20-base oligonucleotide containing the translational stop codons and its complement sequence (Fig. 2) were made in an Applied Biosystems 380D DNA synthesizer, mixed at equal molar ratio, heated to 80°C, and allowed to cool slowly to room temperature. The annealed DNA was inserted into the HSV-1(F) BamHI S fragment at the BstEII site. The resulting plasmid pRB4009 contained a stop codon inserted in the beginning of the ICP34.5 coding sequence. The 20 nucleotide oligomer DNA insertion also contained a Spe I restriction site, which allowed rapid verification of the presence of the insert. To generate the recombinant virus R4010, rabbit skin cells were cotransfected with the intact DNA of R4002 and the pRB4009 plasmid DNA. Recombinants that were *tk*<sup>-</sup> were selected in 143TK<sup>-</sup> cells in medium containing BrdU. The *tk*<sup>+</sup> version of this virus, designated R4009, was generated by cotransfection of intact *tk*<sup>-</sup> R4010 DNA with HSV-1(F) BamHI Q DNA fragment, and selection of *tk*<sup>+</sup> progeny. The virus selected for

neurovirulence studies, R4009, contained the Spe I restriction endonuclease cleavage site in both BamHI S and SP fragments (compare Fig. 3, left panel, lanes 9 and 10) and did not express ICP34.5 (Fig. 4, right panel, lane 7).

5           5) R4004 (Fig. 2, last line) was a recombinant virus produced by insertion of a sequence encoding 16 amino acids. This sequence has been shown to be the epitope of the monoclonal antibody H943 reactive with a viral protein designated as ICP4. Hubenthal-Voss et al., J. Virol., 62:  
10 454 (1988). The virus was generated by cotransfecting intact R4002 DNA and the DNA of plasmid pRB3976 containing the insert, and the selected  $tk^-$  progeny was analyzed for the presence of the insert. For neurovirulence studies, its  $tk$  gene was restored (recombinant virus R4003) as  
15 described above. The DNA sequence was inserted in frame at the Nco I site at the initiating methionine codon of the  $\gamma_134.5$  gene. The insert regenerated the initiating methionine codon and generated a methionine codon between the epitope and the remainder of ICP34.5. Because of the  
20 additional amino acids, the protein migrated more slowly in denaturing polyacrylamide gels (Fig. 4, right panel, lane 4).

Plaque morphology and size of all of the recombinants were similar to those of the wild-type parent, HSV-1(F)  
25 when plated on Vero, 143TK $^-$ , and rabbit skin cells lines. Whereas HSV-1(F)R and R4003 replicated as well as the wild-type virus in replicate cultures of Vero cells, the yields of R3616 and R4009 were reduced to one-third to one-fourth the amount of the wild type. Although ICP34.5 was not  
30 essential for growth of HSV-1 in cells in culture, the results of the studies shown in Table 1 indicate that the deletion or termination of translation of the  $\gamma_134.5$  has a profound effect on the virulence of the virus. Thus, all of the mice inoculated with the highest concentration [ $1.2$   
35  $\times 10^6$



plaque-forming units (PFU)] of R3616 survived. In the case of R4009, only three of ten mice died as a result of inoculation with the highest concentration of virus ( $\sim 10^7$  PFU). In comparison with other deletion mutants, R3616 and R4009 rank among the least pathogenic viruses reported to date. The virus in which the  $\gamma_134.5$  gene was restored exhibited the virulence of the parent virus.

TABLE 1

10	Virus in the inoculum	Genotype	PFU/LD <sub>50</sub>
	HSV-1(F)	Wild-type parent virus	420
	R3616	1000-bp deletion in the $\gamma_134.5$	>1,200,000
15	HSV-1(F)R	Restoration of $\gamma_134.5$ and tk	130
	R4009	Stop codon in $\gamma_134.5$	>10,000,000
	R4003	Monoclonal antibody epitope inserted at the NH <sub>2</sub> terminal	4,200

20 Comparative ability of wild-type and recombinant viruses to cause death after intracerebral inoculation of mice. The neurovirulence studies were done on female BALB/C mice obtained at 21 days of age (weight  $\pm 1.8$  g) from Charles River Breeding Laboratories in Raleigh, North Carolina. 25 The viruses were diluted in minimum essential medium containing Earle's salts and 10% fetal bovine serum, penicillin, and gentamicin. The mice were inoculated intracerebrally in the right cerebral hemisphere with a 26-gauge needle. The volume delivered was 0.03 ml, and each dilution of virus was tested in groups of ten mice. The animals were checked daily for mortality for 21 days. The LD<sub>50</sub> was calculated with the aid of the 35 "Dose effect Analysis" computer program from Elsevier Biosoft, Cambridge, United Kingdom.

The wild-type virus and all of the recombinants have identical surface glycoproteins necessary for attachment and penetration into brain cells. Injection of  $10^6$  PFU into the brain should result in infection and death of a significant number of the brain cells. Death after intracerebral inoculation results from viral replication, spread from cell to cell, and cell destruction before the immune system has a chance to act. Titrations of brain tissue suspended in minimal essential medium containing Eagle's salts and 10% fetal bovine serum showed that the brains of animals inoculated with the viruses that failed to make ICP34.5 contained very little virus. Thus, for the R3616 and R4009 viruses, the recovery was 120 and 100 PFU per gram of brain tissue, respectively. Given the amount of virus in the inoculum (highest concentration tested), it is not clear whether the small amounts of recovered virus represent a surviving fraction of the inoculum or newly replicated virus. In contrast, the amounts of virus recovered from mice inoculated with HSV-1(F)R and R4003 were  $6 \times 10^6$ , respectively. These results indicate that the failure of the two recombinant viruses to cause death must be related to poor spread of virus in neuronal tissue as a consequence of the inability of mutant viruses to replicate in the CNS, reflecting a reduction in their host range.

In the course of studies designed to determine the function of the  $\gamma_134.5$  gene product, it was discovered that infection of cells of neuronal origin with mutants incapable of expressing the  $\gamma_134.5$  gene resulted in shutoff of cellular protein synthesis, whereas infection of cells of non neuronal origin with wild type or mutant viruses resulted in sustained protein synthesis and production of infectious progeny.

EXAMPLE 1 - IMPACT OF  $\gamma_134.5$   
EXPRESSION ON PROGRAMMED CELL DEATH

Materials and Methods

**Cells** Vero cells originally obtained from ATCC were propagated in DME media containing 5% calf serum. The human SK-N-SH neuroblastoma (NB) cell line was obtained from ACTT (HTB11) and propagated in Dulbecco's modified Eagle's medium containing 10% fetal bovine serum.

**Viruses** The isolation of herpes simplex virus 1 strain F, [HSV-1(F)] has been described by Ejercito et al. (J. Gen. Virol., 2: 357-364 (1968) (incorporated herein by reference). The construction of recombinant viruses R3616, R4009, and HSV-1(F) was reported by Chou et al. (Science, 250: 1262-1266, November 30, 1990) (incorporated herein by reference). As illustrated in Fig. 5, R3616 contains a 1 Kbp deletion in both copies of the  $\gamma_134.5$  gene. In the recombinant R4009 a stop codon was inserted in both copies of the  $\gamma_134.5$  gene. The  $\gamma_134.5$  genes in the recombinant R3616 were restored to yield the recombinant HSV-1(F)R.

**Virus Infection** Cells were generally exposed to the viruses for 2 h at 37°C at multiplicity of infection of 5 and then removed and replaced with the 199v media containing 1% calf serum. The infection continued at 37°C for a length of time as indicated for each experiment.

Cells were then either labeled for de novo protein synthesis or analysis of viral DNA and RNA.

<sup>35</sup>S-methionine labeling At the indicated time post infection, 50uCi of <sup>35</sup>S-methionine (specific activity >1,000 Cimmole, Amersham Co., Downers Grove, IL) was added to 1ml of 199v media lacking methionine to cells in 6 well dishes. Labeling was continued for 1.5 hr, at which time cells were harvested. Preparation of cell extracts; separation of proteins by electrophoresis in denaturing polyacrylamide gels crosslinked with N,N' Diallyltartardiamide (Bio-Rad Laboratories, Richmond, CA); transfer of polypeptides to nitrocellulose sheets; autoradiography and immunoblot with antibodies have been described by Ackermann et al., J. Virol., 52: 108-118 (1984) (incorporated herein by reference).

### Results

HSV-1 recombinant viruses lacking the  $\gamma_{134.5}$  gene induce the shut off protein synthesis in neuroblastoma cells. In the course of screening human cell lines derived from CNS tissues it was apparent that the SK-N SH neuroblastoma cell lines produced 100 fold less mutant viruses than the fully permissive Vero cells. In was also noted, as shown in Fig. 6, that the SK-N-SH neuroblastoma cells infected with R3616 or with R4009 exhibited reduced protein synthesis in cells harvested at 7 hours (left panel) and ceased to incorporate <sup>35</sup>S-methionine by 13 hours (right panel) post infection. The phenomenon was observed in SK-N-SH neuroblastoma cells only, and could be attributed specifically to the mutations in the  $\gamma_{134.5}$  gene inasmuch as restoration of the deleted sequences yielded a virus [HSV-1(F)R] which expressed viral proteins at 13 hour post infection (Fig. 6, right panel) and exhibited the parental, wild type phenotype.

The shut off of protein synthesis occurred after the expression of  $\alpha$  genes. Viral genes form three major groups whose expression is coordinately regulated and sequentially ordered in a cascade fashion. See Roizman and Sears, Fields' Virology, 2 ed., Fields et al., Eds, 1795-1841 (1990). The  $\alpha$  genes do not require de novo protein synthesis for their expression, the  $\beta$  genes which are required for the synthesis of viral DNA require prior synthesis of functional  $\alpha$  and  $\beta$  proteins and the onset of viral DNA synthesis. To determine the point at which expression of viral gene functions was terminated in SK-N-SH neuroblastoma cells infected with mutant viruses, infected cell lysates electrophoretically separated in denaturing polyacrylamide gels were transferred to a nitrocellulose sheet and probed with antibody to an  $\alpha$  ( $\alpha 27$ ), a  $\beta$  (viral thymidine kinase) and two abundant  $\gamma$  proteins. Roller and Roizman (J. Virol., 65: 5873-5879 (1991) have shown that the latter were the products of U<sub>L</sub>26.5 and of U<sub>L</sub>11 genes whose expression at optimal levels requires viral DNA synthesis. As shown in Fig. 7, the SK-N-SH neuroblastoma cells infected with the mutant viruses made normal amounts of  $\alpha 27$  protein (left panel), reduced amounts of the thymidine kinase ( $\beta$ ) protein (middle panel), but no detectable  $\gamma$  proteins (left and right panels). In contrast, both the wild type and mutant viruses could not be differentiated with respect to their capacity to replicate or to direct the synthesis of their proteins in Vero cells (Fig. 7).

The signal for shut off of protein synthesis is linked to viral DNA synthesis. These experiments were designed to determine whether the shutoff of protein synthesis was linked to a gene whose expression was dependent on viral DNA synthesis. The results of a key experiment are shown in Fig. 8. Replicate SK-N-SH and Vero cell cultures were infected with HSV-1(F) and recombinant viruses and maintained in the presence or absence of inhibitory

concentrations of phosphonoacetate, a drug which blocks viral DNA synthesis. The cells were pulse labeled with  $S^{35}$ -methionine at 13 h post infection. The salient feature of the results were that protein synthesis in SK-N-SH cells infected with either R3616 or R4009 was sustained for at least 13 h in the presence of Phosphonoacetate but not in its absence. These results indicted that the signal for cessation of protein synthesis in SK-N-SH neuroblastoma cells infected with mutant viruses was associated with viral DNA synthesis or with a  $\gamma$  gene dependent on viral DNA synthesis for its expression.

Human neuroblastoma cells infected with the  $\gamma_{134.5^-}$  mutants synthesized viral DNA and accumulated late mRNA even though the shut off of protein synthesis precluded accumulation of late proteins. The evidence presented above indicated that in SK-N-SH neuroblastoma cells an event associated with viral DNA synthesis triggered the shut off of protein synthesis and that the late ( $\gamma$ ) viral proteins did not accumulate. We expected, therefore, little or no accumulation of viral DNA and in the absence of viral DNA synthesis, little or no accumulation of late ( $\gamma$ ) viral transcripts whose synthesis is dependent on viral DNA synthesis. To our surprise, the amounts of viral DNA recovered from SK-N-SH neuroblastoma cells 17 hours post infection with mutant viruses were comparable to those obtained from wild type parent or repaired [(HSV-1)F)R] viruses (Fig. 9, left panel). Furthermore, while the SK-N-SH neuroblastoma cells did not synthesize demonstrable amounts of U<sub>11</sub> protein, the amounts of U<sub>11</sub> gene transcripts which accumulated in cells infected with mutant and wild type viruses were of similar magnitude (Fig. 9 right panel).

The significance of these results stems from three observations. First, in infected cells, protein synthesis reflects a regulatory cascade;  $\alpha$  protein synthesis is

replaced by  $\beta$  and later by  $\gamma$  protein synthesis. In all cell lines other than the SK-N-SH neuroblastoma cells infected with the  $\gamma_{134.5}$  mutants and tested to date, a block in the synthesis of one group of proteins does not lead to a cessation of total protein synthesis. For example, in cells treated with inhibitors of DNA synthesis like PAA, a subclass of  $\gamma$  proteins dependent for their synthesis on viral DNA synthesis is not made. However, in these cells,  $\beta$  protein synthesis continues beyond the time of their synthesis in untreated infected cells. The striking observations made in the studies on SK-N-SH cells infected with the  $\gamma_{134.5}$  null mutants are that (i) all protein synthesis ceased completely, (ii) viral DNA was made and (iii) late,  $\gamma$  mRNA exemplified by U<sub>11</sub> mRNA was made even though protein synthesis ceased. These manifestations for viral replication have not been reported previously and are not characteristic of cells infected with wild type virus or any mutant virus infection of cells (e.g. Vero, HEP-2, baby hamster kidney, 143tk- and rabbit skin cell lines and human embryonic lung cells strain) other than those described in this report.

Second, the function of the  $\gamma_{134.5}$  gene is to overcome this block in protein synthesis in SK-N-SH cells since repair of the mutation restores the wild type phenotype.

Lastly, while the association of cessation of protein synthesis with the onset of viral DNA replication does not exclude the possibility that a product made after infection is responsible of the shut off, the data does support the hypothesis that the cessation of protein synthesis is specifically caused by a known viral gene product interacting with the protein synthesizing machinery of the cell. For example, it has been well established that the product of the HSV-1 gene designated a vhs can shut off cellular protein synthesis after infection. vhs is a structural protein of the virus and is introduced into

cells during infection. It destabilizes mRNA early in infection and its effects are not dependent on viral gene products made after infection. In the experiments set forth above, protein synthesis of wild type and mutant viruses could not be differentiated at 13 hours post infection in cells treated with Phosphonoacetate and hence the phenotype of mutant viruses cannot be attributed to the vhs gene product. This conclusion is reinforced by the observation that viral protein synthesis in SK-N-SH cells was not affected by increasing the multiplicity of infection with wild type virus to values as high as 100 pfu/cell (data not shown). A more likely source for the genetic information for the cessation of protein synthesis is the cell itself.

It has been reported that deprivation of growth factors from cells of neuronal origins results in programmed cell death, which manifests itself initially by the cessation of protein synthesis and subsequently by fragmentation of DNA. Apoptosis in lymphocytes is manifested by degradation of DNA. In the case of other herpes viruses, it has been shown that in B lymphocytes infected with the Epstein-Barr virus, the product of the viral LMP-1 gene induces the host gene Bcl-2 which precludes programmed lymphocyte death (Henderson et al, Cell 65: 1107-1991. Thus, it is apparent that the onset of viral DNA synthesis in neuronal cells triggers programmed cell death by cessation of protein synthesis and that HSV-1  $\gamma_{134.5}$  gene precludes this response.

The evolution of a HSV-1 gene which would preclude a response to a neuronal stress is not surprising. Infection of neurons, especially sensory neurons, is an essential feature of viral reproductive lifestyle which enables the HSV-1 to remain latent and to survive in human populations. If, as we propose, the function of  $\gamma_{134.5}$  gene is to preclude cell death, the target of the gene would be



neurons rather than lymphocytes since HSV normally infects nerve cells.

The  $\gamma_134.5$  gene has several unusual features. The gene lacks a conventional TATAA box or response elements frequently associated with TATAA-less transcriptional units. The sequence which enables the expression of the gene is 12 bp long but repeated as many as 3 times in the wild type strain used in this laboratory. Various assays reported elsewhere indicate that the amounts of gene products produced in cells of non neuronal derivation are smaller than those expressed by most viral genes and that the amounts of the protein made in the absence of viral DNA synthesis were smaller than those made in its presence. The gene is predicted to encode a protein of 263 amino acids. It contains the triplet Ala-Thr-Pro repeated 10 time and accumulates in the cytoplasm. A recent note indicates that 63 amino acid residues near the carboxyl terminus of the  $\gamma_134.5$  protein shares 83% identity with a mouse protein MyD116 found in a myeloid leukemic cell line induced to differentiate by interleukin 6 (Lord et al., Nucleic Acid Res. 18: 2823, 1990). The function of MyD116 is unknown. The results presented above demonstrate that the product of the  $\gamma_134.5$  gene, the protein ICP34.5, quite clearly enables sustained protein synthesis in SK-N-SH neuroblastoma cells, and it is clear that the gene's expression is sufficient to preclude apoptosis.

The promoter-regulatory elements essential for the expression of  $\gamma_134.5$  are contained within three elements of the a sequence, i.e. the direct repeats DR2 and DR4 and the unique U<sub>b</sub> sequences. Gel retardation assays failed to show binding of the product of the  $\alpha 4$  gene encoding the major regulatory protein of the virus to any of the elements regulating expression of the  $\gamma_134.5$  gene. In transient expression assays, the product of the  $\alpha 4$  or of  $\alpha 0$  genes failed to transactivate a chimeric reporter gene consisting

of the coding sequences of the thymidine kinase gene fused to the 5' non-coding sequences of the  $\gamma_134.5$  gene. The reporter gene was induced, but to a relatively low level by co-transfection with plasmids containing both  $\alpha 4$  and  $\alpha 0$  genes. The plasmid encoding the  $\alpha 27$  gene had no effect on the expression of the chimeric reporter gene transfected alone although it reduced the induction of the chimeric gene by plasmids containing the  $\alpha 0$  and  $\alpha 4$  genes.

EXAMPLE 2 - TREATMENT OF PROGRAMMED CELL  
DEATH (APOPTOSIS) WITH GENE THERAPY

In this example,  $\gamma_134.5$  gene therapy directed toward the prevention or treatment of apoptosis is described. For the purposes of this example mutated HSV-1 virus is proposed as a vector for introduction of the gene into neuronal cells undergoing or about to undergo programmed cell death. It is also envisioned that this embodiment of the present invention could be practiced using alternative viral or phage vectors, including retroviral vectors and vaccinia viruses whose genome has been manipulated in alternative ways so as to render the virus non-pathogenic. The methods for creating such a viral mutation are set forth in detail in U.S. Patent No. 4,769,331, incorporated herein by reference. Furthermore, it is also envisioned that this embodiment of the present invention could be practiced using any gene whose expression is beneficial in gene therapy, and use of the non-HSV viruses would allow gene therapy in non-neural systems.

Herpes simplex virus has a natural tropism for human CNS tissue. Under wild type conditions, the virus is capable of replicating and multiplying in the nervous system and is neurovirulent. The virus can also establish latent infection in the neurons and can be occasionally reactivated. To establish a vector system for delivery of genes into neurons, the proposed construct of an HSV vector must satisfy the following criteria: 1. Such a vector

should have a natural tropism for CNS and brain tissue. 2. Such a vector should be non-pathogenic; that is, totally avirulent and not reactivatable to cause an infection. 3. Such a vector should consist of constitutive expression of  $\gamma_134.5$  to prevent cell death in cells undergoing neurodegeneration. 4. Such a vector so proposed in 3 is suitable for additional foreign gene insertion for gene therapy.

#### Material and Methods

10 A HSV vector with a mutational lesion in the  $\alpha 4$  gene is constructed. The proposed virus will no longer be able to replicate, multiply and reactivate from latent infection in the CNS. The virus can, in the absence of  $\alpha 4$  gene, establish a latent infection in the neuron. This virus can be obtained by co-transfection of viral DNA with plasmid containing a  $\alpha 4$  expressing cell line.  $\alpha 4$  expressing cell lines and the virus have been reported previously. DeLuca et al., J. Virol., 56: 558-570 (1985).

20 Additionally, such an HSV vector with  $\gamma_134.5$  gene under a constitutive expression promoter is also envisioned. This constitutive expression promoter can be the HSV LAT promoter, the LTR promoter of retrovirus or any other foreign promoter specific for neural gene expression. Such a viral vector properly introduced is suitable for prevention of cell death in neuronal cells undergoing apoptosis.

30 Moreover, such an HSV vector with foreign genes inserted at a neutral location on the viral genome is suitable for delivery of foreign genes into target neurons and for CNS gene therapy. The procedures to generate the above recombinant viruses are those published by Post and Roizman (Cell, 25: 227, 1991) incorporated herein by reference. See also U.S. Patent No. 4,769,331, incorporated herein by reference.

In instances where use of the mutated HSV-1 virus is appropriate the virus can be combined with a pharmaceutically acceptable carrier such as buffered saline and injected at the site of peripheral nerve endings whose axons originate from neural cell bodies undergoing or about to undergo apoptosis. As will be recognized by those skilled in the medical arts the amount of virus administered will vary depending upon several factors, including the vector's ability to target the cells requiring treatment, the extent to which the gene is expressed in the target tissue, and the activity of the expressed protein, among others. An inoculum containing approximately  $10^4$  -  $10^5$  viruses in phosphate buffered saline or skim milk has produced successful results in mice. Virus so injected is taken up into the peripheral nerve endings and is then transported via retrograde axonal transport to the neuronal cell bodies. In instances where such peripheral injection is not useful or appropriate, localized intraspinal or intraventricular injection, or direct microinjection of the virus could be utilized.

An appropriately altered non-HSV virus, one with a genome manipulated in such a way as to render the virus non-pathogenic, could be used in a similar manner. Direct microinjection or peripheral injection for delivery to the cell body via retrograde axonal transport are options for viral delivery. Finally, it should also be noted that a biological functional equivalent gene could be utilized for gene therapy in any vector described in this example.

### EXAMPLE 3 - USE OF MULTI-POTENT NEURAL CELL LINES TO DELIVER THE $\gamma_{134.5}$ GENE TO THE CNS

In addition to the viral vector delivery system to CNS and brain tissue, another vector system has been developed recently using cell lines passaged in vitro and engrafting these cells back to the animal. These procedures involve

taking cells of fetal or postnatal CNS origin, immortalizing and transforming them in vitro and transplanting the cells back into the mouse brain. These cells, after engraftment, follow the migration pattern and environmental cue of normal brain cell development and differentiate in a nontumorigenic, cytoarchitecturally appropriate manner. This work has been exemplified in several articles notably Snyder et al., Cell, 68: 33-51, 1992 and Ranfranz et al., Cell, 66: 713-729, 1991.

Utilizing appropriately modified techniques, it is possible to introduce the  $\gamma_{134.5}$  gene alone or in combination with other genes of interest into the cells and engraft. Such a procedure allows the delivery of the genes to its natural site. Proper expression of the  $\gamma_{134.5}$  gene in these neurons should result in prevention of cell death in neurodegeneration and preserving cells carrying foreign genes suitable for gene therapy.

### Materials and Methods

**Propagation of Cerebellar Cell Lines** Cerebellar cell lines are generated as described by Ryder et al. (J. Neurobiol. 21: 356-375, 1990). Lines are grown in Dulbecco's modified Eagle's medium supplemented with 10% fetal calf serum (Gibco), 5% horse serum (Gibco), and 2mM glutamine on poly-L-lysine (PLL) (Sigma) (10  $\mu$ g/ml)-coated tissue culture dishes (Corning). The lines are maintained in a standard humidified, 37°C, 5% CO<sub>2</sub>-air incubator and are either fed weekly with one-half conditioned medium from confluent cultures and one-half fresh medium or split (1:10 or 1:20) weekly or semiweekly into fresh medium.

### **Transduction of Cerebellar Progenitor Lines with $\gamma_{134.5}$ Gene**

A recent 1:10 split of the cell line of interest is plated onto 60 mm tissue culture plates. Between 24 and 48 hr after plating, the cells are incubated with the

replication-incompetent retroviral vector BAG containing the -myc gene ( $10^6$  -  $10^7$  colony-forming units [cfu]/ml) plus 8  $\mu$ g/ml polybrene for 1-4 hr for introduction of the  $\gamma_{134.5}$  gene alone or in combination with other suitable genes for gene therapy, along with the neomycin G418 marker. Cells are then cultured in fresh feeding medium for approximately 3 days until they appear to have undergone at least two doublings. The cultures are then trypsinized and seeded at low density (50-5000 cells on a 100 mm tissue culture dish). After approximately 2 weeks well-separated colonies are isolated by brief exposure to trypsin within plastic cloning cylinders. Colonies are plated in 24-well PLL-coated CoStar plates. At confluence, these cultures are passaged to 60 mm tissue culture dishes and expended. A representative dish from each subclone is stained directly in the culture dish using X-gel histochemistry (see Price et al., 1967; Cepko, 1989a, 1989b). The percentage of blue cells is determined under the microscope. Subclones with the highest percentage of blue cells (ideally >90%; at least >50%) are maintained, characterized, and used for transplantation.

**Tests for Virus Transmission** The presence of helper virus is assayed by measurement of reverse transcriptase activity in supernatants of cells lines as described by Goff et al. (1981) and by testing the ability of supernatants to infect NIH 3T3 cells and generate G418-resistant colonies of X-gal<sup>+</sup> colonies (detailed in Cepko, 1989a, 1989b). All cerebellar cell lines used for transplantation are helper virus-free as judged by these methods.

**Coculture of Neural Cell Lines with Primary Cerebellar Tissue** Primary dissociated cultures of neonatal mouse cerebellum are prepared as in Ryder et al. (1990) and seeded at a density of  $2 \times 10^6$  to  $4 \times 10^6$  cells per PLL-coated eight-chamber Lab Tek glass or plastic slide

(Miles). After the cells settled (usually 24 hr), 10% of a nearly confluent 10 cm dish of the neural cell line of interest is seeded, following trypsinization, onto the slide. The coculture is re-fed every other day and grown in a 50% CO<sub>2</sub>-air, humidified incubator until 8 or 14 days of coculture.

**Preparation of Cells Lines for Transplantation** Cells from a nearly confluent but still actively growing dish of donor cells are washed twice with phosphate-buffered saline (PBS), trypsinized, gently triturated with a wide-bore pipette in serum-containing medium (to inactivate the trypsin), gently pelleted (1100 rp for 1 min in a clinical centrifuge), and resuspended in 5 ml of PBS. Washing by pelleting and resuspension of fresh PBS is repeated twice, with the cells finally resuspended in a reduced volume of PBS to yield a high cellular concentration (at least  $1 \times 10^6$  cells per  $\mu$ l). Trypan blue (0.05% w/v) is added to localize the inoculum. The suspension is kept well triturated, albeit gently, and maintained on ice prior to transplantation to minimize clumping.

**Injectations into Postnatal Cerebellum** Newborn CD-1 or CF-1 mice are cryoanesthetized, and the cerebellum is localized by transillumination of the head. Cells are administered either via a Hamilton 10  $\mu$ l syringe with a beveled 33-gauge needle or a drawn glass micropipette with a 0.75 mm inner diameter and 1.0 mm outer diameter generated from borosilicate capillary tubing (FHC, Brunswick, ME) by a Flaming Brown Micropipette Puller (Model p-87, Sutter Instruments) using the following parameters: heat 750, pull 0, velocity 60, time 0. Best results are achieved with the glass micropipette. The tip is inserted through the skin and skull into each hemisphere and vermis of the cerebellum where the cellular suspension was injected (usually 1-2  $\mu$ l per injection). Typically, the following situation should exist:  $1 \times 10^7$  cells per ml

of suspension;  $\times 10^6$  to  $2 \times 10^6$  cells per injection; one injection in each cerebellar hemisphere and in the vermis. Importantly, the cellular suspension, maintained on ice throughout, is gently triturated prior to each injection in order to diminish clumping and to keep cells suspended.

The injection of BAG virus was performed as described for the cell suspension. The BAG virus stock ( $8 \times 10^7$  G418-resistant cfu/ml) contained, in addition to trypan blue, polybrene at 8  $\mu$ g/ml.

EXAMPLE 4 - TREATMENT OF PROGRAMMED CELL DEATH  
(APOPTOSIS) WITH ICP34.5

As an alternative to the gene therapy methods described for exemplary purposes in Examples 2 and 3, neuronal cells undergoing or about to undergo programmed cell death can also be treated with the protein expressed by the  $\gamma_{134.5}$  gene, i.e. ICP34.5. Alternatively, a biological functional equivalent protein could be used in such treatment.

For example, ICP34.5 is isolated from cells expressing the protein and purified using conventional chromatography purification and immunoaffinity purification methods described by Ackerman et al. (J. Virol. 58: 843-850, 1986, incorporated herein by reference). The purified protein is next combined with a pharmaceutically appropriate carrier, such as buffered saline or purified distilled water. For administration, the pharmaceutical composition can be injected in one of several ways, as appropriate: (i) intraspinal injection; (ii) intraventricular injection; (iii) direct injection into the area containing the neurons undergoing or about to undergo programmed cell death or any other appropriate method of administration understood by those skilled in the art. Such treatment would be particularly appropriate in the surgical repair of severed peripheral nerves, and the use of proteins as therapeutic



agents is well within the current level of skill in the medical arts in light of the present specification.

EXAMPLE 5 - ASSAYS FOR CANDIDATE SUBSTANCES FOR  
PREVENTION OF PROGRAMMED CELL DEATH (APOPTOSIS)

5       The  $\gamma_134.5$  gene of herpes simplex virus enables the virus to replicate, multiply and spread in the central nervous system and the brain so that the virus is neurovirulent to the host. Recombinant virus lacking the gene lost this ability to penetrate the CNS of the host and  
10       become totally avirulent. In examining the nature of this avirulent phenotype in culture, the mutant virus lacking the gene exhibited a total translation shutoff phenotype characteristic of programmed cell death. This mechanism of programmed cell death afforded by the host cell greatly  
15       reduced the ability of the virus to multiply and spread. The function of  $\gamma_134.5$  in the virus therefore is to inactivate the programmed death of the cell (anti-apoptosis) thereby restoring translation and enabling the virus to replicate to full potential in the host.

20       This anti-apoptotic effect of  $\gamma_134.5$  was further examined and its ability to protect neural cells from other environmental stresses which lead to apoptosis was discovered. These environmental stresses include UV, nerve growth factor deprivation and neuronal cell differentiation.  
25       This Example describes the use of the  $\gamma_134.5$  gene and its protective function to screen for pharmaceutical agents and drugs that mimic the *in vivo* function of  $\gamma_134.5$  to prevent neurodegeneration. Such a screening procedure constitutes construction of cell lines expressing  $\gamma_134.5$  and a null cell  
30       line without the gene and measurement of the viability of the cells after stress treatment by induction of a reporter gene. This can be a host gene promoter tagged by a fluorescence indicator or any other easily assayable marker to signal viability.

Materials and Methods

A test neuroblastoma cell line is established constitutively expressing  $\gamma_{134.5}$  and containing a fluorescence tagged (e.g., the a sequence promoter fused to lacZ) cellular gene, or any tag that provides the easily assayable marker to signal viability. A neuroblastoma null cell line consisting of a-lacZ indicator gene and the same host indicator gene is also established, along with a Vero cell line consisting of a-lacZ indicator gene and the same host indicator gene. Environmental stresses are then applied that normally would (1) trigger the a sequence promoter activation; (2) trigger the protection afforded by  $\gamma_{134.5}$  as signaled by viability after stress treatment; and (3) trigger cell programmed death in the absence of  $\gamma_{134.5}$ . Candidate substances of pharmaceutically appropriate drugs and agents can be tested in such an assay. The proposed scheme of the assay for scoring of positive candidates is shown in outline form in Table 2.

TABLE 2

EXPERIMENTAL FLOW CHART: ASSAY FOR CANDIDATE  
SUBSTANCE ABLE TO PREVENT PROGRAMMED CELL DEATH

	CELL LINES	ACTION	EXPECTATION
25	A. neuroblastoma cells constitutively express $\gamma_{134.5}$ and a second inducible promoter-indicator gene	stress followed by induction of second promoter	viability as measured by induction of a reporter gene hours after stress
30	B. neuroblastoma cells expressing <u>a</u> -lacZ and a second inducible promoter	stress followed by induction of second promoter	1. apoptosis related stress: <u>a</u> -lacZ induced; second promoter <u>not</u> induced
35			2. toxicity: no induction of <u>a</u> -lacZ and the second inducible promoter

- 5 C. vero cells stress followed 1.  $\alpha$ -lacZ not  
expressing  $\alpha$ -lacZ by induction induced  
and a second inducible  
promoter gene
2. toxicity  
factor exclu-  
ded, determined  
from expression  
of inducible  
second promoter

10 EXAMPLE 6 - ASSAY FOR CANDIDATE SUBSTANCES FOR  
ACTIVATION OF PROGRAMMED CELL DEATH  
(APOPTOSIS) FOR TREATMENT OF CANCER OR  
15 TUMOROGENIC DISEASES AND FOR SUPPRESSION OF HSV INFECTION

20 In order to induce cell death in tumor cells, it is  
desirable to block the expression of the anti-apoptosis  
gene or the activity of the protein expressed by the gene.  
As such, it is desirable to develop procedures that will  
allow screening for candidate substances which trigger cell  
death in tumor cells. In addition, since expression of the  
25  $\gamma_134.5$  gene of HSV-1 has been shown to prevent apoptosis in  
neuronal cells so that the virus can replicate, multiply  
and spread in the CNS (that is, so that the virus can  
become neurovirulent), a substance capable of blocking  
 $\gamma_134.5$  expression or inhibiting the action of ICP34.5 can be  
30 expected to suppress HSV neurovirulence (or the virulence of  
other viruses relying on a similar mechanism) by allowing  
apoptosis to occur in infected neurons.

It has been found that the protection afforded by  
 $\gamma_134.5$  can be extended to protect other cells from  
35 environmental stresses, and indeed the gene has a  
generalized anti-apoptotic effect. The promoter for the  
gene  $\gamma_134.5$  lies in the  $\alpha$  sequence of HSV and, at time of  
stress, the promoter is activated. It can be assumed that  
the  $\alpha$  sequence promoter contains apoptosis responsive  
elements and cellular factors (transcription factors in  
particular) that mediate the expression of anti-apoptosis  
gene are apoptotic in nature. These cellular factors are  
therefore the targets of the present assay to screen for  
drugs or agents that would inactivate their ability to

induce the anti-apoptosis gene. The assay involves the use of the a sequence promoter and its inducibility by conditions which induce apoptosis as an indicator assay which screens for therapeutic agents and drugs capable of blocking the expression of the anti-apoptosis gene and therefore allow the cell to die of programmed cell death.

A test plasmid construct bearing the a sequence and coding sequence up to the 28th amino acid of  $\gamma_{134.5}$  is fused to the lacZ reporter gene or any other readily assayable reporter gene. The construct is introduced into a neuroblastoma or PC12 cell line by G418 selection and a clonal and continuous cell line for screening purposes is established. A control plasmid construct bearing an HSV late promoter, a promoter which would normally not be expressed in cell lines and which further would not be induced to express by apoptosis-inducing stress is fused to the same indicator gene. This construct is also introduced into a continuous clonal cell line and serves as a control for the test cell line. Environmental stresses that trigger the a sequence promoter activation and that cause programmed cell death are then defined. These conditions include UV injury, virus infection, nerve growth factor deprivation, and the influence of antibodies on cell surface receptors, among others. Candidate substances or pharmaceutically appropriate drugs and agents are then tested in assays for their ability to block the a sequence promoter activation at time of stress.

The assay of the present invention allows the screening and identification of pharmaceutically appropriate drugs and agents targeted at various cellular factors that induce the expression of anti-apoptosis gene. By inactivating essential cellular factors, these agents should be able to allow cell programmed death to occur. Such positive candidates would then be appropriately administered (via intravenous, intrathecal, or direct injection, or via oral administration) in order to induce programmed cell death in tumor cells, in neurons infected

with the herpes virus, or in cells infected with a virus the virulence of which is dependent upon an anti-apoptotic effect. The use of proteins and other chemotherapeutic substances in antitumor therapy is well known in the art, and therefore, it is considered that the use and dosages of candidate substances for treatment of tumorigenic diseases (e.g., cancer) or herpes infection is well within the skill of the present state of the medical arts in light of the present specification. See U.S. Pat. Nos. 4,457,916; 4,529,594; 4,447,355; and 4,477,245, all incorporated herein by reference. These positive candidates can also be used to identify intermediates in the pathways leading to cell programmed death.

#### Materials and Methods

W5 cell lines are established in 96 well culture dishes coated with collagen. Control cell lines containing the promoter fusion element are also established in such 96 well dishes. The test candidate substances are added to the medium in individual wells containing both the test and control cell lines set up in 96 well dishes. The cells are then briefly exposed to UV or other stresses. 8 hr post stress induction, cells are washed with PBS-A twice, and fixed with 0.5 ml containing 2% (v/v) formaldehyde and 0.2% glutaraldehyde in PBS for 5 min at room temperature. The cells are rinsed again with PBS and then stained with 2ml 5mM potassium ferrocyanide, 5mM potassium ferrocyanide, 2mM  $MgCl_2$  and 1mg/ml X-gal (diluted from a 40mg/ml stock solution in dimethyl sulfoxide) in PBS. Cells expressing  $\beta$ -Galactosidase were stained blue after incubation at 37°C for 2-3 days.

#### Results

The construct described above with the lacZ reporter gene was introduced into PC12 cell line. A new cell line W5 was clonally established by G418 selection. The W5 cell line was then tested for activation of the  $\alpha$  sequence promoter under suboptimal conditions names in 3 above. The results are: (a) The above cells, when exposed briefly to

UV for 2 minutes, turn blue upon staining the fixed cells with X-gal at 6-10 hr post UV exposure. (b) The above cells, when exposed to HSV-1(F) virus at multiplicity of infection of 5, also turn blue upon staining at 8 hr post infection. (c) The above cells turn light blue when nerve growth factor (rat, 7S) is introduced into the medium to allow differentiation processes. (d) The cells turn darker blue when Nerve Growth Factor is removed from the medium after differentiation is complete and the cells have become dependent on nerve growth factor for survival. (e) Little or no difference in color development is seen in cells starved for serum (0% fetal bovine serum) and those fully supplied in 10% fetal bovine serum. (f) The above experiments are repeated with control promoter fusion elements to control for the true inhibition of anti-apoptosis gene expression rather than toxicity-induced cell death. By this procedure, the positive candidates that can induce cell death in cells will therefore render the following phenotypes: (i) Introduction of stress to the test cell line in the absence of this substance will give rise to blue colored cells. (ii) Introduction of stress to the test cell line in the presence of same substance will give rise to white cells. (iii) Introduction of stress to control cell lines with our without this putative substance will have no effect on the color of cells.

The present invention has been disclosed in terms of specific embodiments which are believed by the inventors to be the best modes for carrying out the invention. However, in light of the disclosure hereby provided, those of skill in the various arts will recognize that modifications can be made without departing from the intended scope of the invention. The exemplary embodiments set forth herein and all other modifications and embodiments are intended to be within the scope and spirit of the present invention and the appended claims.

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## SEQUENCE LISTING

## (1) GENERAL INFORMATION:

- (i) APPLICANT: Roizman, Bernard  
Chou, Joany
- (ii) TITLE OF INVENTION: Methods and Compositions For Gene  
Therapy, Tumor Therapy, Viral Infection Therapy and  
Prevention of Programmed Cell Death (Apoptosis)
- (iii) NUMBER OF SEQUENCES: 35
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  - (F) ZIP: 60610
- (v) COMPUTER READABLE FORM:
  - (A) MEDIUM TYPE: Floppy disk
  - (B) COMPUTER: IBM PC compatible
  - (C) OPERATING SYSTEM: PC-DOS/MS-DOS
  - (D) SOFTWARE: PatentIn Release #1.0, Version #1.25
- (vi) CURRENT APPLICATION DATA:
  - (A) APPLICATION NUMBER: US 07/861,233
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  - (C) CLASSIFICATION:
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## (2) INFORMATION FOR SEQ ID NO:1:

- (i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 17 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

TTTAAAGTCGCGGCGGC

17

## (2) INFORMATION FOR SEQ ID NO:2:

- (i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 133 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:

GCAGCCCCGGC CCCCCGCGGC CGAGACGAGC GAGTTAGACA GGCAAGCACT ACTCGCCTCT 60  
GCACGCACAT GCTTGCCTGT CAAACTCTAC CACCCCGGCA CGCTCTCTGT CTCCATGGCC 120  
CGCCGCGCGCC GCC 133

## (2) INFORMATION FOR SEQ ID NO:3:

- (i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 291 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:3:

ATCGCGGCCC CCGCCGCCCC CGGCCGCCCC GGGCCACGGG CGCCGTCCCA ACCGCACAGT	60
CCCAGGTAAC CTCCACGCCC AACTCGGAAC CCGCGGTCAG GAGCGCGCCC GCGGCCGCCC	120
CGCCGCCGCC CCCC GCCAGT GGGCCCCCGC CTTCTTGTTT GCTGCTGCTG CGCCAGTGGC	180
TCCACGTTCC CGAGTCCGCG TCCGACGACG ACGATGACGA CGACTGGCCG GACAGCCCCC	240
CGCCCGAGCC GCGCCAGAG GCGCGGCCCA CCGCCGCGCG CCCCCGCCCC C	291

## (2) INFORMATION FOR SEQ ID NO:4:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 595 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: DNA (genomic)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:4:

ACCGCCCGGC GCGGGCCCGG GGGGCGGGGC TAACCCCTCC CACCCCCCT CACGCCCTT	60
CCGCCTTCCG CCGCGCCTCG CCCTCCGCCT GCGCGTCACC GCAGAGCACC TGGCGCGCCT	120
GCGCCTGCGA CGCGCGGGCG GGGAGGGGGC GCCGAGCCC CCCGCGACCC CCGCGACCCC	180
CGCGACCCCC GCGACCCCCG CGACCCCCGC GACCCCCGCG ACCCCGCGA CCCCCGCGAC	240
CCCCGCGACC CCCGCGCGGG TCGCCTTCTC GCCCCACGTC CGGGTGCGCC ACCTGGTGGT	300
CTGGGCCTCG GCCGCCCGCC TGGCGCGCCG CGGCTCGTGG GCCCGCGAGC GGGCCGACCG	360
GGCTCGGTTT CCGCGCCGGG TGGCGGAGGC CGAGGCGGTC ATCGGGCCGT GCCTGGGGCC	420
CGAGGCCCCG GCGCGGGCCC TGGCCCGCGG AGCCGGCCCC GCGAACTCGG TCTAACGTTA	480
CACCCGAGGC GGCCTGGGTC TTCCGCGGAG CTCCCGGGAG CTCCGCACCA AGCCGCTCTC	540
CGGAGAGACG ATGGCAGGAG CCGCGCATAT ATACGCTGGG AGCCGGCCCC CCCCC	595

## (2) INFORMATION FOR SEQ ID NO:5:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 291 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: DNA (genomic)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:5:

GAGGCGGGCC CGCCCTCGGA GGGCGGGACT GGCCAATCGG CGGCCGCCAG CGCGGCGGGG	60
CCCGGCCAAC CAGCGTCCGC CGAGTCTTCG GGGCCCGGCC CACTGGGCGG GAGTTACCGC	120
CCAGTGGGCC GGGCCGCCCA CTTCCCGGTA TGGTAATTAA AACTTACAA GAGGCCTTGT	180
TCCGCTTCCC GGTATGGTAA TTAGAACTC ATTAATGGGC GGCCCCGGCC GCCCTTCCCG	240
CTTCCGGCAA TTCCCGCGGC CCTTAATGGG CAACCCCGGT ATTCCCGCC T	291

## (2) INFORMATION FOR SEQ ID NO:6:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 150 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: DNA (genomic)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:6:

TTTAAAGCGG TGGCGGCGGG CAGCCCGGGC CCCCCGCCGA GACTAGCGAG TTAGACAGGC	60
AAGCACTACT CGCCTCTGCA CGCACATGCT TGCCTGTCAA ACTCTACCAC CCCGGCACGC	120
TCTCTGTCTC CATGGCCCGC CGCCGCCGCC	150

## (2) INFORMATION FOR SEQ ID NO:7:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 503 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: DNA (genomic)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:7:

ATCGCGGCCC CCGCCGCCCC CGGCCGCCCC GGGCCACGGG CGCCGTCCCA ACCGCACAGT	60
CCCAGGTAAC CTCCACGCCC AACTCGGAAC CCGCGGTCAG GAGCGCGCCC GCGGCCGCCC	120
CGCCGCGGCC CCCC GCCGGT GGGCCCCCGC CTTCTTGTTG GCTGCTGCTG CGCCAGTGGC	180
TCCACGTTCC CGAGTCCGCG TCCGACGACG ACGATGACGA CGACTGGCCG GACAGCCCCC	240
CGCCCGAGTC GGC GCCAGAG GCCCGGCCCA CCGCCGCCCC CCCCCGCCCC CCGGGCCCCC	300
ACCGCCCGGC GTGGGCCCCG GGGGCGGGGC TGACCCCTCC CACCCCCCCT CGCGCCCCTT	360
CCGCCTTCCG CCGCGCCTCG CCCTCCGCT GCGCGTCACC GCGGAGCACC TGGCGCGCCT	420
GCGCCTGCGA CGCGCGGGCG GGGAGGGGGC GCCGGAGCCC CCGCGACCC CCGCGACCCC	480
CGCGACCCCC GCGACCCCCG CGA	503

## (2) INFORMATION FOR SEQ ID NO:8:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 368 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: DNA (genomic)

61

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:8:

CCCCCGCGAC CCCC	CGCGCGG GTGCGCTTCT	CGCCCCACGT CCGGGTGCGC	CACCTGGTGG	60
TCTGGGCCTC GGCC	CGCCCG CTGGCGCGCC	GCGGCTCGTG GGCCCGCGAG	CGGGCCGACC	120
GGGCTCGGTT CCGG	CGCCCG GTGGCGGAGG	CCGAGGCGGT CATCGGGCCG	TGCCTGGGGC	180
CCGAGGCCCC TGCC	CGGGCC CTGGCCCGCG	GAGCCGGCCC GGCGAACTCG	GTCTAACGTT	240
ACACCCGAGG CGGC	CTGGGT CTTCCGCGGA	GCTCCCGGGA GCTCCGCACC	AAGCCGCTCT	300
CCGGAGAGAC GATG	GCGAGG GCCGCGCATA	TATACGCTTG GAGCCAGCCC	GCCCTCACAG	360
GGCGGGCC				368

## (2) INFORMATION FOR SEQ ID NO:9:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 271 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: DNA (genomic)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:9:

GGGCGGGACT GGCC	AATCGG CGGCCGCCAG	CGCGGCGGGG CCCGGCCAAC	CAGCGTCCGC	60
CGAGTCTTCG GGGC	CCCGGCC CATTGGGCGG	GAGTTACCGC CCAATGGGCC	GGGCCGCCCA	120
CTTCCCGGTA TGGT	AATTAA AACTTGCAA	GAGGCCTTGT TCCGCTTCCC	GGTATGGTAA	180
TTAGAACTC ATTA	TGGGC GGCCCCGGCC	GCCCTTCCCG CTTCCGGCAA	TTCCCGCGGC	240
CCTTAATGGG CAAC	CCCGGT ATTCCCCGCC	T		271

## (2) INFORMATION FOR SEQ ID NO:10:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 11 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: DNA (genomic)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:10:

TTTAAAGTCA C	11
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## (2) INFORMATION FOR SEQ ID NO:11:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 256 bas pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: DNA (genomic)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:11:

AGCGGCGGGC AGCCCCCCCCG CGGCCGAGAC TAGCGAGTTA GACAGGCAAG CACTACTCGC	60
CTCTGCACGC ACATGCTTGC CTGTCAAACCT CTACCACCCC GGCACGCTCT CTGTCTCCAT	120
GGCCCGCCGC CGCCGCGGCC ATCGCGGCCC CCGCCGCCCC CGGCCGCCCC GGCCACGGG	180
CGCGGTCCCA ACCGCACAGT CCCAGGTAAC CTCCACGCCC AACTCGGAAC CCGTGGTCAG	240
GAGCGCGCCC GCGGCC	256

## (2) INFORMATION FOR SEQ ID NO:12:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 154 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: DNA (genomic)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:12:

GGTGGGCCCC CGCCTTCTTG TTCGCTGCTG CTGCGCCAGT GGCTCCACGT TCCCGAGTCC	60
GCGTCCGACG ACGACGATGA CGACGACTGG CCGGACAGCC CCCC GCCGCGCCA	120
GAGGCGCGGC CCACCGCCGC CGCCCCCGC CCCC	154

## (2) INFORMATION FOR SEQ ID NO:13:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 212 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: DNA (genomic)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:13:

ACCGCCCGGC GCGGGCCCGG GGGGCGGGGC TAACCCCTCC CACCCCCCT CACGCCCTT	60
CCGCCTTCG CCGCGCCTCG CCCTCCGCCT GCGCGTCACC GCGGAGCACC TGGCGCGCT	120
GCGCCTGCGA CGCGCGGGCG GGGAGGGGC GCCGAAGCCC CCCGCGACCC CCGCGACCC	180

CGCGACCCCC GCGACCCCCG CGACCCCCGC GA

212

## (2) INFORMATION FOR SEQ ID NO:14:

- (i) SEQUENCE CHARACTERISTICS:
- (A) LENGTH: 356 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:14:

CCCCGCGAC CCGCGCGCG GTGCGCTTCT CGCCCCACGT CCGGGTGCGC CACCTGGTGG	60
TCTGGGCCTC GGCCGCCCCG CTGGCGCGCC GCGGCTCGTG GGCCCGCGAG CGGGCCGACC	120
GGGCTCGGTT CCGGCGCCGG GTGGCGGAGG CCGAGGCGGT CATCGGGCCG TGCCTGGGGC	180
CCGAGGCCCC TGCCCGGGCC CTGGCCCGCG GAGCCGGCCC GCGGAAC TCG GTCTAACGTT	240
ACACCCGAGG CGGCCTGGGT CTTCCGCGGA GCTCCCGGGA GCTCCACACC AAGCCGCTCT	300
CCGGAGAGAC GATGGCAGGA GCCGCGCATA TATACGCTGG GAGCCGGCCC GCCCC	356

## (2) INFORMATION FOR SEQ ID NO:15:

- (i) SEQUENCE CHARACTERISTICS:
- (A) LENGTH: 291 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:15:

GAGGCGGGCC CGCCCTCGGA GGGCGGGACT GGCCAATCGG CGGCCGCCAG CGCGGCGGGG	60
CCCGGCCAAC CAGCGTCCGC CGAGTCGTCG GGGCCCGGCC CACTGGGCGG TAACTCCCGC	120
CCAGTGGGCC GGGCCGCCCA CTTCCCGGTA TGGTAATTAA AAAGTTGCAA GAGGCCTTGT	180
TCCGCTTCCC GGTATGGTAA TTAGAACTC ATTAATGGGC GGCCCGGCC GCCCTTCCCG	240
CTTCCGGCAA TTCCCGCGGC CCTTAATGGG CAACCCCGGT ATTCCCGGCC T	291

## (2) INFORMATION FOR SEQ ID NO:16:

- (i) SEQUENCE CHARACTERISTICS:
- (A) LENGTH: 10 base pairs
  - (B) TYPE: nucleic acid

64

(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:16:

TTTAAAGCGC

10



## (2) INFORMATION FOR SEQ ID NO:17:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 431 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: DNA (genomic)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:17:

```

GGCGGCGGGC AGCCCCCCCCG CGGCCGAGAC TAGCGAGTTA GACAGGCAAG CACTACTCGC      60
CTCTGCACGC ACATGCTTGC CTGTCAAAC CTACCACCCC GGCACGCTCT CTGTCTCCAT      120
GGCCCCCGGC CGCCCGCGCC ATCGCGGCCC CCGCCGCCCC CGGCGGCCCC GGGCCACGGG      180
CGCGGTCCCA ACCGCACAGT CCCAGGTAAC CTCCACGCCC AACTCGGAAC CCGTGGTCAG      240
GAGCGCGCCC GCGGCCGCCC CGCCGCGGCC CCCC GCCGGT GGGCCCCCGC CTTCTTGTTT      300
GCTGCTGCTG CGGCAGTGGC TCCAGGTTCC GGAGTCCGCG TCCGACGACG ACGATGACGA      360
CGACTGGCCG GACAGCCCCC CGCCGAGCC GGCGCCAGAG GCCCGGCCCA CCGCCGCGCG      420
CCCCCGCCCC C                                     431

```

## (2) INFORMATION FOR SEQ ID NO:18:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 212 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: DNA (genomic)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:18:

```

ACCGCCCGGC GCGGGCCCCAG GGGGCGGGGC TGACCCCTCC CACCCCCCCT CACGCCCCTT      60
CCGCCTTCCG CCGCGCCTCG CCCTCCGCCT GCGCGTCACC GCAGAGCACC TGGCGCGCCT      120
GCGCCTGCGA CGCGCGGGCG GGGAGGGGGC GCCGGAGCCC CCCGCGACCC CCGCGACCCC      180
CGCGACCCCC GCGACCCCCG CGACCCCCGC GA                                     212

```

## (2) INFORMATION FOR SEQ ID NO:19:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 356 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

66

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:19:

CCCCCGCGAC CCCC GCGCGG GTGCGCTTCT CGCCCCACGT CCGGGTGCGC CACCTGGTGG	60
TCTGGGCCCTC GGCCGCCCCG CTGGCGCGCC GCGGCTCGTG GGCCCGCGAG CGGGCCGACC	120
GGGCTCGGTT CCGGCGCCGG GTGGCGGAGG CCGAGGCGGT CATCGGGCCG TGCCTGGGCC	180
CCAAGGCCCG CGCCCGGGCC CTGGCCCGCG GAGCCGGCCC GGCGAACTCG GTCTAACGTT	240
ACACCCGAGG CGGCCTGGGT CTTCCGCGGA GCTCCCGGGA GCTCCACACC AAGCCGCTCT	300
CCGAGAGAC GATGGCAGGA GCCGCGCATA TATACGCTGG GAGCCGGCCC GCCCCC	356

(2) INFORMATION FOR SEQ ID NO:20:

- (i) SEQUENCE CHARACTERISTICS:
- (A) LENGTH: 291 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:20:

GAGCGGGGCC CGCCCTCGGA GGGCGGGACT GGCCAATCGG CGGCCGCCAG CGCGGCGGGG	60
CCCGGCCAAC CAGCGTCCGC CGAGTCGTCG GGGCCCGGCC CACTGGGCGG TAACTCCCGC	120
CCAGTGGGCC GGGCCGCCCA CTTCCCGGTA TGGTAATTAA AACTTGCAA GAGGCCTTGT	180
TCCGCTTCCC GGTATGGTAA TTAGAACTC ATTAATGGGC GGCCCGGCC GCCCTTCCCG	240
CTTCCGGCAA TTCCGCGGC CCTTAATGGG CAACCCCGGT ATTCCCGCC T	291

(2) INFORMATION FOR SEQ ID NO:21:

- (i) SEQUENCE CHARACTERISTICS:
- (A) LENGTH: 20 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:21:

GTAACCTAGA CTAGTCTAGC

20

67

## (2) INFORMATION FOR SEQ ID NO:22:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 20 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:22:

GATCTGATCA GATCGCATTG

20

## (2) INFORMATION FOR SEQ ID NO:23:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 58 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:23:

CCCGGACATG GAACGAGTAC GACGACGCAG CCGACGCCGC CGGCGACCGG GCCCCGGG

58

## (2) INFORMATION FOR SEQ ID NO:24:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 51 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:24:

CTGCTCATGC TGCTGCGTCG GCTGCGGCGG CCGCTGGCCC GGGGCCCGTA C

51

## (2) INFORMATION FOR SEQ ID NO:25:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 6 amino acids
  - (B) TYPE: amino acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:25:

Met Ala Arg Arg Arg Arg  
1 5

## (2) INFORMATION FOR SEQ ID NO:26:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 258 amino acids
- (B) TYPE: amino acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: peptide

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:26:

His Arg Gly Pro Arg Arg Pro Arg Pro Pro Gly Pro Thr Gly Ala Val  
 1 5 10 15  
 Pro Thr Ala Gln Ser Gln Val Thr Ser Thr Pro Asn Ser Glu Pro Ala  
 20 25 30  
 Val Arg Ser Ala Pro Ala Ala Ala Pro Pro Pro Pro Pro Ala Ser Gly  
 35 40 45  
 Pro Pro Pro Ser Cys Ser Leu Leu Leu Arg Gln Trp Leu His Val Pro  
 50 55 60  
 Ala Glu Ser Ala Ser Asp Asp Asp Asp Asp Asp Asp Trp Pro Asp Ser  
 65 70 75 80  
 Pro Pro Pro Glu Pro Ala Pro Glu Ala Arg Pro Thr Ala Ala Ala Pro  
 85 90 95  
 Arg Pro Arg Ser Pro Pro Pro Gly Ala Gly Pro Gly Gly Gly Ala Asn  
 100 105 110  
 Pro Ser His Pro Pro Ser Arg Pro Phe Arg Leu Pro Pro Arg Leu Ala  
 115 120 125  
 Leu Arg Leu Arg Val Thr Ala Glu His Leu Ala Arg Leu Arg Leu Arg  
 130 135 140  
 Arg Ala Gly Gly Glu Gly Ala Pro Glu Pro Pro Ala Thr Pro Ala Thr  
 145 150 155 160  
 Pro Ala Thr Pro Ala Thr Pro Ala Thr Pro Ala Thr Pro Ala Thr Pro  
 165 170 175  
 Ala Thr Pro Ala Thr Pro Ala Thr Pro Ala Arg Val Arg Phe Ser Pro  
 180 185 190  
 His Val Arg Val Arg His Leu Val Val Trp Ala Ser Ala Ala Arg Leu  
 195 200 205  
 Ala Arg Arg Gly Ser Trp Ala Arg Glu Arg Ala Asp Arg Ala Arg Phe  
 210 215 220  
 Arg Arg Arg Val Ala Glu Ala Glu Ala Val Ile Gly Pro Cys Leu Gly  
 225 230 235 240  
 Pro Glu Ala Arg Ala Arg Ala Leu Ala Arg Gly Ala Gly Pro Ala Asn  
 245 250 255  
 Ser Val

## (2) INFORMATION FOR SEQ ID NO:27:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 6 amino acids
- (B) TYPE: amino acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

70

(ii) MOLECULE TYPE: peptide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:27:

Met Ala Arg Arg Arg Arg  
1 5

(2) INFORMATION FOR SEQ ID NO:28:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 169 amino acids  
(B) TYPE: amino acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:28:

His Arg Gly Pro Arg Arg Pro Arg Pro Gly Pro Thr Gly Ala Val  
1 5 10 15  
Pro Thr Ala Gln Ser Gln Val Thr Ser Thr Pro Asn Ser Glu Pro Ala  
20 25 30  
Val Arg Ser Ala Pro Ala Ala Ala Pro Pro Pro Pro Pro Ala Gly Gly  
35 40 45  
Pro Pro Pro Ser Cys Ser Leu Leu Leu Arg Gln Trp Leu His Val Pro  
50 55 60  
Glu Ser Ala Ser Asp Asp Asp Asp Asp Asp Trp Pro Asp Ser Pro  
65 70 75 80  
Pro Pro Glu Ser Ala Pro Glu Ala Arg Pro Thr Ala Ala Ala Pro Arg  
85 90 95  
Pro Pro Gly Pro His Arg Pro Ala Trp Ala Arg Gly Ala Gly Leu Thr  
100 105 110  
Pro Pro Thr Pro Pro Arg Ala Pro Ser Ala Phe Arg Arg Ala Ser Pro  
115 120 125  
Ser Ala Cys Ala Ser Pro Arg Ser Thr Trp Arg Ala Cys Ala Cys Asp  
130 135 140  
Ala Arg Ala Gly Arg Gly Arg Arg Ser Pro Pro Arg Pro Pro Arg Pro  
145 150 155 160  
Pro Arg Pro Pro Arg Pro Pro Arg Pro  
165

(2) INFORMATION FOR SEQ ID NO:29:

(i) SEQUENCE CHARACTERISTICS:

71

- (A) LENGTH: 180 amino acids
- (B) TYPE: amino acid
- (C) STRANDEDNESS: singl
- (D) TOPOLOGY: lin ar

(ii) MOLECULE TYPE: peptide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:29:

```

Pro Arg Gly Cys Ala Ser Arg Pro Thr Ser Gly Cys Ala Thr Trp Trp
1           5           10           15
Ser Gly Pro Arg Pro Pro Ala Trp Arg Ala Ala Ala Arg Gly Pro Ala
20           25           30
Ser Gly Pro Thr Gly Leu Gly Ser Gly Ala Gly Trp Arg Arg Pro Arg
35           40           45
Arg Ser Ser Gly Arg Ala Trp Gly Pro Arg Pro Val Pro Gly Pro Trp
50           55           60
Pro Ala Glu Pro Ala Arg Arg Thr Arg Ser Asn Val Thr Pro Glu Ala
65           70           75           80
Ala Trp Val Phe Arg Gly Ala Pro Gly Ser Ser Ala Pro Ser Arg Ser
85           90           95
Pro Glu Arg Arg Trp Gln Glu Pro Arg Ile Tyr Thr Leu Gly Ala Ser
100          105          110
Pro Pro Ser Gln Gly Gly Pro Pro Arg Gly Arg Asp Trp Pro Ile Gly
115          120          125
Gly Arg Gln Arg Gly Gly Ala Arg Pro Thr Ser Val Arg Arg Val Phe
130          135          140
Gly Ala Arg Pro Ile Gly Arg Glu Leu Pro Pro Asn Gly Pro Gly Arg
145          150          155          160
Pro Leu Pro Gly Met Val Ile Lys Asn Leu Gln Glu Ala Leu Phe Arg
165          170          175
Phe Pro Val Trp
180

```

(2) INFORMATION FOR SEQ ID NO:30:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 46 amino acids
  - (B) TYPE: amino acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:30:

72

Met Ala Arg Arg Arg Arg Arg His Arg Gly Pro Arg Arg Pro Arg Pro  
1 5 10 15  
Pro Gly Pro Thr Gly Ala Val Pro Thr Ala Gln Ser Gln Val Thr Ser  
20 25 30  
Thr Pro Asn Ser Glu Pro Val Val Arg Ser Ala Pro Ala Ala  
35 40 45

## (2) INFORMATION FOR SEQ ID NO:31:

- (i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 126 amino acids  
(B) TYPE: amino acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide



73

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:31:

Gly Gly Pro Pro Pro Ser Cys Ser Leu Leu Leu Arg Gln Trp Leu His  
 1 5 10 15  
 Val Pro Glu Ser Ala Ser Asp Asp Asp Asp Asp Asp Trp Pro Asp  
 20 25 30  
 Ser Pro Pro Pro Glu Pro Ala Pro Glu Ala Arg Pro Thr Ala Ala Ala  
 35 40 45  
 Pro Arg Pro Arg Ser Pro Pro Pro Gly Ala Gly Pro Gly Gly Gly Ala  
 50 55 60  
 Asn Pro Ser His Pro Pro Ser Arg Pro Phe Arg Leu Pro Pro Arg Leu  
 65 70 75 80  
 Ala Leu Arg Leu Arg Val Thr Ala Glu His Leu Ala Arg Leu Arg Leu  
 85 90 95  
 Arg Arg Ala Gly Gly Glu Gly Ala Pro Lys Pro Pro Ala Thr Pro Ala  
 100 105 110  
 Thr Pro Ala Thr Pro Ala Thr Pro Ala Thr Pro Ala Thr Pro  
 115 120 125

## (2) INFORMATION FOR SEQ ID NO:32:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 73 amino acids
- (B) TYPE: amino acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: peptide

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:32:

Ala Arg Val Arg Phe Ser Pro His Val Arg Val Arg His Leu Val Val  
 1 5 10 15  
 Trp Ala Ser Ala Ala Arg Leu Ala Arg Arg Gly Ser Trp Ala Arg Glu  
 20 25 30  
 Arg Ala Asp Arg Ala Arg Phe Arg Arg Arg Val Ala Glu Ala Glu Ala  
 35 40 45  
 Val Ile Gly Pro Cys Leu Gly Pro Glu Ala Arg Ala Arg Ala Leu Ala  
 50 55 60  
 Arg Gly Ala Gly Pro Ala Asn Ser Val  
 65 70

## (2) INFORMATION FOR SEQ ID NO:33:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 179 amino acids
- (B) TYPE: amino acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:33:

```

Met Ala Arg Arg Arg Arg Arg His Arg Gly Pro Arg Arg Pro Arg Pro
1      5      10      15
Pro Gly Pro Thr Gly Ala Val Pro Thr Ala Gln Ser Gln Val Thr Ser
20      25      30
Thr Pro Asn Ser Glu Pro Val Val Arg Ser Ala Pro Ala Ala Ala Pro
35      40      45
Pro Pro Pro Pro Ala Gly Gly Pro Pro Pro Ser Cys Ser Leu Leu Leu
50      55      60
Arg Gln Trp Leu Gln Val Pro Glu Ser Ala Ser Asp Asp Asp Asp Asp
65      70      75      80
Asp Asp Trp Pro Asp Ser Pro Pro Pro Glu Pro Ala Pro Glu Ala Arg
85      90      95
Pro Thr Ala Ala Ala Pro Arg Pro Arg Ser Pro Pro Pro Gly Ala Gly
100     105     110
Pro Gly Gly Gly Ala Asp Pro Ser His Pro Pro Ser Arg Pro Phe Arg
115     120     125
Leu Pro Pro Arg Leu Ala Leu Arg Leu Arg Val Thr Ala Glu His Leu
130     135     140
Ala Arg Leu Arg Leu Arg Arg Ala Gly Gly Glu Gly Ala Pro Glu Pro
145     150     155     160
Pro Ala Thr Pro Ala Thr Pro Ala Thr Pro Ala Thr Pro Ala Thr Pro
165     170     175

Ala Thr Pro

```

(2) INFORMATION FOR SEQ ID NO:34:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 73 amino acids
  - (B) TYPE: amino acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:34:

```

Ala Arg Val Arg Phe Ser Pro His Val Arg Val Arg His Leu Val Val

```

[illegible]

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 18 amino acids
- (B) TYPE: amino acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:35:

Gly Met

WHAT IS CLAIMED IS:

1. A method of preventing or treating programmed cell death in neuronal cells, the method comprising:
  - (a) preparing a non-pathogenic vector comprising the  $\gamma_{134.5}$  gene; and
  - (b) introducing the non-pathogenic vector into neuronal cells undergoing or likely to undergo programmed cell death.
2. The method of claim 1 wherein the vector comprises an HSV-1 or HSV-2 virus altered in such a way as to render it non-pathogenic.
3. The method of claim 2 wherein said alternation comprises deletion of the ICP4 gene, the  $\alpha 4$  gene, or the  $\alpha 0$  gene of the HSV-1 or HSV-2 viral genome.
4. The method of claim 2 wherein said alteration comprises a mutational lesion of the ICP4 gene, the  $\alpha 4$  gene, or the  $\alpha 0$  gene of the HSV-1 or HSV-2 viral genome.
5. The method of claim 1 wherein said vector comprises a retrovirus altered, a vaccinia virus, a picornavirus, a coronavirus, a eunyavirus, a togavirus, or a rhabdovirus in such a way as to render it non-pathogenic.
6. The method of claim 1 wherein the vector comprises a multipotent neural cell line.
7. The method of claim 1 further comprising introducing the vector into the neuronal cells undergoing or likely to undergo programmed cell death by a process comprising transplanting cells of the multipotent neural cell line of claim 6 into a region of the central nervous system in which said neuronal cells undergoing or likely to undergo programmed cell death are located.

8. The method of claim 1 further comprising introducing the vector into neuronal cells of an animal by injection of the vector at the site of the peripheral nerve endings of the neuronal cells undergoing or likely to undergo cell death.

9. The method of claim 1 further comprising introducing the vector into neuronal cells in culture likely to undergo or undergoing cell death by incubation of the vector with the neuronal cells.

10. A viral vector comprising the HSV-1 or HSV-2 virus having a genomic alteration rendering the viral vector non-pathogenic.

11. The viral vector of claim 10 wherein said genomic alteration comprises deletion of the ICP4 gene, the  $\alpha 4$  gene, or the  $\alpha 0$  gene of the HSV-1 or HSV-2 genome.

12. The viral vector of claim 10 wherein said genomic alteration comprises a mutational lesion of the ICP4 gene, the  $\alpha 4$  gene, or the  $\alpha 0$  gene of the HSV-1 or HSV-2 genome.

13. A viral vector comprising a non-pathogenic retrovirus, vaccinia virus, picornavirus, coronavirus, eunyavirus, togavirus, or rhabdovirus.

14. A method of preventing or treating programmed cell death in neuronal cells, the method comprising:

(a) preparing ICP34.5 or a biological functional equivalent thereof;

(b) combining the ICP34.5 or the biological functional equivalent with a pharmaceutically acceptable carrier to form a pharmaceutical composition; and

(c) administering the composition to neurons likely to undergo or undergoing programmed cell death.

15. The pharmaceutical composition of claim 14, said pharmaceutical composition comprising ICP34.5 or a  
5 biological functional equivalent thereof in a pharmaceutically acceptable carrier.

16. The method of claim 14 wherein ICP34.5 or its biological functional equivalent is prepared by the method comprising:

10 (a) preparing a nucleic acid segment capable of encoding ICP34.5 or a biological functional equivalent; and

(b) expressing the segment to produce the ICP34.5 or biological functional equivalent protein.

15 17. The method of claim 16 wherein the segment is transferred into a host cell and the host cell is cultured under conditions suitable for expression of the segment.

18. The method of claim 17 wherein the nucleic acid segment is transferred by transfection or transformation of  
20 a recombinant vector into the host cell.

19. The method of claim 17 further comprising isolating and purifying the protein.

20. The method of claim 14 wherein the composition is administered to an animal by direct, intrathecal or  
25 intravenous injection, or by oral administration.

21. The method of claim 14 wherein the composition is administered to neuronal cells in culture by incubation of

said cells in a medium comprising the composition such that the protein will enter the cells.

22. A method for determining the ability of a candidate substance to protect cells from programmed cell death, said method comprising:

- (a) preparing a neuronal cell line sensitive to programmed cell death;
- (b) combining the cells of said cell line with the candidate protective substance;
- 10 (c) altering the incubation solution such that the cells are exposed to conditions or substances capable of inducing programmed cell death; and
- (d) determining whether the candidate substance has protected the cells from programmed cell death.

15 23. The method of claim 22 wherein the candidate substance is a putative biological functional equivalent of ICP34.5.

24. A method for determining the ability of a candidate substance to potentiate the protective function of ICP34.5 or biological functional equivalents thereof, said method comprising:

- (a) preparing a neuronal cell line sensitive to programmed cell death;
- (b) combining the cells of said cell line with the candidate potentiating substance;
- 25 (c) adding to the incubation medium ICP34.5 or a biological functional equivalent thereof;

(d) altering the incubation solution such that the cells are exposed to conditions or substances capable of inducing programmed cell death; and

5 (e) determining whether the candidate substance has potentiated the protective effects of ICP34.5 or the biological functional equivalent.

25. A method for determining the ability of a candidate substance to act as an inhibitor of either  $\gamma_{134.5}$  expression or activity of ICP34.5 or biological functional  
10 equivalents thereof, said method comprising:

(a) preparing a neuronal cell line sensitive to programmed cell death;

(b) combining the cells of said cell line with the candidate inhibitory substance;

15 (c) adding to the incubation medium ICP34.5 or a biological functional equivalent thereof;

(d) altering the incubation solution such that the cells are exposed to conditions or substances capable of inducing programmed cell death; and

20 (e) determining whether the candidate substance has inhibited the protective effect of ICP34.5 or the biological functional equivalent.

26. A method of delivering a gene for gene therapy, the method comprising:

25 (a) combining the gene for gene therapy with any one of the vectors of claims 10, 11, 12 or 13;



(b) combining the gene and vector with a pharmacologically acceptable carrier to form a pharmaceutical composition; and

5 (c) administering said pharmaceutical composition so that the gene and vector will reach the intended cell targets.

27. The method of claim 26 wherein said pharmaceutical composition is introduced by injection into an animal at the site of said cell targets.

10 28. The method of claim 26 wherein said cell targets are in the central nervous system and the pharmaceutical composition is introduced by injection into an animal at the site of the peripheral nerve ending which originate from neurons located at the site of said cell targets.

15 29. The method of claim 26 wherein said pharmaceutical composition is introduced by intrathecal or intravenous injection.

30. The pharmaceutical composition of claim 26 comprising the gene and vector combined with a  
20 pharmacologically acceptable carrier.

31. A method of treating tumorogenic diseases or viral infections, the method comprising:

(a) preparing a candidate substance of claim 25;

25 (b) combining the candidate substance with a pharmaceutically acceptable carrier to form a pharmaceutical composition; and

(c) administering the composition to tumor cell targets.

32. The method of claim 31 wherein the pharmaceutical composition is administered by injection directly into the site of the cell targets, by intravenous injection, by intraspinal injection, or orally.

- 5        33. The pharmaceutical composition of claim 31 comprising the candidate substance combined with a pharmaceutically acceptable carrier.

FIG. 1A

[illegible]



FIG. 1B

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FIG. 1C

```

MetAlaArgArgArgArg---HisArgGlyProArgArgProArgProProGlyProThrGlyAlaValProThr      24
                                     Arg
                                     Arg
AlaGlnSerGlnValThrSerThrProAsnSerGlnProAlaValArgSerAlaProAlaAlaAlaProProPro      49
                                     Val
                                     Val
ProProAlaSerGlyProProProSerCysSerLeuLeuLeuArgGlnTrpLeuHisValProGlnSerAlaSer      74
-----Gly
Gly
Gly
AspAspAspAspAspAspTrpProAspSerProProProGlnProAlaProGlnAlaArgProThrAlaAla      99
Ser
AlaProArgProArgSerProProProGlyAlaGlyProGlnGlyGlyAlaAsnProSerHisProProSerArg      124
ProGlyProHisArgProAlaTrpAlaArgGlyAlaGlyLeuThrProProThrProProArg
                                     Asp
ProPheArgLeuProProArgLeuAlaLeuArgLeuArgValThrAlaGlnHisLeuAlaArgLeuArgLeuArg      149
AlaProSerAlaPheArgArgAlaSerProSerAlaCysAlaSerProArgSerThrTrpArgAlaCysAlaCys
ArgAlaGlyGlyGlyAlaProGlnProProAlaThrProAlaThrProAlaThrProAlaThrProAlaThr      174
AspAlaArgAlaGlyArgGlyArgArgSerProProArgProProArgProProArgProProArgProProArg
Lys
* * * * *
ProAlaThrProAlaThrProAlaThrProAlaThrProAlaThrProAlaArgValArgPheSerProHisVal      199
Pro-----ProArgGlyCysAlaSerArgProThr
-----

```





ProPheArgLeuProProArgLeuAlaLeuArgLeuArgValThrAlaGluHisLeuAlaArgLeuArgLeuArg  
AlaProSerAlaPheArgArgAlaSerProSerAlaCysAlaSerProArgSerThrTrpArgAlaCysAlaCys  
149

Asp  
149

ProAlaThrProAlaThrProAlaThrProAlaThrProAlaThrProAlaThrProAlaThrProAlaThr  
Pro-----ProArgGlyCysAlaSerArgProThr  
199

ArgValArgHisLeuValValTrpAlaSerAlaAlaArgLeuAlaArgArgGlySerTrpAlaArgGluArgAla  
SerGlyCysAlaThrTrpTrpSerGlyProArgProProAlaTrpArgAlaAlaAlaArgGlyProAlaSerGly  
224

AspArgAlaArgPheArgArgValAlaGluAlaGluAlaValIleGlyProCysLeuGlyProGluAlaArg  
ProThrGlyLeuGlySerGlyAlaGlyTrpArgArgProArgArgSerSerGlyArgAlaTrpGlyProArgPro  
249

Lys  
263

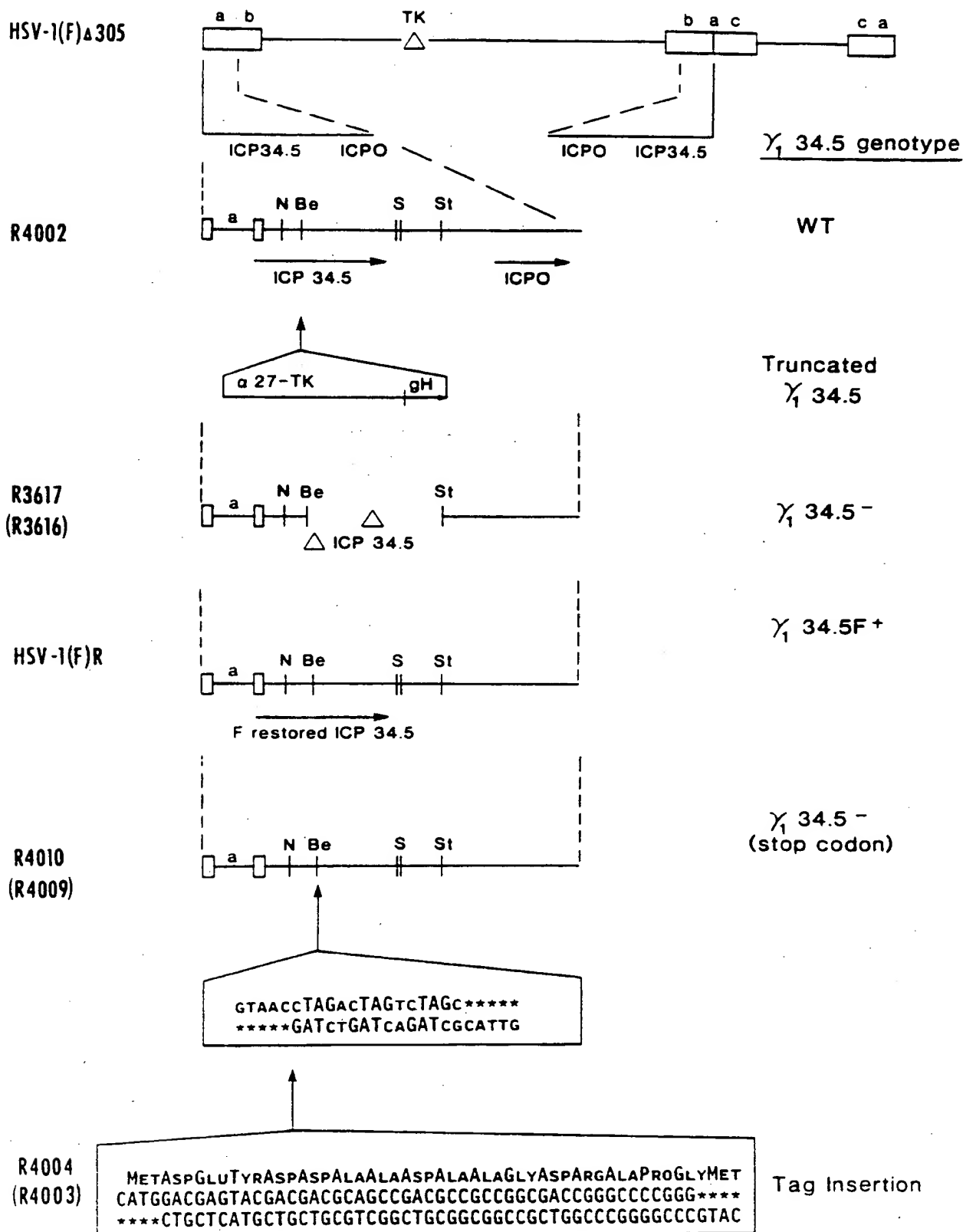
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ValProGlyProTrpProAlaGluProAlaArgArgThrArgSerAsnValThrProGluAlaAlaTrpValPhe  
263

ArgGlyAlaProGlySerSerAlaProSerArgSerProGluArgArgTrpGlnGluProArgIleTyrThrLeu  
GlyAlaSerProProSerGlnGlyGlyProProArgGlyArgAspTrpProIleGlyGlyArgGlnArgGlyGly  
AlaArgProThrSerValArgArgValPheGlyAlaArgProIleGlyArgGluLeuProProAsnGlyProGly  
ArgProLeuProGlyMetValIleLysAsnLeuGlnGluAlaLeuPheArgPheProValTrpDc



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FIG. 2

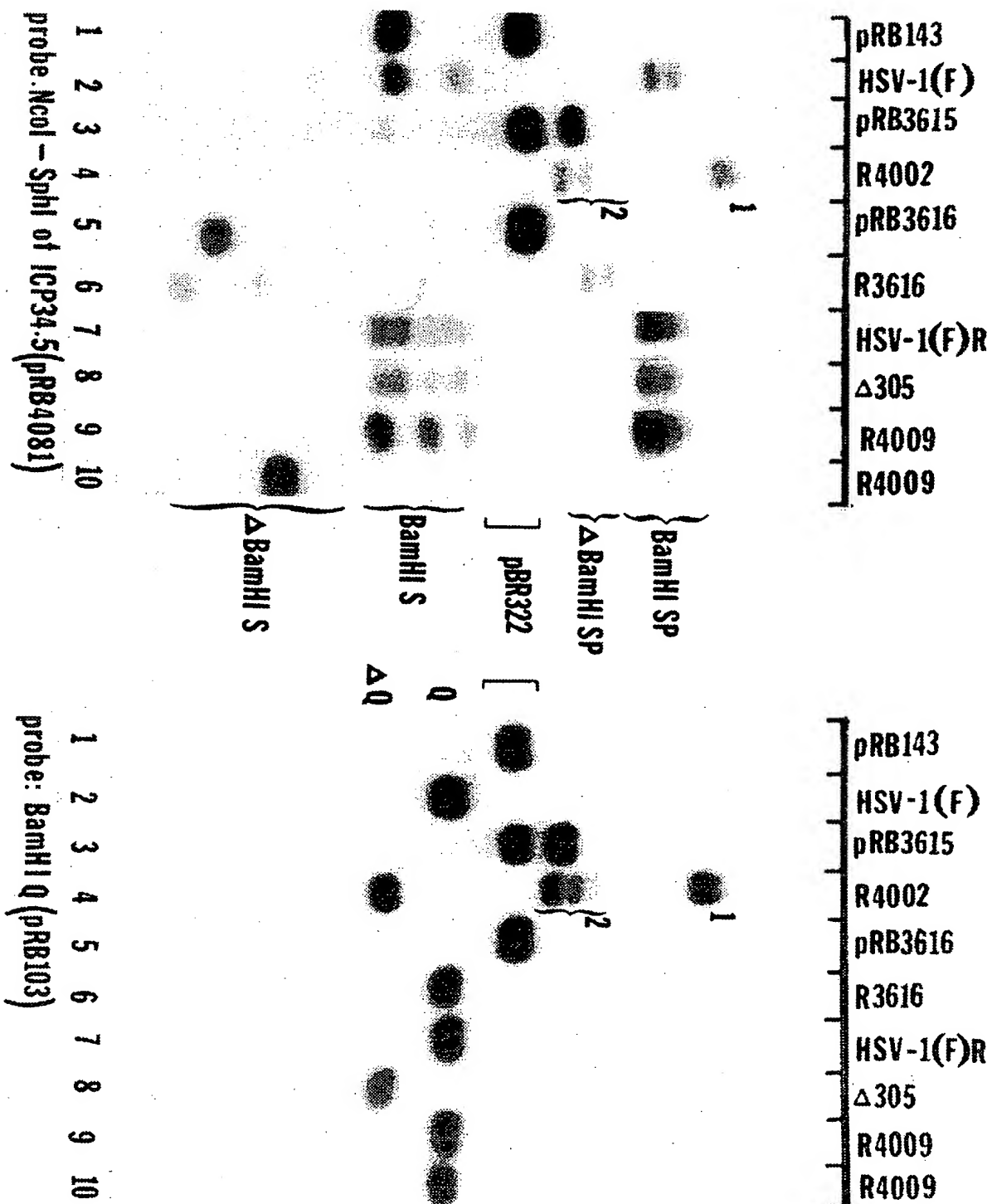


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FIG. 3





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FIG. 4

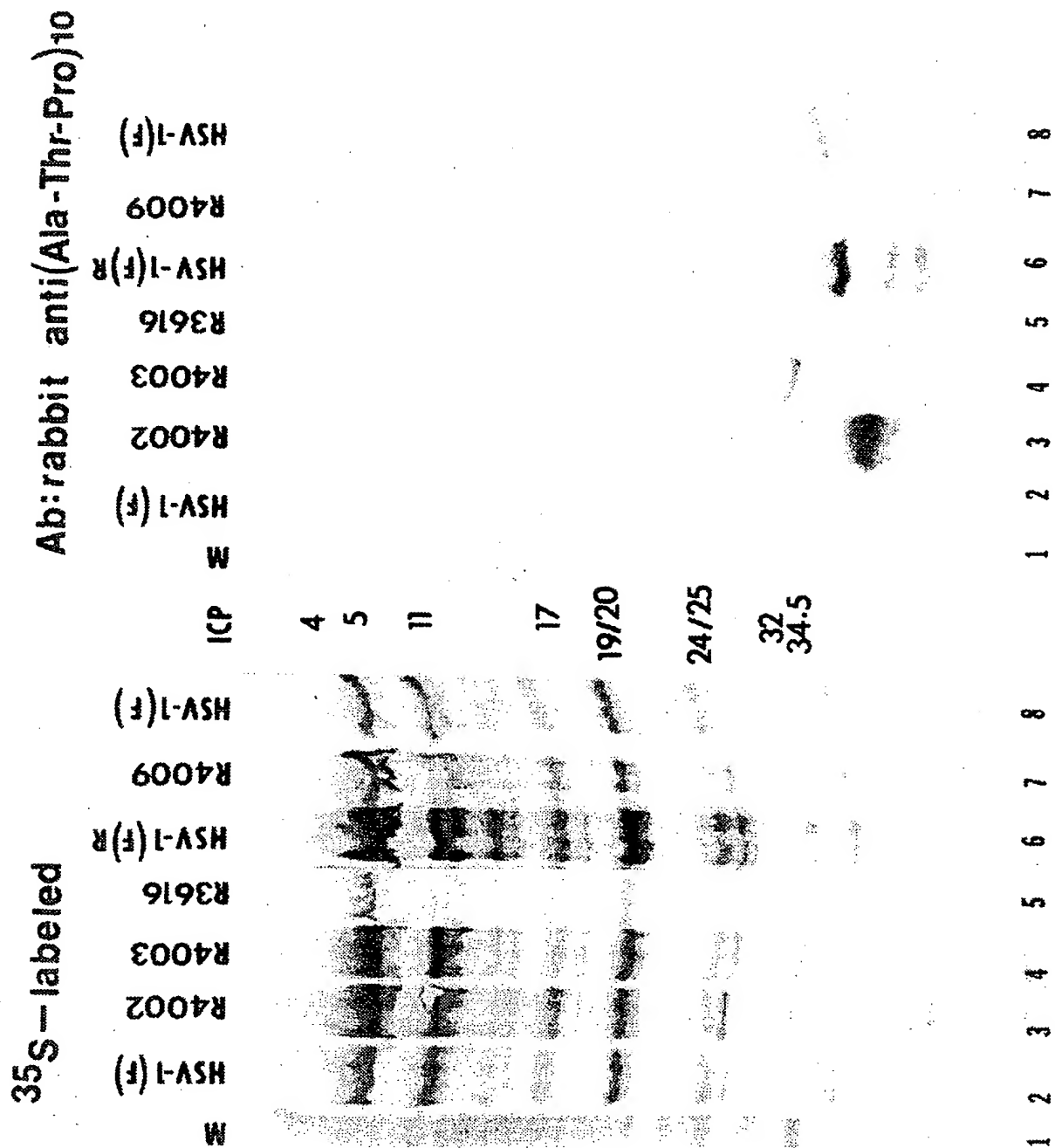


FIG. 4

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FIG.5

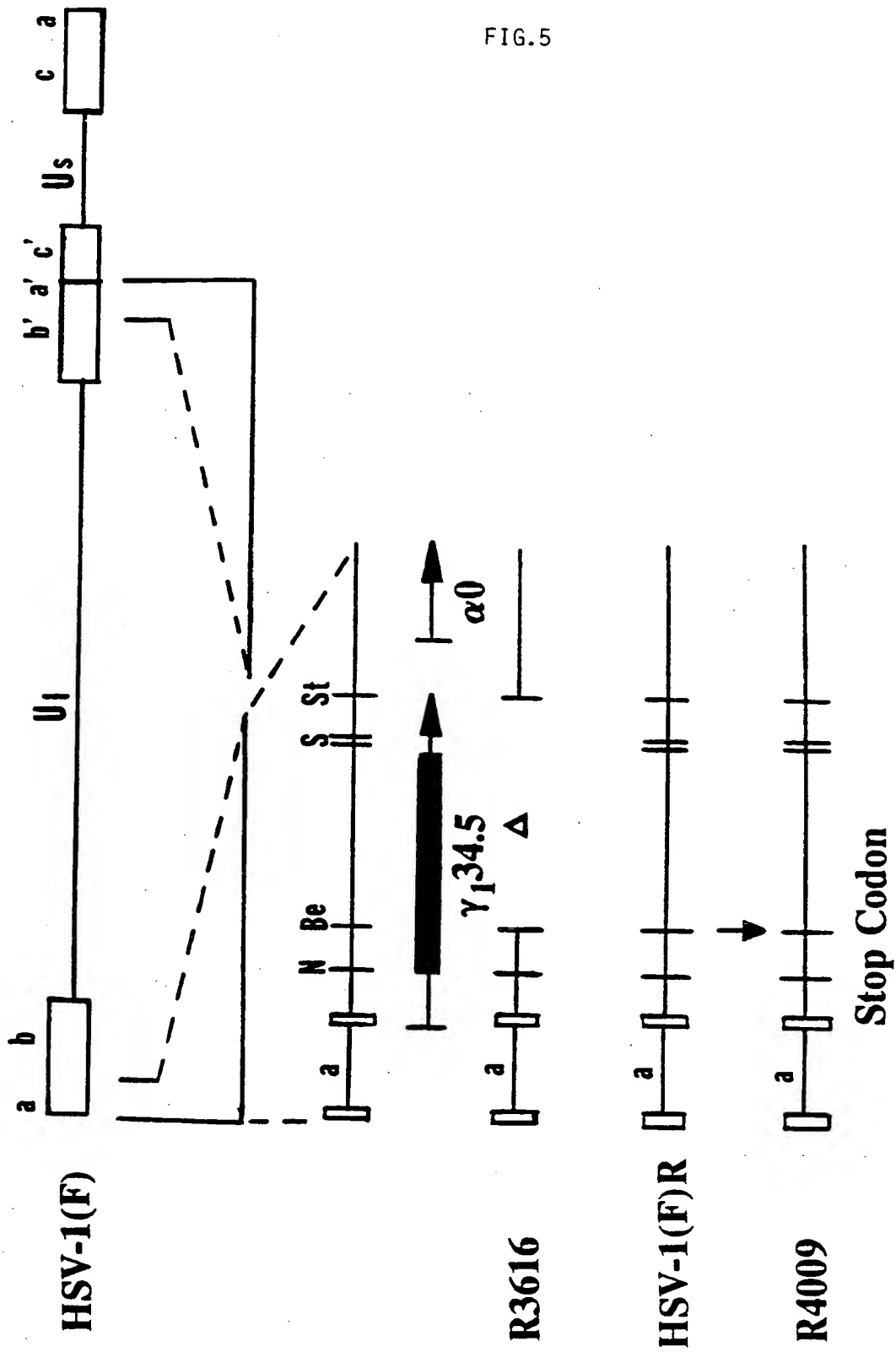
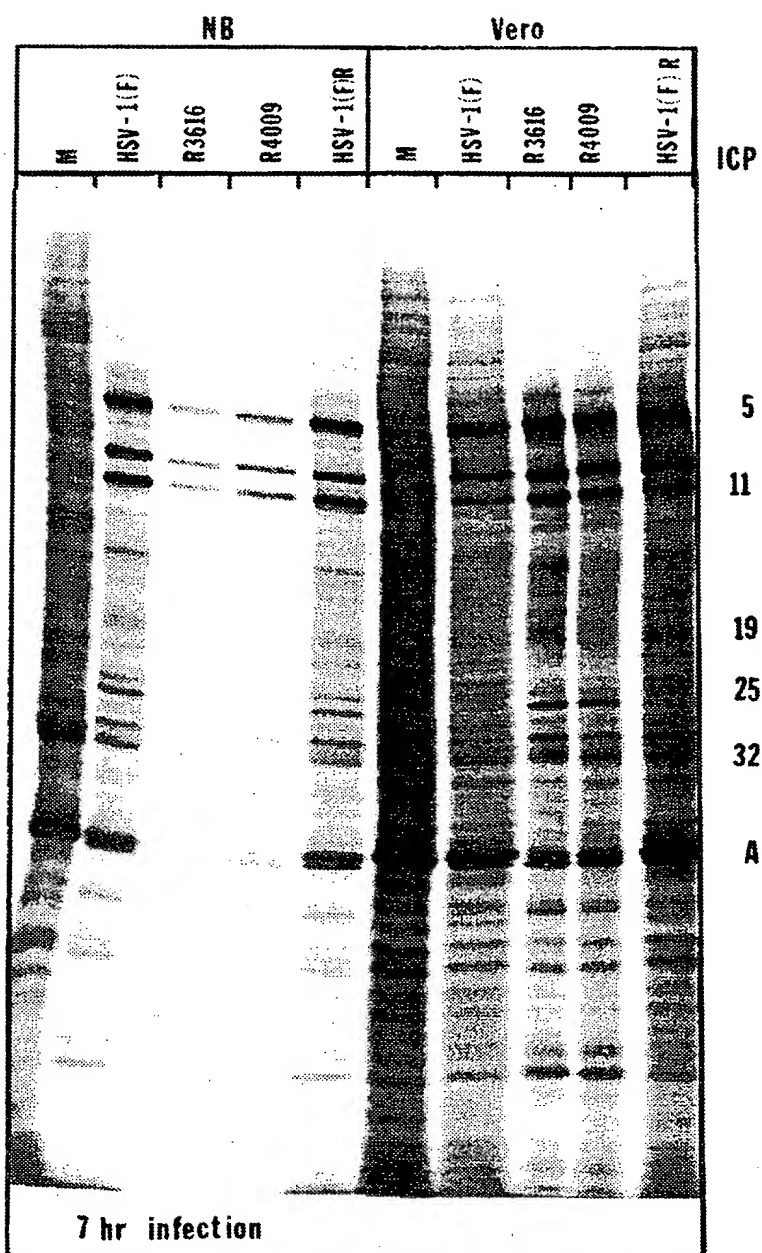




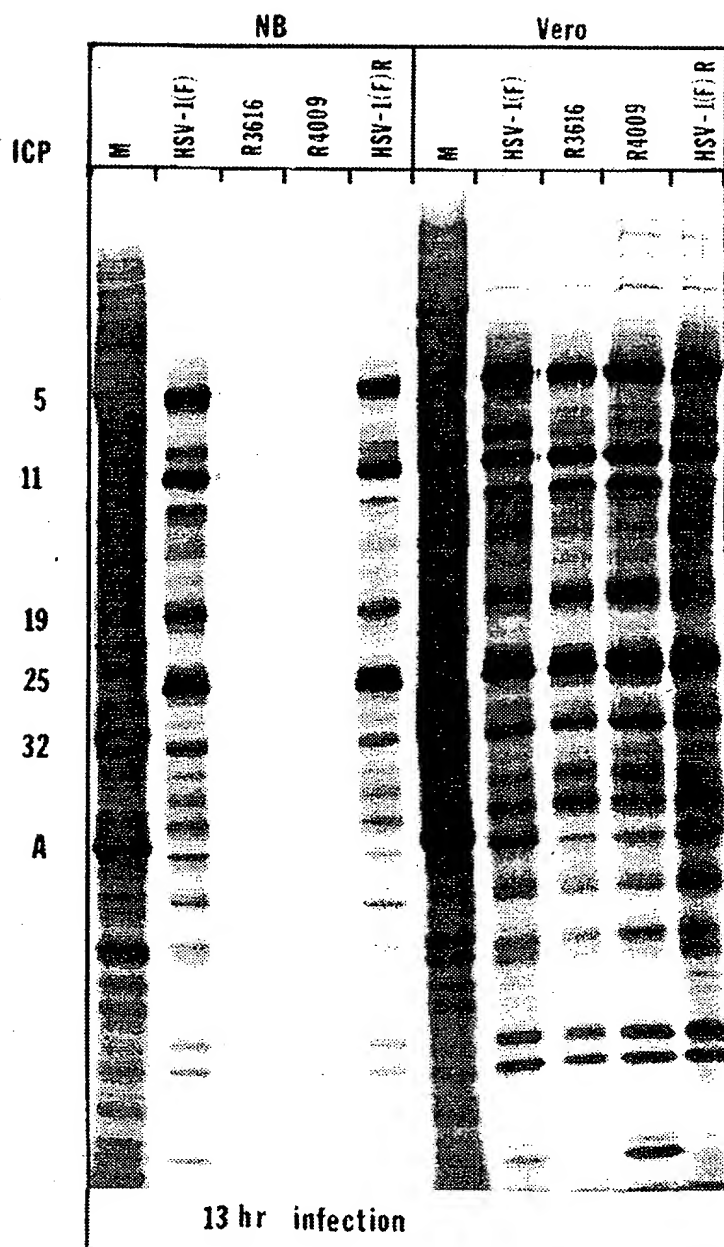
FIG. 6 A





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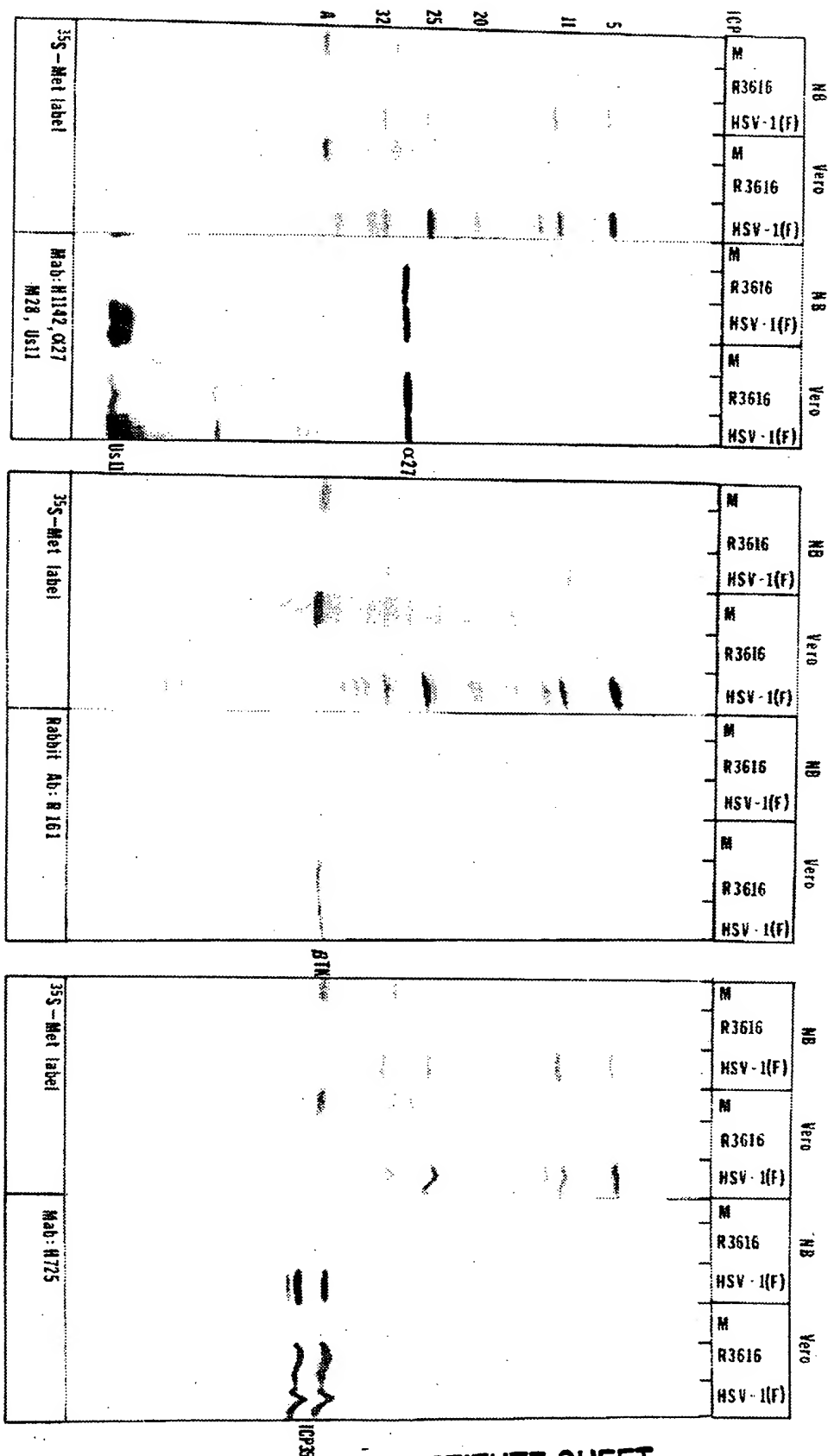
FIG. 6B





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FIG. 7

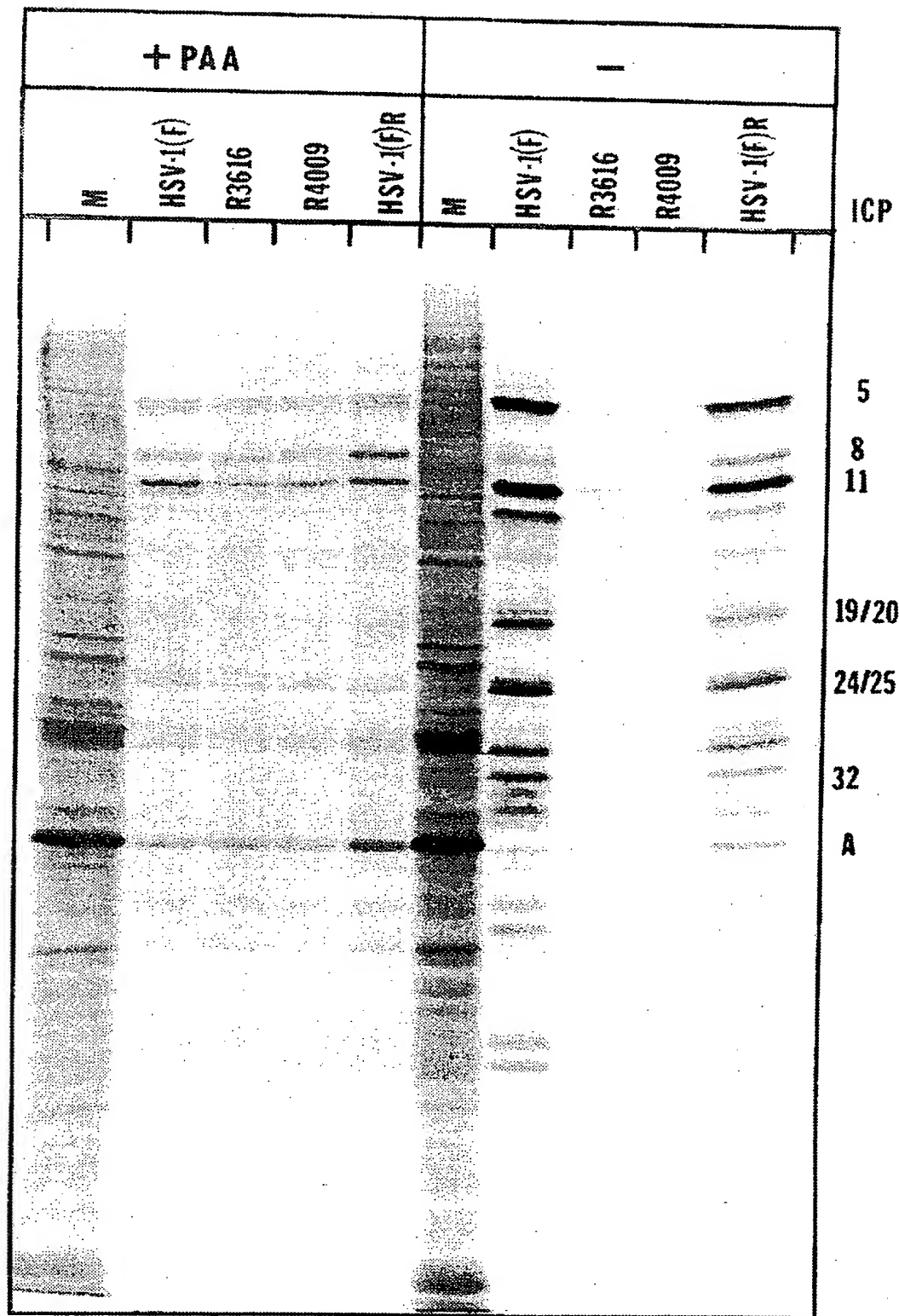


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FIG. 8

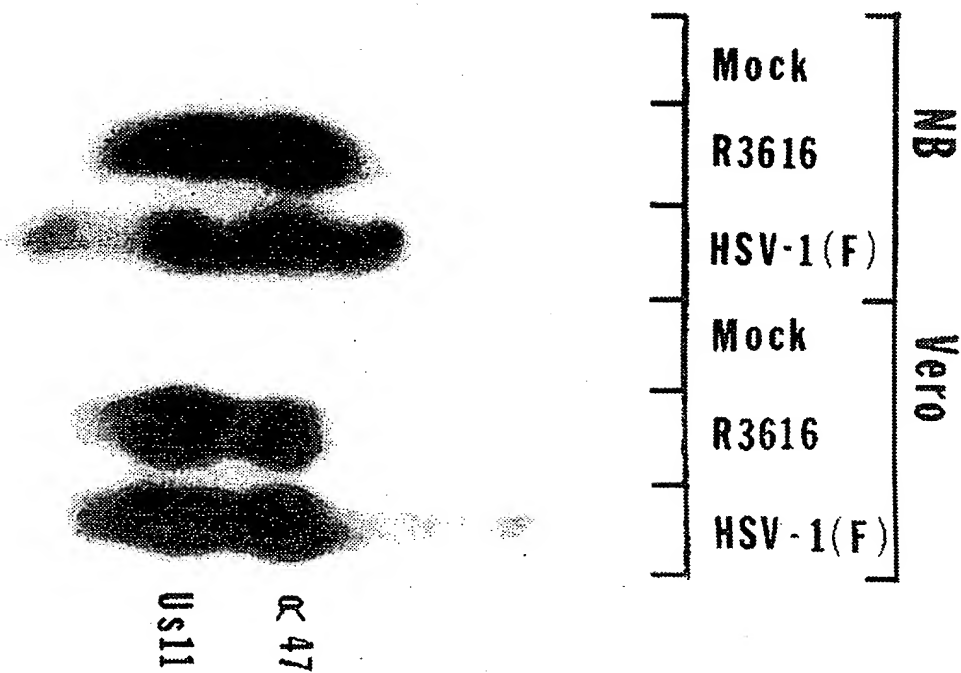
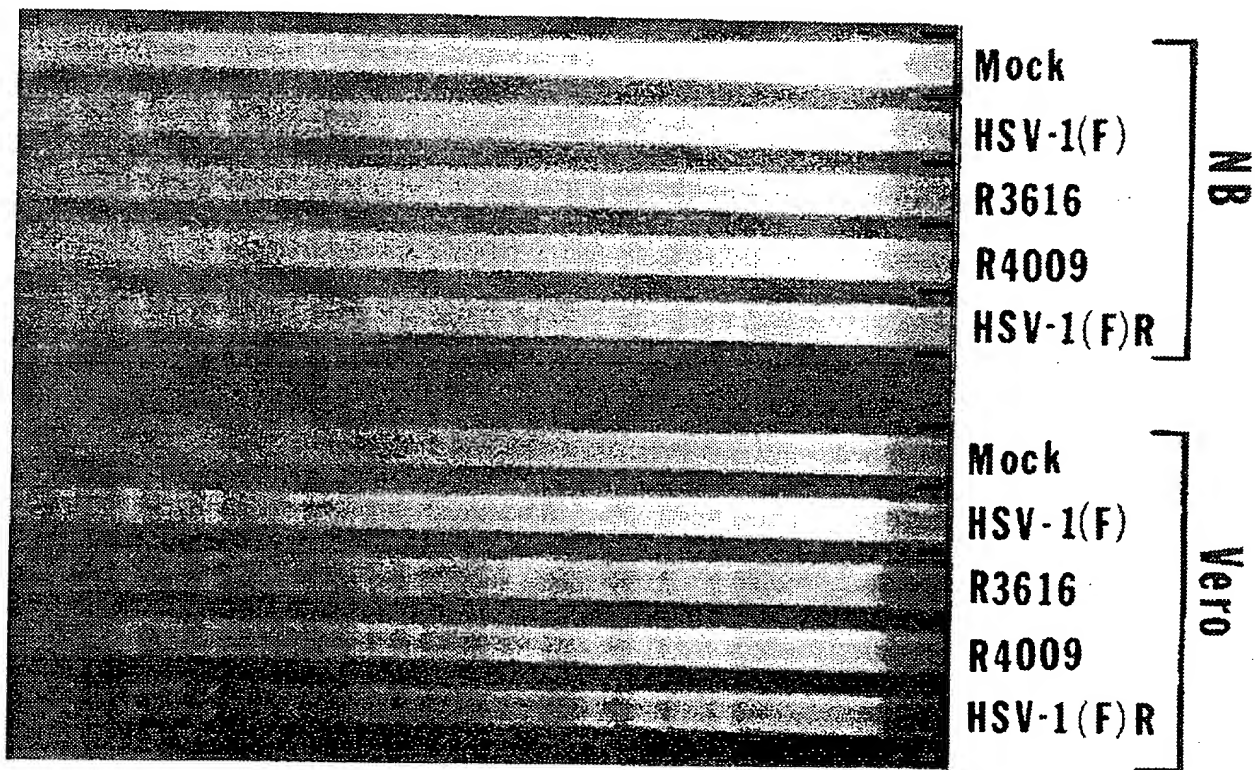
13 hr infection, <sup>35</sup>S-Met label

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FIG. 9





## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US93/01801

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(5) : A01K 63/00; A61K 37/00, 31/70; A01N 37/18; C12N 15/00; C12Q 1/02;  
US CL : 424/93B; 435/29, 320.1; 514/2, 44

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 424/93B; 435/29, 320.1; 514/2, 44

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
APS, Chem. Abs.

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	Cell, Volume 65, issued 15 December 1991, S. Henderson, "Induction of <u>bcl-2</u> Expression by Epstein-Barr Virus Latent Membrane Protein 1 Protects Infected B Cells from Programmed Cell Death", pages 1107-1115, see entire document.	1-33
Y	Cell, Volume 67, issued 29 November 1991, A. Strasser et al, " <u>bcl-2</u> Transgene Inhibits T Cell Death and Perturbs Thymic Self-Censorship", 889-899, see entire document.	1-33

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	* T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
* A* document defining the general state of the art which is not considered to be part of particular relevance	* X*	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
* E* earlier document published on or after the international filing date	* Y*	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
* L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	* G*	document member of the same patent family
* O* document referring to an oral disclosure, use, exhibition or other means		
* P* document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search 04 June 1993	Date of mailing of the international search report 14 JUN 1993
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. NOT APPLICABLE	Authorized officer DEBORAH CROUCH, PH.D. Telephone No. (703) 308-0196

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US93/01801

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	Cell, Vol. 67, issued 29 November 1991, C.L. Sentman et al, " <u>bcl-2</u> Inhibits Multiple Forms of Apoptosis but Not Negative Selection in Thymocytes", pages 879-888, see entire document.	1-33
Y	Nature, Vol. 349, issued 14 February 1991, C. D. Gregory et al, "Activation of Epstein-Barr Virus Latent Genes Protects Human B Cells From Death by Apoptosis", pages 612-614, see entire document.	1-33